

LAGRANGIAN FLOER THEORY FOR TRIVALENT GRAPHS AND HOMOLOGICAL MIRROR SYMMETRY FOR CURVES

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ABSTRACT. Mirror symmetry for higher genus curves is usually formulated and studied in terms of Landau-Ginzburg models; however the critical locus of the superpotential is arguably of greater intrinsic relevance to mirror symmetry than the whole Landau-Ginzburg model. Accordingly, we propose a new approach to the A-model of the mirror, viewed as a trivalent configuration of rational curves together with some extra data at the nodal points. In this context, we introduce a version of Lagrangian Floer theory and the Fukaya category for trivalent graphs, and show that homological mirror symmetry holds, namely, that the Fukaya category of a trivalent configuration of rational curves is equivalent to the derived category of a non-Archimedean generalized Tate curve. To illustrate the concrete nature of this equivalence, we show how explicit formulas for theta functions and for the canonical map of the curve arise naturally under mirror symmetry.

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1. INTRODUCTION

Riemann surfaces have been one of the most fruitful sources of examples for the exploration of homological mirror symmetry, starting with the elliptic curve over twenty years ago [PZ], and including some of the earliest evidence of homological mirror symmetry for varieties of general type [Se2, Ef, AAEKO]. Various mirror constructions can be employed to produce mirrors of Riemann surfaces of arbitrary genus. Most of them rely crucially on the choice of an embedding into an ambient toric variety, and typically output a 3-dimensional Landau-Ginzburg model as mirror, as explained in [AAK] (see also [HV, Cla, CLL, GKR]). However there are also some constructions which yield stacky nodal curves as mirrors to Riemann surfaces [STZ, GS, LP]; the two types of mirrors are in some cases related by a form of Orlov's generalized Knörrer periodicity [Or].

The various references mentioned above explore the direction of homological mirror symmetry that compares the Fukaya category of a Riemann surface viewed as a 2-dimensional symplectic manifold (A-model) with the derived category of singularities of the mirror Landau-Ginzburg model (B-model). Here we study the other direction, comparing the derived category of coherent sheaves of a smooth curve (B-model) to the Fukaya category of a mirror Landau-Ginzburg model (A-model). That direction is more challenging, in part due to the difficulty of defining and working with Fukaya categories of non-exact Landau-Ginzburg models with non-compact critical loci. In the one instance where the Landau-Ginzburg mirror is exact, namely for pairs of pants, a verification of the equivalence using the language of microlocal sheaves can be found in [Na]. A comprehensive treatment of this direction of homological mirror symmetry for hypersurfaces in $(\mathbb{C}^*)^n$ (the case $n = 2$ being of interest here), in the language of fiberwise wrapped Fukaya categories of toric Landau-Ginzburg models, can be found in [AA], whereas the example of a genus 2 curve embedded in an abelian surface (its Jacobian) is treated using a similar approach (minus the compactness issues) in Cannizzo's thesis [Ca].

The approach pursued in [AA] and [Ca] makes it clear that the geometry of Landau-Ginzburg mirrors to curves depends very much on the choice of an embedding: in fact the fiber of the superpotential is mirror to the ambient space into which the curve is embedded, with inclusion and restriction functors i_*, i^* on the algebraic side corresponding under mirror symmetry to a pair of adjoint functors \cup, \cap between the Fukaya category of the Landau-Ginzburg model and that of its regular fiber. Thus, it should be no surprise that the various Landau-Ginzburg mirrors to genus 2 curves considered in the papers [Se2, GKR, AAK, Ca] are actually different: for instance the singular fiber of the mirror in [Ca] is irreducible, while those of [GKR, AAK] have three irreducible components. And yet, these mirrors share one common feature, which is that (after crepant resolution in the case of

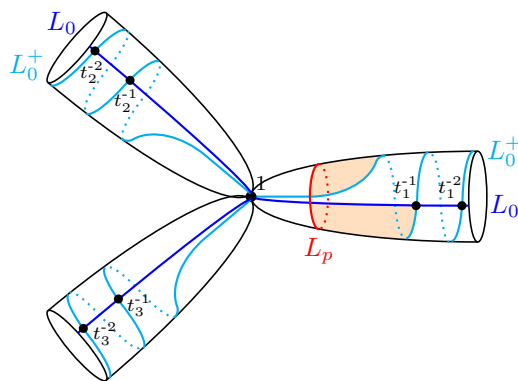


FIGURE 1. Wrapped Floer homology in the mirror of the pair of pants, $M = \bigcup_{i=1}^3 (\mathbb{C}, 0)$

[Se2]) the critical loci of the superpotentials always consist of three rational curves meeting in two triple points. Similarly, for a smooth proper curve of genus $g \geq 2$ curve, the critical locus of a mirror superpotential (possibly after crepant resolution of the total space) consists of a configuration of $3g - 3$ rational curves meeting in $2g - 2$ triple points.

For the other direction of mirror symmetry, it has been proposed that the algebraic geometry of the Landau-Ginzburg model can be replaced by direct consideration of this critical locus, equipped with additional data making it a “perverse curve” [GKR, Ru]; this is generally sound given the local nature of the derived category of singularities, which was shown by Orlov to only depend on the formal neighborhood of the critical locus. Our goal in this manuscript is to do the same for the symplectic geometry (A-model), in order to arrive that a picture of homological mirror symmetry for curves that allows for explicit computations and is manifestly independent of a choice of embedding; there is however a price to pay, due to the non-local nature of Fukaya-Floer theory and the fact that restriction to the critical locus hides away instanton corrections that may be present in the global symplectic geometry of the Landau-Ginzburg model.

The general features of our construction are motivated by considering the simplest example, which serves as a building block for all others:

Example 1.1. *Let X be the pair of pants, i.e. \mathbb{P}^1 minus three points. The mirror Landau-Ginzburg model is $(\mathbb{C}^3, -xyz)$, with critical locus the union of the three coordinate axes in \mathbb{C}^3 , i.e. the mirror we consider is a configuration $M = \bigcup_{i=1}^3 (\mathbb{C}, 0)$ consisting of three copies of the complex plane \mathbb{C} meeting in a triple point at the origin. The mirror to the structure sheaf \mathcal{O}_X is a Lagrangian graph $L_0 = \bigcup_{i=1}^3 \mathbb{R}_{\geq 0}$ consisting of the real positive axis in each component of M . The wrapped Floer cohomology of L_0 inside M has an additive basis consisting of one generator at the origin, and three infinite sequences of generators in each of the ends of M (see Figure 1); these correspond respectively to the constant function 1 and*

to successive powers of the inverses of coordinates t_i near the three punctures of X . Considering the multiplicative structure on $HW^*(L_0, L_0)$, however, it is clear that the structure maps of Lagrangian Floer theory in M must include holomorphic discs that “propagate” from one component to another through the origin, as we explain further in §§2–3.

In order to pass from the pair of pants to the general case, recall first that mirror symmetry is expected to hold near the “large complex structure limit”, i.e., in a non-Archimedean setting. Lee’s thesis [Lee] illustrates the general expectation that mirror symmetry for curves is compatible with pair-of-pants decompositions induced by maximal degenerations. Namely, the construction in [AAK] produces a toric Landau-Ginzburg model from a maximally degenerating family of complex curves in $(\mathbb{C}^*)^2$ near the tropical limit; this mirror is built out of standard affine charts $(\mathbb{C}^3, -xyz)$ glued to each other by toric coordinate changes in a manner that reflects the combinatorial pair-of-pants decomposition of the curve induced by the tropical limit. Lee constructs a version of the wrapped Fukaya category of the curve that can be viewed as a Čech model for this pair-of-pants decomposition, and uses it to prove an equivalence with the derived category of singularities of the mirror [Lee].

