

## Last time

- vector space  $\leftrightarrow$  module over a field  
( $V/F$ )

- Prop 9: if  $V \leftrightarrow$  finitely generated  $/F$

then  $V \underset{\cong}{\approx} \underbrace{F \times \dots \times F}_n = F^{\times n} \leftarrow \text{dimension}$   
isomorphic for some  $n$

- example of vector space:  $\mathbb{C}/\mathbb{R}$ ,  $\mathbb{C} \approx \mathbb{R}^{\times 2}$

# More examples of vector spaces

+  $\leftarrow$  sum of matrices

•  $\leftarrow$  multiplication by (real) number

$\mathbb{H} / \mathbb{R}$   $\leftarrow$   
 $\uparrow$

$$\left\{ \begin{pmatrix} a+bi & c+di \\ -c+di & a-bi \end{pmatrix} \mid a, b, c, d \in \mathbb{R} \right\}$$

Exercise:  $\mathbb{H} \cong \mathbb{R}^{x4}$   
 $\mathcal{P}$

every element can be

written as:

$$\underline{a} \cdot \underline{1} + \underline{b} \cdot \underline{i} + \underline{c} \cdot \underline{j} + \underline{d} \cdot \underline{k}$$

$\swarrow \quad \downarrow \quad \nearrow \quad \searrow$   
coordinates on  $\mathbb{R}^4$

$$1 = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \quad j = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

$$i = \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}, \quad k = \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix}$$

$$\mathbb{H}/\mathbb{C}, \quad \mathbb{C} \subset \mathbb{H} \Rightarrow \mathbb{C} \hookrightarrow \mathbb{H}$$

" " " " " "

{a+bi} " " " " " "

multiplication

$$\begin{pmatrix} a+bi & 0 \\ 0 & a-bi \end{pmatrix}$$

$$\mathbb{H} \cong \mathbb{C}^{\times 2}$$

generated  
by  $1, j$

In general: if  $R$  is a ring that contains  
a field  $F$  as a subring

⇓

$F \hookrightarrow R$  becomes a vector space  
over  $F$

+ addition in  $R$

• multiplication in  $R$   $\left( \begin{matrix} a \in F \\ \gamma \in R \end{matrix} \Rightarrow a \cdot \gamma = a\gamma \right)$

## Another example

$$F[x]/F \leftarrow F \subset F[x]$$

subring (polynomials)  
of  $\deg = 0$



$$F \hookrightarrow F[x]$$

Note:  $F[x] \leftarrow$  NOT finitely generated

only  $1, x, x^2, x^3, \dots$   $\leftarrow$  generate  $F[x]/F$

# Modules over $F[x]$

$$F[x] \curvearrowright V \Rightarrow 1) F \curvearrowright V$$

i.e.  $V \curvearrowright$  vector space /  $F$

$$2) \begin{array}{ccc} V & \xrightarrow{\quad} & x \cdot V \\ \cap & & \cap \\ V & \xrightarrow{\quad} & V \end{array}$$

action of  $x$  defines a map

$$f: V \rightarrow V$$

properties of  $f$ :

$$x \cdot (v_1 + v_2) = x \cdot v_1 + x \cdot v_2$$



$$f(v_1 + v_2) = f(v_1) + f(v_2)$$

$$f \swarrow_{a \in F} f(av) = x \cdot (av) = (xa) \cdot v = (ax) \cdot v =$$

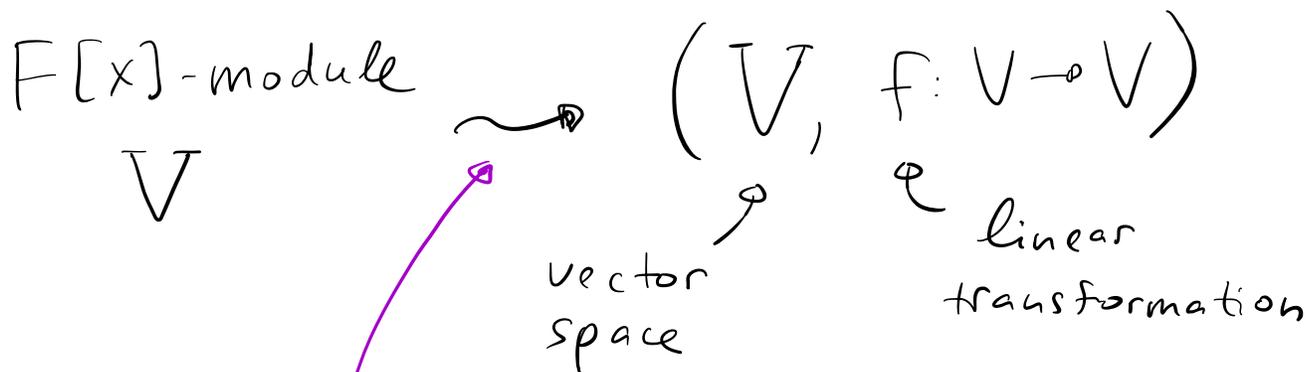
$$= a \cdot (x \cdot v) = a \cdot f(v)$$

