

# ELEMENTS OF FINITE GEOMETRY

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## Unit 2: Poincare-Hopf

The Poincare-Hopf theorem writes the Euler characteristic of a space as the degree of a divisor, the sum of integer indices attached to points. This works for locally injective scalar function or locally non-circular vector fields.

**2.1.** Geometry is a finite simple graph  $(V, E)$ . A **scalar function**  $g$  is a map  $g : V \rightarrow R$ , where  $R$  is a totally ordered set, like a subset of  $\mathbb{Z}, \mathbb{Q}$  or  $\mathbb{R}$ . We assume that  $g$  is a **coloring**, meaning that it is **locally injective**:  $g(v) \neq g(w)$  if  $(v, w) \in E$ . For  $v \in V$ , the **stable sphere**  $S_g^-(v)$  is the sub-graph of the unit sphere  $S(v)$ , generated by  $\{w \in S(v), g(w) < g(v)\}$ . Define  $i_g(v) = 1 - \chi(S_g^-(v))$ .

**Theorem:**  $\chi(G) = \sum_{v \in V} i_g(v)$ .

*Proof.* Distribute the energy  $\omega(x)$  of each simplex  $x$  to the vertex  $w$  in  $x$ , where  $g$  takes its maximum. Then  $i_g(v)$  is the total energy which the vertex  $v$  has collected.  $\square$

**2.2.** In terms of the **simplex generating function**  $f_G(t) = 1 + f_0(G)t + \dots + f_d(G)t^{d+1}$ , one has

**Theorem:**  $f_G(t) = 1 + t \sum_{v \in V} f_{S_g^-(v)}(t)$ .

*Proof.* Every  $k$ -simplex  $x$  in  $S_g^-(v)$  gives its energy  $\omega(x)$  to  $v$ . The simplex  $x + v$  contributes to the count of the  $k + 1$  simplex. All energies  $\omega(x), x \in G$  are accounted for.  $\square$

**2.3.** This again gives a recursive computation of  $f_G(t)$  and so the cliques of  $G$ . It is even more efficient than Gauss-Bonnet as  $S_g^-(v)$  is in general smaller than  $S(v)$ . The index of a vertex is

$$i_g(v) = f_{S_g^-(v)}(-1).$$

**2.4.** A **vector field** is a map  $F : G \rightarrow V$  with  $F(x) \in x$ . A **directed graph** with the property that there are no closed loops in each simplex  $x$  defines a vector field by  $F(x) = \max_{v \in x}(v)$ , as the direction defines then a total order on  $x$ . We call this a **locally non-circular digraph**.

**2.5.** Define  $S_F^-(v) = \{x \in G, F(x) = v\} = F^{-1}(v)$  and  $i(v) = 1 - \chi(S_F^-(v))$ . We again have  $f_G(t) = 1 + t \sum_{v \in V} f_{S_F^-(v)}(t)$ .

**2.6.** An example is the **gradient field** defined by a locally injective function  $g$ . In this case  $F(x) = v$ , where  $v$  is the maximum of  $g$  on  $x$ . The index of such a graph is then  $i(v) = 1 - \chi(S^-(v))$ , where  $S^-(v) = \{w \in S(v), w < v\}$ .

**Theorem:** For a locally non-circular directed graph,  $\chi(G) = \sum_{v \in V} i(v)$ .

**2.7.** Define the **symmetric index**  $j_g(v) = [i_g(v) + i_{-g}(v)]/2$ . By linearity, we still have  $\sum_{v \in V} j_g(v) = \chi(G)$ .

**2.8. Examples:**

- (1) Let  $G = C_n$  be a cycle graph and  $g$  a function. Now  $i_g(v) = 1$  for local minima and  $i_g(v) = -1$  for local maxima. Since minima and maxima alternate, there are the same number of minima and maxima.
- (2) If  $G = S_n$  is a star graph, and the maximum is at the center  $c$  then  $i_g(c) = n - 1$  and all leaves have  $i_g(v) = 1$ . The total of all index values is 1. If  $g(c)$  is the  $k$ 'th maximal entry then  $i_g(c) = n - k$  and  $k - 1$  entries that are smaller produce leaf indices 1.
- (3) If  $v$  is a local minimum of  $g$  on  $V$ , then  $i_g(v) = 1$  because  $S(v) = \emptyset$  is the empty graph which has Euler characteristic 0. If  $v$  is a local maximum of  $g$  on  $V$ , then  $i_g(v) = 1 - \chi(S(v))$ . For a 2-manifold for example where  $S(v)$  is a circular graph we have  $i_g(v) = 1$  at a maximum too. At a saddle point, where  $S(v)$  consists of two disconnected arcs, we have  $i_g(v) = -1$ .
- (4) If  $G$  is a complete graph and  $g$  is an arbitrary function, then  $i_g(v) = 1$  at the minimum and  $i_g(v) = 0$  else. The symmetric index is  $1/2$  on the maximum and  $1/2$  on the minimum.
- (5) If  $G$  is a manifold for which  $\chi(S(v)) = 2$  for all  $v$  like for odd dimensional manifolds, then  $\chi_{S_{-g}^-}(v) = 2 - S_g^-$  which can be written as  $i_g(v) = -i_{-g}(v)$  so that  $j_g(v) = 0$  for all  $v$ . Odd dimensional manifolds all have Euler characteristic 0, independent of whether they are orientable.
- (6) If  $G_1$  is the Barycentric refinement of  $G$  in which the vertices are the simplices of  $G$  and two vertices are connected if one is contained in the other, look at the coloring  $g(x) = \dim(x)$ . Now  $S_g^-(x)$  is the boundary complex of the simplex  $x$  and  $1 - \chi(S_g^-(x)) = \omega(x)$ . The Poincaré-Hopf formula now tells that  $\chi(G) = \sum_x \omega(x) = \sum_{v \in V(G_1)} i_g(v) = \chi(G_1)$ . The Barycentric refinement  $G_1$  has the same Euler characteristic than  $G$ .