While the language of degenerating families of complex curves is convenient when the curve lives on the symplectic side of mirror symmetry, in our setting it is more fruitful to consider a curve X defined over a non-Archimedean field K , the Novikov field of power series with real exponents in a formal variable T , which is the natural field of definition of Fukaya categories in the non-exact setting. We consider non-Archimedean curves obtained by smoothing a maximally degenerate nodal configuration X^0 , given by a union of rational curves with three marked points, identified pairwise across components according to a trivalent graph.

Definition 1.2. *The combinatorial data for our construction is the following. Let G be a finite (unoriented) graph, with set of vertices V and set of edges E , such that each vertex $v \in V$ has degree 3, and without loops (edges from a vertex to itself). We write e/v when $e \in E$ is incident to $v \in V$.*

For each $v \in V$, we take X_v^0 to be a copy of $\mathbb{P}_{\mathbb{Z}}^1$, and for each e/v , we fix a \mathbb{Z} -point $x_{e/v} \in X_v^0$, so that $x_{e/v}$, and $x_{e'/v}$ are disjoint for $e \neq e'$.

For each e/v , we choose a coordinate $t_{e/v}$ on X_v^0 , such that $t_{e/v}(x_{e/v}) = 0$ and $t_{e/v}$ takes values $1, \infty$ at the other two marked points.

We also introduce formal variables $\{q_e\}_{e \in E}$, which will be set to elements of the Novikov field with valuation $\text{val}(q_e) = A_e > 0$.

We explain in Section 4 how to produce generalized Tate curves by smoothing the nodal curve $X^0 = (\bigsqcup_{v \in V} X_v^0) / (x_{e/v} \sim x_{e'/v'} \forall e \in E, v \neq v')$. In terms of rigid analytic geometry,

the construction amounts to replacing each node of X^0 by its smoothing defined in terms of local coordinates by $t_{e/v}t_{e/v'} = q_e$, producing a curve X_K on which the valuations of the coordinates $t_{e/v}$ naturally take values in a metric graph modelled on G and with edge lengths $A_e = \text{val}(q_e)$.

The A-side is a trivalent configuration M of 2-spheres, where the components are in bijection with E , and the nodes are in bijection with V . (Thus each component of M passes through two triple points). We denote by $\{A_e\}_{e \in E}$ the symplectic areas of the components. The Fukaya category $\mathcal{F}(M)$ is defined in Section 3. Besides simple closed curves in the complement of the nodes, this category also includes objects which are embedded trivalent graphs in M , consisting of one arc joining the two nodes inside each component; the Floer theory of these objects involves configurations of holomorphic discs which propagate through the vertices, according to rules determined by the coordinates $t_{e/v}$ chosen as part of the combinatorial data (see §3).

Our main result is then:

Theorem 1.3. *Given combinatorial data as above, and setting $q_e = T^{A_e}$, the Fukaya category $\mathcal{F}(M)$ is equivalent to $\text{Perf}(X_K)$.*

Remark 1.4. *Equipping M with a B-field or bulk deformation of the Fukaya category gives an extension of this result to arbitrary values of $q_e \in K$ with $\text{val}(q_e) = A_e > 0$. Also, the requirement that G has no loops is purely for convenience of notation, so that the half-edges of G can be labelled unambiguously; apart from the notation issues, the result extends immediately to the case with loops, with the same proof.*

Remark 1.5. *On the A-side we can also allow some components of M to be $S^2 \setminus \{pt\}$, i.e. the complex plane \mathbb{C} , with a single triple point on each such component. These noncompact components are equipped with a symplectic form of infinite area, and the Fukaya category can be defined either with wrapping at infinity or with a stop at infinity. Combinatorially this amounts to allowing G to have “external edges” (so that each vertex still has three edges attached to it, but external edges do not connect to another vertex; we do not associate a formal parameter q_e to the external edge). On the B-side, we do not attach any other component to X_v^0 at the marked point $x_{e/v}$ corresponding to an external edge, but in the wrapped case we delete the point $x_{e/v}$ from X^0 and X ; in the stopped case we do not do anything at $x_{e/v}$. For instance, the pair of pants (Example 1.1) corresponds to the case of a single vertex, with three external edges. The analogue of Theorem 1.3 in this setting follows readily from our proof of the theorem.*

Remark 1.6. *We mention that one can verify explicitly that the product structure on the ring of regular functions of an affine elliptic curve matches the structure constants of the*

Floer product on the A-model (which in this case has one component of the form $S^2 \setminus \{pt\}$, with wrapping at infinity, and one component of the form $S^2/(p \sim q)$).

Another extension of Theorem 1.3 is to consider curves near a non-maximal degeneration, i.e. graphs whose vertices may have valency greater than 3. On the B-side, this amounts to considering curves obtained by smoothing nodal configurations where each \mathbb{P}^1 may carry more than three nodes (we accordingly relax the requirements on the local coordinates $t_{e/v}$ used to construct X). On the A-side, this amounts to allowing M to have nodes where more than three components attach to each other; objects are still supported on graphs consisting of one arc joining the two nodes in each component of M . Our proof of Theorem 1.3 can be adapted to this setting to establish homological mirror symmetry over the entire moduli space of rigid analytic curves.

The rest of this paper is organized as follows. Section 2 discusses the case of the pair of pants and the symplectic geometry of the Landau-Ginzburg model $(\mathbb{C}^3, -xyz)$ in order to motivate some of the key features of our A-model construction; we also highlight some key differences between our construction and other approaches. Section 3 is devoted to the definition of our A-model (the Fukaya category of a trivalent configuration of spheres). In Section 4 we describe the construction of the B-model (the curve X) from the combinatorial data, and Theorem 1.3 is then proved in Section 5; the argument involves a version of the Fukaya category $\mathcal{F}(M)$ with Hamiltonian perturbations (similar to the construction in [Lee]), homological perturbation theory, and a restriction diagram for decompositions of X_K and M into pairs of pants and their mirrors. Sections 5.6 and 6 illustrate the very concrete nature of the equivalence of A- and B-models in our setup (in sharp contrast with Fukaya categories of Landau-Ginzburg models): we show how theta functions arise from the construction of the mirror functor, and we determine explicitly the canonical map of the curve X_K and its A-model counterpart for a general trivalent graph. Finally, in Section 7 we give a tentative (and highly speculative) description of how our construction and results ought to generalize to the higher-dimensional setting.

2. MOTIVATION AND COMPARISON WITH OTHER APPROACHES

In this section we discuss some features of the symplectic geometry of Landau-Ginzburg mirrors to plane curves, focusing in particular on the case of $(\mathbb{C}^3, -xyz)$ (mirror to the pair of pants). This material is useful to understand the rationale for the construction described in Section 3, and some of its key differences with other approaches, but it is not part of the main argument; the reader who wishes to get straight to the precise formulation of our construction and the proof of Theorem 1.3 can skip this section altogether.

2.1. Motivation: the mirror of the pair of pants. We first turn our attention to the symplectic geometry of the Landau-Ginzburg model $(\mathbb{C}^3, -xyz)$ and the manner in which it is reflected in our A-model construction in the case of the pair of pants (Example 1.1).

