

# Another Model of the Assembly Map II (Lecture 29)

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Let  $X$  be a simplicial set. In the previous lecture, we introduced the category  $\mathcal{C}_X$  whose objects are simplicial sets  $Y$  over and under  $X$ , which are obtained from  $X$  by adding finitely many simplices. We can regard  $\mathcal{C}_X$  as a category with cofibrations and weak equivalences (where the latter is given by the collection  $s$  of cell-like maps), and we proved that the construction

$$F(X) = \Omega^{-\infty} K(\mathcal{C}_X, s)$$

has the property that  $\widehat{F}(X) = |F(X^{\Delta^\bullet})|$  is a homology theory (that is, it induces a colimit-preserving functor from spaces to spectra). There is a natural map  $\widehat{F}(X) \rightarrow A(X)$ ; when  $X$  is finite and nonsingular, this comes from a composite map

$$F(X) \rightarrow \Omega^{-\infty} K(\mathrm{Shv}_{PL}(X)^{\mathrm{op}}) \rightarrow \Omega^{-\infty} K_{\Delta}(X) \rightarrow A(X)$$

where the first map is obtained from a functor

$$\lambda : \mathcal{C}_X \rightarrow \mathrm{Shv}_{PL}(|X|)^{\mathrm{op}}$$

which assigns to each retraction diagram

$$\begin{array}{ccc} & Y & \\ & \nearrow & \searrow r \\ X & \xrightarrow{\mathrm{id}} & X \end{array}$$

the constructible sheaf given by the cofiber of the unit map  $\underline{S}_X \rightarrow r_* \underline{S}_Y$ . To verify that this construction yields a well-defined map on K-theory, we observe that if  $Y, Y' \in \mathcal{C}_X$  are related by a cell-like map  $Y \rightarrow Y'$ , then the constant sheaf  $\underline{S}_{Y'}$  can be identified with the direct image of the constant sheaf  $\underline{S}_Y$ , so that the induced map  $\lambda(Y') \rightarrow \lambda(Y)$  is an equivalence in  $\mathrm{Shv}_{PL}(|X|)$ .

Consider the functor  $\mu : \mathrm{Shv}_{PL}(|X|)^{\mathrm{op}} \rightarrow (\mathrm{Sp}^X)^c$  (here  $(\mathrm{Sp}^X)^c$  denotes the  $\infty$ -category of compact objects of  $\mathrm{Sp}^X$ ) characterized by the formula

$$\mathrm{Map}_{\mathrm{Sp}^X}(\mu(\mathcal{F}), \mathcal{G}) = \Gamma(|X|, \mathcal{F} \wedge \mathcal{G}).$$

Unwinding the definitions, we see that  $\mu \circ \lambda : \mathcal{C}_X \rightarrow (\mathrm{Sp}^X)^c$  is given by the formula  $Y \mapsto r_! \underline{S}_Y$ , where  $r_! : \mathrm{Sp}^Y \rightarrow \mathrm{Sp}^X$  is the homological pushforward on local systems. Here we have a bit more flexibility: in order to ensure that a map  $Y \rightarrow Y'$  in  $\mathcal{C}_X$  induces an equivalence  $(\mu \circ \lambda)(Y) \rightarrow (\mu \circ \lambda)(Y')$  in  $\mathrm{Sp}^X$ , it is sufficient to assume that  $Y \rightarrow Y'$  is a weak homotopy equivalence.

**Exercise 1.** Let  $h$  be the collection of all weak homotopy equivalences in  $\mathcal{C}_X$ . Show that  $(\mathcal{C}_X, h)$  satisfies the axioms for a category with cofibrations and weak equivalences (where the cofibrations, as before, are given by the monomorphisms).