So:

$$\left\{ \begin{array}{l} f(v_1 + v_2) = f(v_1) + f(v_2) \\ f(av) = a \cdot f(v) \end{array} \right.$$

$f$  is a linear transformation

See:



Claim: this is a bijection, i.e., any pair  $(V, f)$  as above defines  $F[x] \curvearrowright V$

proof we are given  $(V, f)$ , want

to define action of  $F[x] \curvearrowright V$ .

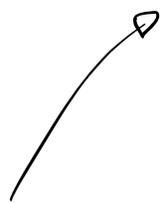
Pick  $p(x) \in F[x]$

$$a_0 + a_1 x + \dots + a_n x^n$$

Define:

$$(a_0 + a_1 x + \dots + a_n x^n) \cdot v =$$

$$= a_0 v + a_1 f(v) + a_2 f^2(v) + \dots + a_n f^n(v)$$



$$\underbrace{f(f(\dots(f(v)\dots))}_n$$



Exercise: this is indeed  
an action

In other words:  $a_0 + a_1 x + \dots + a_n x^n$  acts via  
the matrix  $a_0 \text{id} + a_1 f + \dots + a_n f^n$



Example  $V = \mathbb{R}^{2 \times 2}$ ,  $f = \begin{pmatrix} 2 & 0 \\ 0 & 3 \end{pmatrix}$   
 $(a, b) \mapsto (2a, 3b)$

$$2 + 3f + 4f^2$$

//

$$2 \cdot \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + 3 \cdot \begin{pmatrix} 2 & 0 \\ 0 & 3 \end{pmatrix} + 4 \cdot \begin{pmatrix} 4 & 0 \\ 0 & 9 \end{pmatrix} = \begin{pmatrix} 24 & 0 \\ 0 & 47 \end{pmatrix}$$

//

$$(2 + 3x + 4x^2) \cdot (a, b) = (24a, 47b)$$

We see that the same vector space

can have many different  $F[x]$ -module structures

$F[x]$ -submodules of  $V$ ?

$\left\{ \begin{array}{l} W \subset V \\ \mathfrak{a} \end{array} \right\}$   $F[x]$ -submodule

*claim*  $\longleftrightarrow$   $\left\{ \begin{array}{l} W \subset V \\ f(W) \subset W \end{array} \right\}$

$\uparrow$  vector subspace

i.e.,  $W$  is an  $f$ -invariant vector subspace

proof (of the claim)

If  $W \subset V$   
 $\mathfrak{a}$   $F[x]$ -submodule then  $W$   
is stable under the action of  $F[x]$ .

In particular: 1) it's  $F$ -stable  $\Rightarrow$   
 $\Rightarrow W$  is a vector subspace

2) it's  $x$ -stable (i.e.  $x \cdot W \subset W$ )

So indeed  $f(W) \subset W$  (as  $(X \cdot -) = f$ )

—  
In the opposite direction  $\Leftarrow$  if

$f(W) \subset W$  then  $X \cdot W \overset{(*)}{\subset} W$   
by the very definition

$(*) \Rightarrow (a_0 + a_1 X + \dots + a_n X^n) \cdot W \subset W$

indeed:  $X^k \cdot W =$

$$= \underbrace{f(f \dots (f(W) \dots))}_k \subset W$$

Example:  $f = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}; (a, b) \mapsto (b, 0)$

$\Rightarrow \{ (a, 0) \mid a \in \mathbb{R} \} \subset \mathbb{R}^2$   
 $\mathbb{Q}$  submodule

Exercise: in this case only submodules of

$\mathbb{R}^2$  are:  $\{0\}$ ,  $\{(a,0) \mid a \in \mathbb{R}\}$ ,  $\mathbb{R}^2$   
 $\mathbb{R}$

Another example:  $f = \begin{pmatrix} 2 & 0 \\ 0 & 3 \end{pmatrix}$ ;  $(a,b) \xrightarrow{f} (2a, 3b)$

See that:  $\{(a,0) \mid a \in \mathbb{R}\}$ ,  $\{(0,b) \mid b \in \mathbb{R}\}$   
 $\mathbb{R}$   $\neq$

Next time (Lecture 24): are submodules

One more example: action by multiplication

$\mathbb{R} \curvearrowright \mathbb{R}$  let's assume  $\mathbb{R}$  is commutative ring

Submodules:  $\underline{I} \subset \mathbb{R}$   
 $\neq$

submodule if

1)  $I$  is abelian subgroup under  $+$

2)  $\mathbb{R} \cdot I \subset I$



For  $a \in R$ , we will denote  $aR = (a)$   
principal ideal

We have just discussed that in  $\mathbb{Z}$   
every ideal is principal.