The general philosophy of trying to reduce the symplectic geometry of a Landau-Ginzburg model to that of its critical locus is motivated by the well-understood case of Lefschetz fibrations and, less well understood but closer to our setting, Morse-Bott fibrations. For instance, the construction in [AAK] associates to a smooth elliptic curve X (embedded into a toric surface) a 3-dimensional Landau-Ginzburg model (Y, W) whose singularities are Morse-Bott along a smooth elliptic curve $M = \text{crit}(W) \subset Y$, which is in fact the “usual” mirror of X . We can then upgrade an object of the Fukaya category of M (i.e., a simple closed curve with a local system) to a Lagrangian *thimble* in Y , obtained by parallel transport over an arc connecting the critical value of W (the origin) to $+\infty$: to $L \in \mathcal{F}(M)$ we associate $\mathcal{T}(L) \in \mathcal{F}(Y, W)$, the admissible Lagrangian consisting of those points of Y where the negative gradient flow of $\text{Re}(W)$ with respect to a Kähler metric converges to a point of L (together with the pullback local system). In this example the construction gives rise to a functor $\mathcal{T} : \mathcal{F}(M) \rightarrow \mathcal{F}(Y, W)$, which is in fact an equivalence; we note however that for a general Morse-Bott fibration the situation can be slightly more complicated (see e.g. [AAK, Corollary 7.8]).

The case of interest to us falls outside of the Morse-Bott setting: we consider the Landau-Ginzburg model $(\mathbb{C}^3, -xyz)$ and its fiberwise wrapped Fukaya category. The objects of $\mathcal{F}(\mathbb{C}^3, -xyz)$ are admissible Lagrangian submanifolds of \mathbb{C}^3 , whose image under the projection $W = -xyz : \mathbb{C}^3 \rightarrow \mathbb{C}$ consists, near infinity, of one or more rays pointing towards $\text{Re}(W) \rightarrow +\infty$, while morphisms involve Hamiltonian perturbations that act on Lagrangians by wrapping at infinity within the fibers of W and by pushing rays in the base of the fibration slightly in the counterclockwise direction [AA].

The Fukaya category of a Landau-Ginzburg model is related to that of the regular fiber (in this case, the wrapped Fukaya category of $(\mathbb{C}^*)^2$) by a pair of spherical functors [AG, AS], often denoted \cup and \cap , which we briefly describe. On objects, the cup functor (also called Orlov functor)

$$\cup : \mathcal{W}((\mathbb{C}^*)^2) \rightarrow \mathcal{F}(\mathbb{C}^3, -xyz)$$

takes a Lagrangian submanifold ℓ of $(\mathbb{C}^*)^2 \simeq \{xyz = 1\} = W^{-1}(-1)$ and considers its parallel transport in the fibers of $W = -xyz$ over a U-shaped arc to produce an admissible Lagrangian submanifold $\cup\ell \subset \mathbb{C}^3$. The cap functor

$$\cap : \mathcal{F}(\mathbb{C}^3, -xyz) \rightarrow \text{Tw } \mathcal{W}((\mathbb{C}^*)^2)$$

restricts an admissible Lagrangian $\mathbf{L} \subset \mathbb{C}^3$ to the fiberwise Lagrangians in its ends at $\operatorname{Re}(W) \rightarrow \infty$; if there is only one such end this produces an object of $\mathcal{W}((\mathbb{C}^*)^2)$, otherwise one obtains a twisted complex built from the objects in the various ends of \mathbf{L} , with connecting differentials given by counts of holomorphic discs in \mathbb{C}^3 with boundary in \mathbf{L} (with one outgoing strip-like end towards $\operatorname{Re}(W) \rightarrow \infty$). The argument in [AA] proves homological mirror symmetry for the pair of pants (and for other very affine hypersurfaces) in a manner compatible with these functors, namely:

Theorem 2.1 ([AA]). $\mathcal{F}(\mathbb{C}^3, -xyz)$ is equivalent to the derived category of the pair of pants $X = \{(x_1, x_2) \in (K^*)^2 \mid 1 + x_1 + x_2 = 0\}$, and we have a commutative diagram

$$\begin{array}{ccc} \operatorname{Tw} \mathcal{F}(\mathbb{C}^3, -xyz) & \begin{array}{c} \xrightarrow{\cap} \\ \xleftarrow{\cup} \end{array} & \operatorname{Tw} \mathcal{W}((\mathbb{C}^*)^2) \\ \downarrow \simeq & & \downarrow \simeq \\ \operatorname{Perf}(X) & \begin{array}{c} \xrightarrow{i_*} \\ \xleftarrow{i^*} \end{array} & \operatorname{Perf}((K^*)^2) \end{array}$$

i.e. the functors \cap and \cup correspond under mirror symmetry to the inclusion and restriction functors i_* and i^* between the derived categories of X and of the ambient space $(K^*)^2$.

The critical locus $M = \operatorname{crit}(W)$ is the union of the coordinate axes in \mathbb{C}^3 , hence not smooth, but the singularities of W are Morse-Bott away from the origin; given an embedded Lagrangian submanifold L_p in the smooth part of M , we can build a thimble $\mathcal{T}(L_p) \subset \mathbb{C}^3$ by parallel transport over the real positive axis. For example, if we use the standard Kähler form of \mathbb{C}^3 , and start from $L_p = \{(x, 0, 0) \mid |x| = r\} \subset M$, we obtain the Lagrangian

$$\mathcal{T}(L_p) = \{(x, y, z) \in \mathbb{C}^3 \mid |x|^2 - r^2 = |y|^2 = |z|^2, -xyz \in \mathbb{R}_{\geq 0}\}$$

(in [AA] a different toric Kähler form is used for technical reasons, but this is immaterial to our discussion). $\mathcal{T}(L_p)$ can be equipped with a (unitary, i.e. valuation-preserving) local system of rank 1 over the Novikov field K , and should also be endowed with a bounding cochain to cancel out the Floer-theoretic obstruction arising from the holomorphic discs bounded by $\mathcal{T}(L_p)$ (namely, the disc of radius r in the x -axis, whose symplectic area we denote by A , and its multiple covers); this yields a so-called Aganagic-Vafa Lagrangian brane in $\mathcal{F}(\mathbb{C}^3, -xyz)$, which is mirror to the skyscraper sheaf \mathcal{O}_p of a point p of the pair of pants $X = \{1 + x_1 + x_2 = 0\}$ with $\operatorname{val}(x_1(p)) = A$; the values of the coordinates (x_1, x_2) depend on the choice of local system and bounding cochain. The vanishing cycle, i.e. the boundary at infinity $\Lambda_p = \cap \mathcal{T}(L_p)$, is a Lagrangian torus in $(\mathbb{C}^*)^2$ equipped with a rank 1 local system (whose holonomy is nontrivial even along the S^1 -factor that bounds a disc inside $\mathcal{T}(L_p)$, due to the obstruction-cancelling bounding cochain); it is in fact mirror to

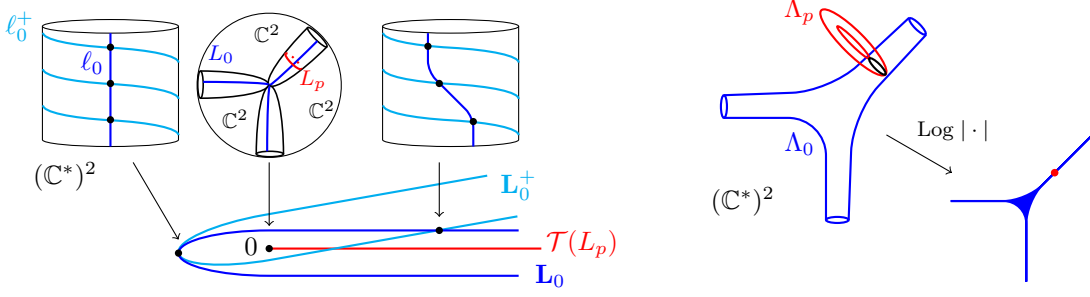


FIGURE 2. Left: $\mathbf{L}_0 = \cup \ell_0 \in \mathcal{F}(\mathbb{C}^3, -xyz)$ and the thimble $\mathcal{T}(L_p)$. Right: the tropical Lagrangian pair of pants $\Lambda_0 \simeq \cap \mathbf{L}_0 \subset (\mathbb{C}^*)^2$, and $\Lambda_p = \cap \mathcal{T}(L_p)$.

the skyscraper sheaf of the point p in $(K^*)^2$, as expected given that \cap corresponds to i_* under mirror symmetry.