The above analysis supplies a diagram of infinite loop spaces

$$\begin{array}{ccc} K(\mathcal{C}_X, s) & \longrightarrow & K(\mathcal{C}_X, h) \\ \downarrow & & \downarrow \theta \\ K_{\Delta}(X) & \longrightarrow & \Omega^{\infty} A(X) \end{array}$$

which commutes up to canonical homotopy and depends functorially on  $X$ . Our goal in this lecture is to show that the right vertical map is close to being a homotopy equivalence. To this end, recall that  $(\mathrm{Sp}^X)^c$  can be identified with the Spanier-Whitehead  $\infty$ -category of the  $\infty$ -category  $(\mathcal{S}_*^X)^c \simeq \mathcal{S}_{X//X}^c$ , where  $\mathcal{S}_{X//X}$  denotes the  $\infty$ -category of spaces over and under  $X$  and  $\mathcal{S}_{X//X}^c$  is the full subcategory of  $\mathcal{S}_{X//X}$  spanned by the compact objects. Let  $\mathcal{S}_{X//X}^{\mathrm{fin}} \subseteq \mathcal{S}_{X//X}^c$  denote the full subcategory spanned by those objects  $Y$  which can be obtained from  $X$  by attaching finitely many cells. Then  $\theta$  is the map on  $K$ -theory induced by the composition

$$\mathcal{C}_X \rightarrow \mathcal{S}_{X//X}^{\mathrm{fin}} \subseteq \mathcal{S}_{X//X}^c \rightarrow (\mathrm{Sp}^X)^c.$$

We have seen that the map  $K(\mathcal{S}_{X//X}^c) \rightarrow K((\mathrm{Sp}^X)^c) \simeq \Omega^{\infty} A(X)$  is a homotopy equivalence, and that the map  $K(\mathcal{S}_{X//X}^{\mathrm{fin}}) \rightarrow K(\mathcal{S}_{X//X}^c)$  exhibits the domain as a union of connected components of the target.

**Notation 2.** Let  $X$  be a space. We let  $A^{\mathrm{free}}(X)$  denote the spectrum given by  $\Omega^{-\infty} K(\mathcal{S}_{X//X}^{\mathrm{fin}})$ . Then  $A^{\mathrm{free}}(X)$  is a connective spectrum whose homotopy groups are given by

$$\pi_i A^{\mathrm{free}}(X) = \begin{cases} \pi_i A(X) & \text{if } i > 0 \\ \mathrm{H}_0(X; \mathbf{Z}) & \text{if } i = 0. \end{cases}$$

(note: this is the definition of  $A(X)$  that appears in Waldhausen's paper).

Our next goal is to prove:

**Proposition 3.** *Let  $X$  be a simplicial set. Then the natural map  $\mathcal{C}_X \rightarrow \mathcal{S}_{X//X}^{\mathrm{fin}}$  induces homotopy equivalences*

$$\begin{aligned} K(\mathcal{C}_X, h) &\rightarrow K(\mathcal{S}_{X//X}^{\mathrm{fin}}) \\ \Omega^{-\infty} K(\mathcal{C}_X, h) &\rightarrow A^{\mathrm{free}}(X). \end{aligned}$$

Note that the domain and codomain of the map appearing in the statement of Proposition 3 can be identified with the geometric realization of simplicial spaces obtained from Waldhausen's construction. It will therefore suffice to show that the map  $\mathcal{C}_X \rightarrow \mathcal{S}_{X//X}^{\mathrm{fin}}$  induces an equivalence in each simplicial degree. In other words, Proposition 3 is a consequence of the following more precise assertion:

**Proposition 4.** *Let  $X$  be a simplicial set and let  $n \geq 0$  be an integer. Then the natural map*

$$hS_n \mathcal{C}_X \rightarrow S_n \mathcal{S}_{X//X}^{\mathrm{fin}}$$

*is a weak homotopy equivalence (here we regard the left hand side as the nerve of a category and the right hand side as a Kan complex).*