Definition  $R$  is a principal ideal domain (PID)

- if
- 1)  $R$  is commutative
  - 2) every  $I \subset R$  is  $(a)$  for some  $a \in R$
  - 3)  $R$  has no zero divisors

Example:  $R = \mathbb{Z}$  is PID

$R = F$  is PID

More examples of PID's:

$R = F[x]$  is PID

proof. take  $I \subset R$ , assume  $I \neq 0$   
↳ ideal

Let  $f \in I$   
↳ nonzero of minimal  
possible degree

We claim that  $I = (f)$ .

Indeed if  $g \in I$ ,  $g \neq 0$  we can

divide with remainder:  $g = qf + r = 1$   
↳  $I$        $I$

$\Rightarrow r \in I$ , but if  $r \neq 0 \Rightarrow \deg r < \deg f$   
↳ contradiction

( $f$  has min. degree in  $I$ )

$r = 0 \Rightarrow g = qf \in (f)$

ideals in  $\mathbb{C}[x]$  are all  $((x-c_1) \dots (x-c_r))$   
 for some  $c_i \in \mathbb{C}$

Some similarity

ideals in  $\mathbb{Z}$  are  $(p_1 \cdot p_2 \cdot \dots \cdot p_r)$   
 for some prime  $p_i$

Def:  $a \in R$  a PID

irreducible if  $a$  is not a unit  
 and can not be written  
 as:  $a = bc$   
 where  $b, c$  are non-unit elements

Example: in  $\mathbb{Z}$ ,  $n \in \mathbb{Z}_{>0}$  is irreducible  $\Leftrightarrow$  n-prime

in  $\mathbb{C}[x]$ ,  $f \in \mathbb{C}[x]$   $\Leftrightarrow$   $f = (x-c) \cdot a$  ( $a \neq 0$ )  
 where  $(x-c)$  is irred.

In  $\mathbb{R}[x]$   $\Leftarrow$  more irreducible elements:

$f = x^2 + 1$   $\Leftarrow$  is irreducible

(use that  $x^2 + 1$  has no roots over  $\mathbb{R}$ )

In PID, every ideal  $I \subset R$  is:

$$I = (f_1^{k_1} \dots f_r^{k_r})$$

$\swarrow \quad \searrow$   
some irreducible  
elements

How ideals appear in ring theory

and why irreducible elements are important:

---

$$I \subset R \quad \Rightarrow \quad R/I \Leftarrow \underline{\text{ring!}}$$

$\uparrow \quad \swarrow$   
ideal

analog of normal  
subgroup  $(R \cdot I \subset I \sim gHg^{-1} \subset I)$

$\mathbb{R}/I \leftarrow$  set of cosets of the form  $a+I$

$$(a+I) + (b+I) = a+b+I$$

$$(a+I) \cdot (b+I) = ab+I$$

Example:  $I = (n) \subset \mathbb{Z} \Rightarrow \mathbb{Z}/(n) = \mathbb{Z}/n\mathbb{Z}$

with the  $\uparrow$  standard ring structure

Exercise: if  $f \in \mathbb{R}$  irreducible  $\Rightarrow \mathbb{R}/(f) \cong$  field

Ex:  $\mathbb{R}[x]/(x^2+1) \cong \mathbb{C} \leftarrow$  field

Ex:  $(\mathbb{Z}/2\mathbb{Z})[x] / (x^2+x+1)$   $\leftarrow$  field of 4 elements!

$\uparrow$   
irreducible

(no roots over  $\mathbb{Z}/2\mathbb{Z}$ )

Recall that for  $R = F$  we had  
complete classification of finitely  
generated modules over  $F$   
(vector spaces)

It turns out that for  $R$  a PID  
one can also classify finitely generated  $R$ -modules.

The answer is more complicated!

For  $R = F$ , every f.g. module is  $F^{x n}$ .

Already for  $R = \mathbb{Z}$ , have  $\mathbb{Z} \twoheadrightarrow \mathbb{Z}/2\mathbb{Z}$

Can not be  
isomorphic to  
 $\mathbb{Z}^{x?}$

as we discussed, every  
abelian group is a module  
over  $\mathbb{Z}$ .

Thm: every finitely generated module over

PID  $R$  is isomorphic to:

$$R^{\times n} \times \left( R / (f_1^{k_1}) \right) \times \left( R / (f_2^{k_2}) \right) \times \dots \times \left( R / (f_r^{k_r}) \right)$$

for some  $f_i \leftarrow$  irreducible.