Since the object which corresponds to the structure sheaf of X should intersect each of the point objects once, it is natural to consider the singular Lagrangian $L_0 = \bigcup_{i=1}^3 \mathbb{R}_{\geq 0}$ consisting of the union of the real positive axes in the three components of M . Parallel transport can be used to produce a piecewise linear Lagrangian cycle in $(\mathbb{C}^3, -xyz)$ out of L_0 , whose intersection Λ_0^{PL} with a smooth fiber $\{-xyz = c \gg 0\}$ near infinity (the “PL vanishing cycle”) is the union of the semi-infinite cylinders $\{|x| \geq |y| = |z|, \arg(x) = 0\}$, $\{|y| \geq |x| = |z|, \arg(y) = 0\}$, $\{|z| \geq |x| = |y|, \arg(z) = 0\}$ and two triangular portions of the torus $\{|x| = |y| = |z|\}$. However it is not clear how one could modify this construction to produce a smooth admissible Lagrangian in \mathbb{C}^3 .

Thus, the argument in [AA] bypasses attempts to construct a thimble and instead considers the object $\mathbf{L}_0 = \cup \ell_0 \in \mathcal{F}(\mathbb{C}^3, -xyz)$ obtained by parallel transport of $\ell_0 = (\mathbb{R}_+)^2 \subset (\mathbb{C}^*)^2$ over a U-shaped arc in the complex plane; see Figure 2.

The proof of homological mirror symmetry in [AA] relies on a direct calculation to show that the fiberwise wrapped Floer complex of \mathbf{L}_0 is given by

$$\text{End}(\mathbf{L}_0) \simeq \left\{ CW^*(\ell_0, \ell_0)[1] \xrightarrow{\partial} CW^*(\ell_0, \ell_0) \right\} \simeq \left\{ K[x_1^{\pm 1}, x_2^{\pm 1}][1] \xrightarrow{1+x_1+x_2} K[x_1^{\pm 1}, x_2^{\pm 1}] \right\},$$

and that the cohomology algebra agrees with the ring of functions of the pair of pants. (The two terms in the complex correspond to intersections between \mathbf{L}_0 and its positive perturbation \mathbf{L}_0^+ inside the two fibers of W depicted on Figure 2 left; each of these amounts to the wrapped Floer cohomology of ℓ_0 in $(\mathbb{C}^*)^2$, and the connecting differential is a count of holomorphic sections over the bigon visible in the base of the fibration.) While this calculation leads to a proof of homological mirror symmetry for the pair of pants X and the Landau-Ginzburg model $(\mathbb{C}^3, -xyz)$, it does not shed light on how the endomorphisms of \mathbf{L}_0 might relate to a version of wrapped Floer homology for $L_0 = \bigcup_{i=1}^3 \mathbb{R}_{\geq 0}$ inside M (cf. Figure 1):

indeed, $HW_M^0(L_0, L_0)$ comes with a distinguished basis (up to scaling) corresponding to Floer generators, while $H^0 \text{End}(\mathbf{L}_0)$ arises as a quotient of $HW_{(\mathbb{C}^*)^2}^0(\ell_0, \ell_0) \simeq K[x_1^{\pm 1}, x_2^{\pm 1}]$ by the ideal generated by $1 + x_1 + x_2$, and does not have a preferred basis.

A more promising approach stems from the observation that, even though \mathbf{L}_0 has two ends at $\text{Re}(W) \rightarrow +\infty$ and hence maps under the cap functor to a twisted complex rather than a single Lagrangian, specifically the mapping cone $\{\ell_0[1] \xrightarrow{1+x_1+x_2} \ell_0\} \in \text{Tw } \mathcal{W}((\mathbb{C}^*)^2)$, in fact this twisted complex can be represented geometrically by an embedded Lagrangian $\Lambda_0 \subset (\mathbb{C}^*)^2$, the *tropical Lagrangian pair of pants* introduced independently by Hicks, Matessi and Mikhalkin [Hi, Ma, Mi]; not coincidentally, Λ_0 is in fact a smoothing of the PL vanishing cycle Λ_0^{PL} . We note that the construction given by Hicks explicitly realizes the tropical Lagrangian pair of pants as a mapping cone between ℓ_0 and its image under the monodromy of the fibration W , making it apparent that $\cap \mathbf{L}_0 \simeq \Lambda_0$ [Hi]. This is relevant because the map $\text{Hom}(\mathbf{L}_0, \mathbf{L}_0) \rightarrow \text{Hom}(\cap \mathbf{L}_0, \cap \mathbf{L}_0)$ induced by the cap functor is injective (in fact this holds for every object of $\mathcal{F}(\mathbb{C}^3, -xyz)$, because the exact triangle of functors involving the counit of the adjunction $\cup \cap \rightarrow \text{id}$ is split). Therefore $H^* \text{End}(\mathbf{L}_0)$ naturally arises as a summand in the wrapped Floer cohomology $HW^*(\Lambda_0, \Lambda_0)$ in $(\mathbb{C}^*)^2$, specifically it is the degree zero part $HW^0(\Lambda_0, \Lambda_0)$. This corresponds under mirror symmetry to the fact that $\text{Hom}^0(i_* \mathcal{O}_X, i_* \mathcal{O}_X) \simeq \text{End}(\mathcal{O}_X)$. Summarizing, we have:

Proposition 2.2. *The degree zero wrapped Floer cohomology $HW^0(\Lambda_0, \Lambda_0)$ of the tropical Lagrangian pair of pants Λ_0 inside $(\mathbb{C}^*)^2$ is isomorphic (as a ring) to $\text{End}(\mathcal{O}_X)$, i.e. the ring of functions of the pair of pants X .*

Thus, our definition of the wrapped Floer cohomology of L_0 inside M is motivated by an analogy with the degree 0 wrapped Floer cohomology of Λ_0 in $(\mathbb{C}^*)^2$. $HW^0(\Lambda_0, \Lambda_0)$ has one generator e corresponding to the minimum of the wrapping Hamiltonian, representing the identity element for the Floer product, and one infinite sequence of generators $\theta_{i,k}$, $k \geq 1$, $1 \leq i \leq 3$ in each of the three legs of Λ_0 (corresponding to trajectories of the Hamiltonian flow which wrap k times in the $\arg(x)$ (resp. $\arg(y)$, $\arg(z)$) direction).

Lemma 2.3. *Under the isomorphism $HW^0(\Lambda_0, \Lambda_0) \simeq \text{End}(\mathcal{O}_X)$, the Floer generator $\theta_{i,k}$ corresponds to a regular function on X which, as a rational function on \mathbb{P}^1 , has a pole of order k at the i^{th} puncture, and no other poles.*

Proof. Recall that the wrapped Floer complex of Λ_0 is the direct limit of the Floer complexes $CF^*(\Lambda_n, \Lambda_0)$, where Λ_n is the image of Λ_0 under a Hamiltonian diffeomorphism which wraps each of the three legs n times at infinity. The direct limit is taken with respect to the continuation maps $CF^*(\Lambda_n, \Lambda_0) \rightarrow CF^*(\Lambda_{n+1}, \Lambda_0)$ associated to positive

Hamiltonian isotopies from Λ_n to Λ_{n+1} (“wrapping once”); it is not hard to check that the image of $CF^0(\Lambda_n, \Lambda_0)$ inside $CW^0(\Lambda_0, \Lambda_0)$ is the span of e and $\theta_{i,k}$, $k \leq n$.