The proof of Proposition 4 will proceed by induction on  $n$ . The case  $n = 0$  is trivial (since both sides are contractible), so let us assume  $n > 0$ . Let us identify the objects of  $hS_n \mathcal{C}_X$  with chains of cofibrations

$$Y_1 \hookrightarrow Y_2 \hookrightarrow \cdots \hookrightarrow Y_n$$

of simplicial sets over and under  $X$ . There is a canonical map  $\tau : hS_n \mathcal{C}_X \rightarrow hS_{n-1} \mathcal{C}_X$  obtained by “forgetting”  $Y_n$  (one of the face maps appearing in the simplicial category  $hS_\bullet \mathcal{C}_X$ ). Similarly, we have a forgetful map  $S_n \mathcal{S}_{X//X}^{\text{fin}} \rightarrow S_{n-1} \mathcal{S}_{X//X}^{\text{fin}}$  which is a Kan fibration. These maps fit into a commutative diagram

$$\begin{array}{ccc} hS_n \mathcal{C}_X & \longrightarrow & S_n \mathcal{S}_{X//X}^{\text{fin}} \\ \downarrow \tau & & \downarrow \\ hS_{n-1} \mathcal{C}_X & \longrightarrow & S_{n-1} \mathcal{S}_{X//X}^{\text{fin}}. \end{array}$$

The inductive hypothesis implies that the lower horizontal map is a weak homotopy equivalence. Consequently, to prove Proposition 4, it will suffice to show that the diagram induces a weak homotopy equivalence after taking homotopy fibers in the vertical direction. Fix an object  $\vec{Y} = (Y_1 \rightarrow \cdots \rightarrow Y_{n-1})$  in  $hS_{n-1} \mathcal{C}_X$ . We will show that the category

$$(hS_n \mathcal{C}_X) \times_{(hS_{n-1} \mathcal{C}_X)} \{\vec{Y}\}$$

is weakly homotopy equivalent to the Kan complex

$$S_n \mathcal{S}_{X//X}^{\text{fin}} \times_{S_{n-1} \mathcal{S}_{X//X}^{\text{fin}}} \{\vec{Y}\}.$$

It will then follow that every map  $\vec{Y} \rightarrow \vec{Y}'$  in  $hS_{n-1} \mathcal{C}_X$  induces a weak homotopy equivalence

$$(hS_n \mathcal{C}_X) \times_{(hS_{n-1} \mathcal{C}_X)} \{\vec{Y}\} \rightarrow (hS_n \mathcal{C}_X) \times_{(hS_{n-1} \mathcal{C}_X)} \{\vec{Y}'\}.$$

Applying Quillen’s Theorem B (and the observation that  $\tau$  is a coCartesian fibration), it follows that  $(hS_n \mathcal{C}_X) \times_{(hS_{n-1} \mathcal{C}_X)} \{\vec{Y}\}$  can be identified with the homotopy fiber of  $\tau$  over  $\vec{Y}$ , thereby completing the proof of the inductive step. We are therefore reduced to proving the following lemma (applied in the case  $Z = Y_{n-1}$ ):

**Lemma 5.** *Let  $f : Z \rightarrow X$  be a map of simplicial sets. Let  $\mathcal{C}_f$  denote the category whose objects are diagrams of simplicial sets*

$$\begin{array}{ccc} & Y & \\ j \nearrow & & \searrow \\ Z & \xrightarrow{f} & X \end{array}$$

where  $j$  is a cofibration and  $Y$  is obtained from  $Z$  by adding only finitely many simplices, and whose morphisms are weak homotopy equivalences. Let  $\mathcal{S}_{Z//X}^{\text{fin}}$  denote the  $\infty$ -category given by the full subcategory of  $\mathcal{S}_{Z//X}$  spanned by those objects  $Y$  which can be obtained from  $Z$  by attaching finitely many cells. Then the canonical map

$$\nu : \mathcal{C}_f \rightarrow (\mathcal{S}_{Z//X}^{\text{fin}})^{\simeq}$$

is a weak homotopy equivalence of simplicial sets.