Applications:

discussed

①  $\mathbb{Z}$ -modules  $\overset{\sigma}{\leftrightarrow}$  commutative groups  
 $\downarrow$   
PID

$f \in \mathbb{Z}$  irreducible  $\Leftrightarrow f = p \leftarrow$  prime

So, every finitely generated abelian group

is isomorphic to:

$$\mathbb{Z}^{xk} \times (\mathbb{Z}/p_1^{k_1}\mathbb{Z}) \times \dots \times (\mathbb{Z}/p_r^{k_r}\mathbb{Z})$$

Very explicit answer!

$$\textcircled{2} \mathbb{C}[x]\text{-modules} \leftrightarrow \left\{ \begin{array}{l} \text{F-vector space} \\ \text{space} \\ \downarrow \\ (V, f: V \rightarrow V) \\ \downarrow \\ \text{linear operator} \end{array} \right\}$$

$\curvearrowright$   
PID

$$p \in \mathbb{C}[x] \text{ irreducible} \Leftrightarrow p = (x-c) \cdot a, a \neq 0$$

Now fix  $f: V \rightarrow V$   $\curvearrowright$  any linear operator

$\curvearrowright$  finite dimensional vector space

Thm. above implies:

$$V \cong \mathbb{C}[x]/(x-c_1)^{k_1} \times \dots \times \mathbb{C}[x]/(x-c_r)^{k_r}$$

$\cup \quad \cup \quad \cup$   
 $f \quad \cdot X \quad \cdot X$

$$\cdot X \mapsto \mathbb{C}[x]/(x-c_1)^{k_1}$$

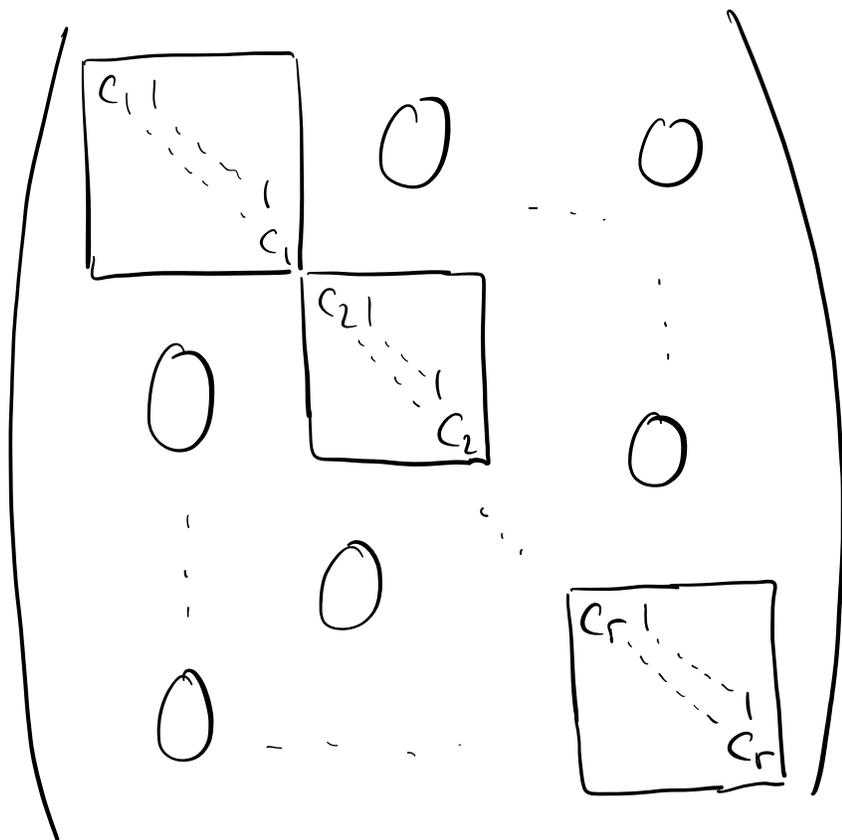
$\varphi$  has basis  $1, x-c_1, (x-c_1)^2, \dots, (x-c_1)^{k_1-1}$

in this basis  $\cdot X$  has matrix:

$$\begin{pmatrix} c_1 & & & \\ & c_1 & & \\ & & \ddots & \\ & & & c_1 \end{pmatrix}$$

We see that there is some basis in  $V$  such that the matrix of  $f$  in this basis

is:



↪ this is precisely a thm. about existence of Jordan normal form (JNF) of a linear transformation over  $\mathbb{C}$

↪ just discussed how to prove

this thm. using ring theory

(note that thm. itself knows nothing about rings!)