These Floer complexes describe morphisms in the Fukaya category $\mathcal{F}((\mathbb{C}^*)^2, x + y + z)$, which is equivalent to $D^b(\mathbb{P}^2)$, with Λ_0 (resp. Λ_n) corresponding to $\mathcal{O}_{\bar{X}}$ (resp. $\mathcal{O}_{\bar{X}}(-3n)$), where $\bar{X} = \{(x_1 : x_2 : x_3) \mid x_1 + x_2 + x_3 = 0\} \subset \mathbb{P}^2$, while the continuation map for wrapping once amounts to multiplication by the monomial $x_1 x_2 x_3$ [Ha]. The direct limit thus corresponds to rational functions on \bar{X} which are allowed to have arbitrary pole orders at the three points where one of the homogeneous coordinates vanishes, i.e. regular functions on X , while the image of $HF^0(\Lambda_n, \Lambda_0)$ in $HW^0(\Lambda_0, \Lambda_0)$ corresponds to rational functions with poles of order at most n at the punctures of X .

In fact, $\theta_{i,k}$ is the image under continuation of a generator of the Floer complex of Λ_0 with its image under wrapping just the i^{th} leg k times. The continuation map for this Hamiltonian isotopy amounts to multiplication by x_i^k (again by [Ha]), and thus we conclude that $\theta_{i,k}$ corresponds to a rational function which has only a pole of order at most k at the i^{th} puncture of X ; and the pole order has to be exactly k since there is no generator corresponding to $\theta_{i,k}$ when we wrap $k - 1$ times. \square

As a sanity check, we note that any collection of rational functions as in the lemma gives an additive basis of $H^0(X, \mathcal{O}_X)$ (as follows e.g. from partial fraction decomposition).

The multiplicative structure on $HW^0(\Lambda_0, \Lambda_0)$ is surprisingly difficult to calculate explicitly, and so is the Floer product

$$(2.1) \quad \mu^2 : HW^0(\Lambda_0, \Lambda_p) \otimes HW^0(\Lambda_0, \Lambda_0) \rightarrow HW^0(\Lambda_0, \Lambda_p)$$

where Λ_p is a Lagrangian torus in $(\mathbb{C}^*)^2$ with a rank one local system, corresponding to the skyscraper sheaf of a point $p \in X$, and under our dictionary, to a circle L_p inside the smooth part of M , equipped with a rank one local system. The leading order terms of these products, corresponding to the holomorphic discs with the lowest geometric energy, can be determined readily; when considering generators which lie within a single end, the projections of these holomorphic discs from $(\mathbb{C}^*)^2$ onto the appropriate coordinate axis in M look precisely like the configurations depicted in Figure 1, and in fact they replicate the geometry of wrapped Floer homology in (one half of) the infinite cylinder.

The geometric reason for this similarity is that, in the open subset $U_x \subset (\mathbb{C}^*)^2$ where $|x| > \max(|y|, |z|) + C$ for a suitable constant $C > 0$, we can treat the geometry as the product of a factor \mathbb{C}^* with coordinate x , inside which Λ_0 corresponds to the real positive axis $\arg(x) = 0$ while Λ_p corresponds to a circle $|x| = \text{constant}$, and another factor inside which Λ_0 and Λ_p both correspond to the circle $|y| = |z|$ (whose self-Floer homology is responsible for the presence of generators in two different degrees, even though only degree

0 is of interest to us). Thus, among the holomorphic discs contributing to the product structure on $HW^0(\Lambda_0, \Lambda_0)$ and to (2.1), those which remain within U_x can be determined explicitly, and agree with the corresponding products in wrapped Floer cohomology for the real axis and a circle inside (one half of) \mathbb{C}^* . (Similarly for the two other ends of Λ_0 .)

If these discs were the only ones contributing to Floer products, then it would follow that $\theta_{i,k} = (\theta_{i,1})^k$, so that $\theta_{i,k}$ corresponds to the k^{th} power of a rational function of degree 1 with a single pole at the i^{th} puncture of X (i.e., the inverse of a local coordinate t_i), and the product (2.1) corresponds to evaluation at a point p where the value of the coordinate t_i is directly determined by the position of Λ_p and the holonomy of its local system around the x factor. However, there is no obvious reason why every holomorphic disc contributing to the Floer product should be entirely contained in U_x , even if its inputs and output all lie near $|x| \rightarrow \infty$; for example, the Floer differential on $CF^0(\Lambda_0, \Lambda_p)$ is known to involve not only holomorphic discs within U_x but also some whose image under the logarithm map propagates all the way to the vertex of the tropical pants [Hi]. The model we construct in §3 below ignores the contributions from any such discs, and instead chooses the correspondence between the wrapped Floer cohomology of L_0 in M and the ring of functions of X to be the simplest possible one, *even though this means that the identification between $\text{End}(L_0)$ and $HW^0(\Lambda_0, \Lambda_0)$ may differ from the expected one by instanton corrections.*

Additionally, there is a well-known ambiguity in the manner in which a local system on a simple closed curve $L_p \subset M$ determines one on $\Lambda_p = \partial\mathcal{T}(L_p)$ in such a way that the point p lies on the pair of pants X . This is because equipping the thimble $\mathcal{T}(L_p)$ with a bounding cochain requires the choice of a splitting of the map $H_1(\Lambda_p) \twoheadrightarrow H_1(\mathcal{T}(L_p)) \simeq H_1(L_p)$; in the literature on open Gromov-Witten theory this is called a *framing* for each leg of M . It is not hard to see that the choice of framing amounts to a choice of local coordinate on X ; the most natural choices for each puncture are those given by ratios of homogeneous coordinates on the compactification $\bar{X} \subset \mathbb{P}^2$, which take the values -1 and ∞ at the other two punctures (compare with Definition 1.2), but from a Floer-theoretic perspective there is no particular reason to restrict oneself to these. In fact, considerations about equivariance with respect to permuting the coordinates (x, y, z) suggest that the zeroes of the rational functions t_i^{-1} associated to the generators $\theta_{i,1}$ are not at the punctures of X but rather at the points with homogeneous coordinates $(-\frac{1}{2}:-\frac{1}{2}:1)$, $(-\frac{1}{2}:1:-\frac{1}{2})$, and $(1:-\frac{1}{2}:-\frac{1}{2})$.

Regardless of the above issues, the most important unexpected feature of wrapped Floer theory in M that emerges from our geometric considerations is that *holomorphic discs in M must be allowed to propagate through the vertex at the origin.* By using mirror symmetry and calculating the product in the ring of functions $H^0(X, \mathcal{O}_X)$, the following is a direct consequence of Lemma 2.3:

Lemma 2.4. *For $i \neq j$ and $k, \ell \geq 1$, the Floer product $\mu^2(\theta_{i,k}, \theta_{j,\ell}) \in HW^0(\Lambda_0, \Lambda_0)$ is a nontrivial linear combination of the generators e , $\theta_{i,k'}$ ($k' \leq k$) and $\theta_{j,\ell'}$ ($\ell' \leq \ell$). Moreover, for any given generator $\theta_{i,k}$, the Floer product (2.1) is nonzero for all but finitely many tori with local systems Λ_p corresponding to skyscraper sheaves \mathcal{O}_p , $p \in X$.*

Therefore, irrespective of the exact manner in which we transcribe the wrapped Floer cohomologies $HW^0(\Lambda_0, \Lambda_0)$ and $HW^0(\Lambda_0, \Lambda_p)$ into Lagrangian Floer theory for L_0 and L_p inside M and the instanton corrections that may be packaged into this dictionary, Floer products in M must include not only holomorphic discs which lie inside one of the three components of M , but also nodal configurations of discs which lie in different components and are attached to each other through the origin. That such a construction can be carried out in a way that accurately reflects the geometry of homological mirror symmetry is *a priori* not clear; thus, instead of relying on the above intuition, in Sections 3 and 5 we describe our A-model construction from scratch, verify that its product operations satisfy the A_∞ -relations, and verify homological mirror symmetry.