*Proof.* Let us compute the homotopy fiber of  $\nu$  over a point  $\eta \in (\mathcal{S}_{Z//X}^{\text{fin}})^{\simeq}$ . Let us represent  $\eta$  by a diagram of simplicial sets

$$\begin{array}{ccc} & W & \\ j \nearrow & & \searrow q \\ Z & \xrightarrow{f} & X \end{array}$$

where  $j$  is a cofibration and  $q$  is a Kan fibration. Then the homotopy fiber  $\nu^{-1}\{\eta\}$  can be identified with the homotopy colimit

$$\varinjlim_{Y \in \mathcal{C}_f} \underline{\text{Hom}}(Y, W),$$

where  $\underline{\text{Hom}}(Y, W)$  denotes the Kan complex parametrizing maps from  $Y$  to  $W$  in  $(\text{Set}_\Delta)_{Z//X}$  which are weak homotopy equivalences. It follows that  $\nu^{-1}\{\eta\}$  can be identified with the geometric realization of a simplicial space which is given in degree  $m$  by the homotopy colimit

$$\varinjlim_{Y \in \mathcal{C}_f} \underline{\text{Hom}}(Y, W)_m.$$

It will therefore suffice to show that this homotopy colimit is contractible for each  $m$ . Replacing  $W$  by  $W^{\Delta^m} \times_{X^{\Delta^m}} X$ , we can reduce to the case where  $m = 0$ . In this case, the homotopy colimit can be identified with the nerve of the category  $\mathcal{D}$  whose objects are commutative diagrams

$$\begin{array}{ccc} & Y & \\ & \nearrow & \searrow f \\ Z & \longrightarrow & W \end{array}$$

where  $Y$  is obtained from  $Z$  by adjoining finitely many simplices and the map  $f$  is a homotopy equivalence. It will therefore suffice to show that  $\mathcal{D}$  is weakly contractible. In fact, we claim that  $\mathcal{D}$  is filtered. Note that  $\mathcal{D}$  is a full subcategory of a filtered category  $\mathcal{D}^+$ , where we drop the requirement that the map  $f$  be a weak homotopy equivalence. To prove that  $\mathcal{D}$  is also filtered, it will suffice to verify that for every object  $Y \in \mathcal{D}^+$  there exists a morphism  $Y \rightarrow Y'$  where  $Y' \in \mathcal{D}$ . We are therefore reduced to proving the following general assertion about simplicial sets:

**Lemma 6.** *Let  $g : Y \rightarrow W$  be a map of simplicial sets. Suppose that  $|W|$  is homotopy equivalent to a space obtained from  $|Y|$  by attaching finitely many cells. Then  $g$  factors as a composition*

$$Y \rightarrow Y' \xrightarrow{f} W$$

where  $f$  is a weak homotopy equivalence and  $Y'$  is obtained from  $Y$  by adding finitely many simplices.

*Proof.* For simplicity, let us assume that  $|W|$  is homotopy equivalent to a space obtained from  $|Y|$  by attaching a single  $n$ -cell (the proof in the general case is similar). This  $n$ -cell is attached via a map  $S^{n-1} \rightarrow |Y|$ , which can be obtained as the geometric realization of a map of simplicial sets  $A \rightarrow Y$  where  $A$  is some subdivision  $\partial \Delta^n$ . The map  $|Y| \amalg_{S^{n-1}} D^n \rightarrow |Z|$  determines a nullhomotopy  $h$  of the composite map

$$A \rightarrow Y \rightarrow Z$$

after geometric realization. Replacing  $A$  by a subdivision if necessary, we may assume that the nullhomotopy  $h$  arises from a nullhomotopy in the category of simplicial sets. For  $n \gg 0$ , we may assume that  $h$  arises from a simplicial nullhomotopy of the composite map

$$A \rightarrow Y \rightarrow Z \rightarrow \text{Ex}^n Z.$$

We can then take

$$Y' = Y \amalg_{\text{Sd}^n A} \text{Sd}^n(A \times \Delta^1) \amalg_{\text{Sd}^n A} \Delta^0.$$

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## References

- [1] Waldhausen, F. *The Algebraic K-Theory of Spaces*.