2.2. Beyond the pair of pants. While our main focus is on mirrors of closed curves, our construction also applies in the punctured case, where the more usual approaches to mirror symmetry stem from Hori-Vafa and Aganagic-Vafa's construction of mirror curves from toric Calabi-Yau 3-folds [HV, AV].

The next simplest example after the pair of pants is the 4-punctured genus 0 curve $X = \mathbb{P}^1 \setminus \{0, 1, q, \infty\}$. It was first shown by Hori-Vafa [HV] and Aganagic-Vafa [AV] that the curve X (or rather, a specific embedding of X as a very affine plane curve) arises out of mirror symmetry for a toric Calabi-Yau 3-fold Y , the so-called “resolved conifold”, i.e. the total space of the bundle $\mathcal{O}(-1) \oplus \mathcal{O}(-1) \rightarrow \mathbb{C}\mathbb{P}^1$; or more precisely, a toric Landau-Ginzburg model (Y, W) consisting of Y equipped with a suitable superpotential [CLL, AAK]. Choosing a toric Kähler form on Y for which the area of the zero section $\mathbb{C}\mathbb{P}^1 \subset Y$ is A , its Hori-Vafa mirror curve is $C = \{(x, y) \mid 1 + x + y + qxy = 0\}$ in $(\mathbb{C}^*)^2$ or, working over the Novikov field, $(K^*)^2$; here $q = T^A$.

The critical locus $M = \text{crit}(W)$ is the union of the 1-dimensional toric strata of Y , i.e. the zero section $\mathbb{C}\mathbb{P}^1$ and four copies of \mathbb{C} (the fibers of the two distinguished line subbundles over 0 and ∞). This configuration is described by a graph G with two trivalent vertices v, v' (corresponding to the two triple points of M) connected by a single edge e corresponding to the $\mathbb{C}\mathbb{P}^1$ component of M , while the other (external) edges e_1, \dots, e_4 correspond to the four \mathbb{C} components. The construction in Section 4 then produces X out of two punctured rational curves $W_v^0, W_{v'}^0$, equipped with coordinates $t_{e/v}, t_{e/v'}$ identifying them with $\mathbb{P}^1 \setminus \{0, 1, \infty\}$, by setting $t_{e/v}t_{e/v'} = q_e = T^A$.

In this case, Hori-Vafa mirror symmetry and our construction agree (with the same mirror parameter $q = q_e = T^A$), as we can identify X with C by considering the distinguished coordinates $t_{e/v} = -x^{-1}$ on $X \simeq C \simeq \mathbb{P}^1 \setminus \{0, 1, q, \infty\}$. However, the Hori-Vafa curve C arises naturally as a plane curve, with distinguished ambient coordinates x, y (up to monomial coordinate changes) arising from the toric geometry of Y ; while our mirror curve X does not come with an embedding, though it does have a preferred collection of coordinates after combinatorial data is chosen for the external edges as in Definition 1.2. The most natural choice is to have the coordinate for each external edge take the value ∞ at the puncture corresponding to the edge e and 1 at the other puncture, which yields: for the vertex v , $t_{e/v} = -x^{-1}$, $t_{e_1/v} = -x$, and $t_{e_2/v} = 1 + x$; for the vertex v' , $t_{e/v'} = -qx$, $t_{e_3/v'} = -(qx)^{-1}$, $t_{e_4/v'} = 1 + (qx)^{-1}$. In toric mirror symmetry, the most natural coordinate on the end of C where $y \rightarrow 0$ is y^{-1} (or y^{-1} times some power of x); while in our setting it is $t_{e_2/v}^{-1} = (1 + x)^{-1} = (q - 1)^{-1}(y^{-1} + q)$.

This discrepancy is due to the different manners in which the mirror curve is built from the local pairs of pants in the two approaches. The Hori-Vafa mirror curve C is assembled by patching together the pairs of pants $1 + x + y = 0$ (corresponding to the vertex v) and $q + x^{-1} + y^{-1} = 0$ (or equivalently $qxy + x + y = 0$, corresponding to the vertex v'), combining the various monomials into a single Laurent polynomial (here, $1 + x + y + qxy$). There is no preferred mapping from the pairs of pants to the assembled curve but, if we choose to match the pieces to each other via the x coordinate, then the gluing of the two pairs of pants involves a correction of the y coordinate, which can be understood in terms of the enumerative geometry of “outer Aganagic-Vafa branes” on the resolved conifold [AV]. By contrast, in our construction the gluing between pairs of pants to build X does not involve a deformation of the local coordinates $t_{e_i/v}$ (meaning, a posteriori, that the expression for $t_{e_2/v}$ in terms of y already incorporates the needed corrections).

The differences between the two approaches become starker if we consider higher genus examples. Consider e.g. the case where Y is the total space of $\mathcal{O}(-3) \rightarrow \mathbb{C}\mathbb{P}^2$. Choosing a toric Kähler form for which the symplectic area of $\mathbb{C}\mathbb{P}^1$ is A , the Hori-Vafa mirror curve is $C = \{(x, y) \mid f(q) + x + y + q/xy = 0\}$ in $(K^*)^2$, where $q = T^A$ and $f(q) = 1 - 2q + 5q^2 - 32q^3 + \dots$ is a generating series for certain open Gromov-Witten invariants in Y (see e.g. [CLL, §5.3.3] and references therein). The thrice-punctured elliptic curve C is isomorphic to $X = (K^* - q_e^{\mathbb{Z}})/q_e^{3\mathbb{Z}}$, where $q_e = -q(1 - 9q + 108q^2 - 1461q^3 + \dots)$.

In our setup, X can be obtained by gluing together three pairs of pants $W_{v_i}^0$ ($i \in \mathbb{Z}/3$) with coordinates $t_{e_i/v_i} = t_i \in \mathbb{P}^1 \setminus \{0, 1, \infty\}$, $t_{e_{i+1}/v_i} = t_i^{-1}$, and $t_{e'_i/v_i} = 1 - t_i$, via $t_{e_{i+1}/v_i} t_{e_{i+1}/v_{i+1}} = q_e$ for all $i \in \mathbb{Z}/3$, or equivalently, $t_{i+1} = q_e t_i$. Thus, our construction produces X as mirror curve if we take M to be the union of the 1-dimensional strata of

Y , assigning the corrected Kähler parameter q_e to each of the three \mathbb{P}^1 components and using the above sets of coordinates on the pair of pants as combinatorial data for the three triple points.

We note that the functions t_{e_i/v_i} do not determine actual coordinates on the punctured elliptic curve X , but rather on its \mathbb{Z} -cover $\tilde{X} = K^* - q_e^{\mathbb{Z}}$. (This is related to the construction of our curves as quotients of punctured \mathbb{P}^1 's via Schottky uniformization, cf. Section 4.) Thus, they behave very differently from the ambient coordinates (x, y) for the Hori-Vafa curve C . A posteriori, those can be recovered from the coordinate t on $\tilde{X} = K^* - q_e^{\mathbb{Z}}$ as ratios of suitable theta functions:

$$x = \frac{\vartheta_{-\frac{1}{6}, \frac{1}{2}}(q_e^3, t)^2}{\vartheta_{\frac{1}{6}, \frac{1}{2}}(q_e^3, t)\vartheta_{\frac{1}{2}, \frac{1}{2}}(q_e^3, t)} = \frac{-q_e^{-1/3}t^{-1}\left(\sum_{n \in \mathbb{Z}} (-1)^n q_e^{(3n^2-n)/2} t^n\right)^2}{\left(\sum_{n \in \mathbb{Z}} (-1)^n q_e^{(3n^2+n)/2} t^n\right)\left(\sum_{n \in \mathbb{Z}} (-1)^n q_e^{(3n^2+3n)/2} t^n\right)}$$

and

$$y = \frac{\vartheta_{\frac{1}{6}, \frac{1}{2}}(q_e^3, t)^2}{\vartheta_{-\frac{1}{6}, \frac{1}{2}}(q_e^3, t)\vartheta_{\frac{1}{2}, \frac{1}{2}}(q_e^3, t)} = \frac{q_e^{-1/3}\left(\sum_{n \in \mathbb{Z}} (-1)^n q_e^{(3n^2+n)/2} t^n\right)^2}{\left(\sum_{n \in \mathbb{Z}} (-1)^n q_e^{(3n^2-n)/2} t^n\right)\left(\sum_{n \in \mathbb{Z}} (-1)^n q_e^{(3n^2+3n)/2} t^n\right)}$$

are invariant under $t \mapsto q_e^3 t$ and satisfy

$$x + y + \frac{1}{xy} = q_e^{-1/3}(1 + 5q_e - 7q_e^2 + 3q_e^3 + \dots) = -q_e^{-1/3}(1 - 2q + 5q^2 - 32q^3 + \dots),$$

which is the same as the Hori-Vafa curve C after rescaling x and y by a factor of $q^{1/3}$. We do not know whether the coefficients in the Laurent series expansions of these formulas for x and y should be expected to admit an enumerative/combinatorial interpretation, nor even whether the above choices of parameters for our A -model on M are the most natural ones in this respect.

3. THE A-MODEL: LAGRANGIAN FLOER THEORY IN TRIVALENT CONFIGURATIONS

3.1. Objects and morphisms in $\mathcal{F}(M)$. Let G be a graph with finite set of vertices V and edges E , such that each vertex $v \in V$ has degree 3. As noted in Remark 1.5, we allow “external edges” which only connect to one vertex. We denote by E^0 the set of external edges and by E^i the internal edges. We also fix for each internal edge $e \in E^i$ an area parameter $A_e > 0$, and an element $q_e \in K$ with $\text{val}(q_e) = A_e$ (we will mostly focus on the case $q_e = T^{A_e}$); for external edges there are no area parameters but we consider either *wrapped* or *stopped* Lagrangian Floer theory.

For each internal edge $e \in E^i$, we consider $M_e = S^2 = \mathbb{C}\mathbb{P}^1$, equipped with a symplectic form ω of total area A_e (eg. a multiple of the standard symplectic form), and optionally a bulk deformation class $\mathfrak{b} \in H^2(M_e, \mathcal{O}_K)$ such that $T^{A_e} \exp(\int_{M_e} \mathfrak{b}) = q_e$. We also fix two

marked points on M_e , which we think of as 0 and ∞ in $\mathbb{C}\mathbb{P}^1$, and assign them to the vertices $v, v' \in V$ joined by the edge e : $\{p_{e/v}, p_{e/v'}\} = \{0, \infty\} \subset M_e$. For each external edge $e \in E^0$, we set $M_e = \mathbb{C}$, with the standard symplectic form (of infinite area) and a single marked point $p_{e/v} = 0 \in M_e$.

Let M be the space obtained by attaching the surfaces M_e , $e \in E$ to each other at the triples of marked points which correspond to the same vertex of the graph G :

$$M = \left(\bigsqcup_{e \in E} M_e \right) / (p_{e/v} \sim p_{e'/v} \sim p_{e''/v} \quad \forall v \in V).$$

We denote by p_v the resulting nodal point of M . This gluing is purely cosmetic, as the actual symplectic geometry will take place on the individual components M_e . On the other hand, one important piece of data associated to each vertex $v \in V$ is that of local coordinates $t_{e/v}$ on the abstract curve $X_v^0 = \mathbb{P}^1$ which vanish at the respective marked points $x_{e/v} \in X_v^0$ (cf. Definition 1.2).

We fix an asymptotic direction near 0 and ∞ on each component $M_e \subset M$, for example the real positive axis; all Lagrangians we consider will be required to approach the nodes of M and its infinite ends along this prescribed direction.

Definition 3.1. *The objects of $\mathcal{F}(M)$ are pairs (L, \mathcal{E}) , where $L \subset M$ is a properly embedded (trivalent) graph whose vertices lie at the nodes of M and whose edges lie in the smooth part of M , in such a way that:*

- *the arc components of $L_e = L \cap M_e$ approach 0 and ∞ along the prescribed asymptotic directions;*
- *the closed curve components of L_e are homotopically non-trivial in the complement of the marked points;*
- *a node $p_v \in M$ lies on L if and only if it is an end point of an arc in each of the three components of M which meet at p_v ;*

and \mathcal{E} is a unitary local system, i.e. a local system of free finite rank \mathcal{O}_K -modules over L .

Because each component of M is either $(\mathbb{C}\mathbb{P}^1, \{0, \infty\})$ or $(\mathbb{C}, \{0\})$, this definition only allows for two types of indecomposable objects.

- (1) *Point-type objects:* L is a simple closed curve in the smooth part of a component M_e , separating 0 from ∞ . When \mathcal{E} has rank 1, the object (L, \mathcal{E}) corresponds under mirror symmetry to the skyscraper sheaf of a point of X_K where the valuation of the coordinate $t_{e/v}$ equals the symplectic area enclosed by L around the marked point $p_{e/v}$.
- (2) *Vector bundle (v.b.) type objects:* L is a trivalent graph with the same sets of edges and vertices as G , consisting of an arc L_e connecting 0 to ∞ in each component

M_e , and passing through all the nodes. When \mathcal{E} has rank 1, the object (L, \mathcal{E}) corresponds to a line bundle over X_K , as described in §5.1 below.

We also specify a class of smooth Hamiltonian perturbations to be used for defining Floer complexes between objects of $\mathcal{F}(M)$.

Definition 3.2. *A positive Hamiltonian is a smooth function $h : M \rightarrow \mathbb{R}$ which, on each compact component $M_e \simeq \mathbb{CP}^1$, $e \in E^i$, has local minima at the two marked points 0 and ∞ , $h(0) = h(\infty) = 0$, and on each non-compact component $M_e \simeq \mathbb{C}$, $e \in E^0$, has a minimum at the origin $h(0) = 0$, and linear, resp. quadratic growth at infinity (in terms of the coordinate $r = |z|^2$) when the non-compact end does, resp. doesn't carry a stop.*

The flow of such a Hamiltonian rotates the asymptotic directions near the marked points in the positive direction, and pushes or wraps the infinite ends in the customary manner for (partially) wrapped Floer theory.

For each pair (L, L') we choose a positive Hamiltonian h and a small $\varepsilon > 0$ such that $L^+ = \phi_{\varepsilon h}^1(L)$ is transverse to L' , and define the generators of the Floer complex to be time 1 trajectories of the Hamiltonian vector field generated by εh which start at L and end at L' ,

$$(3.1) \quad \mathcal{X}(L, L') = \{\gamma : [0, 1] \rightarrow M \mid \gamma(0) \in L, \gamma(1) \in L', \dot{\gamma}(t) = X_{\varepsilon h}(\gamma(t))\}$$

or equivalently, pairs of points in L and L' which match under the flow:

$$\mathcal{X}(L, L') \simeq \{(p, p') \in L \times L' \mid \phi_{\varepsilon h}^1(p) = p'\},$$

or even simpler, points of $L^+ \cap L'$. (Abusing notation we think of elements of $\mathcal{X}(L, L')$ interchangeably as points, pairs of points, or trajectories of $X_{\varepsilon h}$.) Note that, when L and L' are of vector bundle type, $\mathcal{X}(L, L')$ always includes one generator at each node of M .

We define morphism spaces by

$$(3.2) \quad \text{hom}_{\mathcal{F}(M)}((L, \mathcal{E}), (L', \mathcal{E}')) = CF^*((L, \mathcal{E}), (L', \mathcal{E}'); \varepsilon h) = \bigoplus_{(p, p') \in \mathcal{X}(L, L')} \mathcal{E}_p^* \otimes \mathcal{E}_{p'}$$

(Another option would be to define $\mathcal{F}(M)$ by considering a directed category whose objects are images of (L, \mathcal{E}) under positive Hamiltonian flows, and localizing with respect to continuation elements $e_{(L, \mathcal{E}), \varepsilon} \in CF^*(\phi_{\varepsilon h}^1(L, \mathcal{E}), (L, \mathcal{E}))$; while this is more consistent with some of the recent literature [AA, AS], there is no benefit to doing so in our setting.)

The choice of a trivialization of the tangent bundle TM outside of the nodes determines a \mathbb{Z} -grading on $\mathcal{F}(M)$; the preferred choice in our case is the trivialization determined by the radial line field on the open stratum $\mathbb{C}^* \subset M_e$ of each component. Objects should then be graded by choosing a real-valued lift of the angle between TL and the chosen line field outside of the nodes. Here again there is a preferred choice: for v.b.-type objects we declare

the angle between TL and the outward radial line field to be zero near both ends (at 0 and ∞) in each component, and for point-type objects where L is a circle centered at the origin in M_e we declare the angle to be $-\pi/2$. With this convention, all Floer cohomology groups are concentrated in degrees 0 and 1, and for pairs of v.b.-type objects the generators which lie at the nodes of M are in degree 0.

Remark 3.3. *Because of the positive Hamiltonian perturbations involved in defining morphism spaces, when M is compact (all components are \mathbb{P}^1 's) the category $\mathcal{F}(M)$ is never Calabi-Yau. The study of open-closed and closed-open maps for $\mathcal{F}(M)$ is beyond the scope of this paper, but we point out that the Hochschild cohomology of $\mathcal{F}(M)$ is expected to be isomorphic to the fixed point Floer cohomology of a small positive Hamiltonian, via the closed-open map*

$$\mathcal{CO} : HF^*(\phi_{\varepsilon h}^1) \rightarrow HH^*(\mathcal{F}(M)).$$

For instance, when M consists of $3g - 3$ \mathbb{P}^1 's meeting in $2g - 2$ triple points, there is a positive Hamiltonian with $2g - 2$ minima (at the nodes), $3g - 3$ saddle points, and $3g - 3$ maxima. The Floer differential on $CF^*(\phi_{\varepsilon h}^1)$ agrees with the Morse differential within each component of M , so each minimum maps to the sum of three saddle points, and

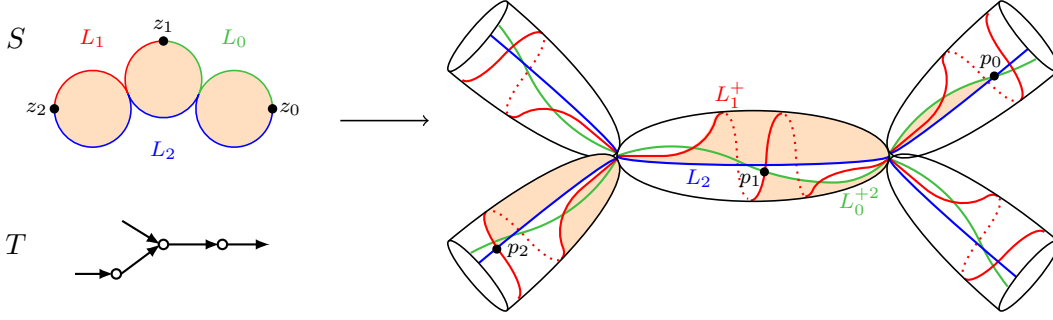
$$\dim HF^0(\phi_{\varepsilon h}^1) = 1, \quad \dim HF^1(\phi_{\varepsilon h}^1) = g, \quad \text{and} \quad \dim HF^2(\phi_{\varepsilon h}^1) = 3g - 3,$$

in agreement with the Hochschild cohomology of the derived category of a genus g curve.

3.2. A_∞ -operations: propagating discs. The A_∞ -operations in $\mathcal{F}(M)$ are determined by weighted counts of “propagating” configurations of (perturbed) holomorphic discs for some choice of complex structure J on M (the choice is immaterial). To define

$$\mu^k : \text{hom}((L_{k-1}, \mathcal{E}_{k-1}), (L_k, \mathcal{E}_k)) \otimes \cdots \otimes \text{hom}((L_0, \mathcal{E}_0), (L_1, \mathcal{E}_1)) \rightarrow \text{hom}((L_0, \mathcal{E}_0), (L_k, \mathcal{E}_k))[2-k]$$

we consider maps whose domain S is a nodal union of discs, modelled on a planar rooted tree T with $k + 1$ external edges (one root and k leaves). For each internal vertex v_j of T we consider a disc D_j with $|v_j|$ boundary marked points, and define $S = \bigsqcup D_j / \sim$, where for each internal edge of T connecting vertices $v_j, v_{j'}$, we glue D_j to $D_{j'}$ by identifying the two boundary marked points that correspond to the end points of the edge. The resulting nodal configuration still carries $k + 1$ marked points z_0 (corresponding to the root of T), z_1, \dots, z_k (corresponding to the leaves), in that order along the boundary of S . We label each portion of ∂S from z_i to z_{i+1} (or z_k to z_0 , for $i = k$) by the Lagrangian L_i . Orienting the tree T from the leaves to the root, each component of S has one output marked point (towards the root) and one or more input marked points (towards the leaves). We choose strip-like ends near each of these, i.e. local coordinates $s + it$ such that the input ends are

FIGURE 3. A propagating disc contributing to the Floer product μ^2

modelled on $\mathbb{R}_+ \times [0, 1]$ and the output end on $\mathbb{R}_- \times [0, 1]$. We also choose a 1-form β on S , such that $\beta|_{\partial S} = 0$ and β is a small positive multiple of dt on each strip-like end.

Definition 3.4. Given L_0, \dots, L_k , generators $p_i \in \mathcal{X}(L_{i-1}, L_i)$ for $1 \leq i \leq k$ and $p_0 \in \mathcal{X}(L_0, L_k)$, and a planar tree T , a **propagating holomorphic disc** modelled on T is a map $u : (S, \partial S) \rightarrow (M, L_0 \cup \dots \cup L_k)$, where the domain S is modelled on T , such that

- (1) each component of S maps to a single component of M ;
- (2) u satisfies the perturbed Cauchy-Riemann equation

$$(3.3) \quad (du - X_h \otimes \beta)^{0,1} = 0$$

on each component of S , where h is the positive Hamiltonian used to define morphism spaces, and β is the chosen 1-form on S ;

- (3) the nodes of S map to nodes of M ;
- (4) the map u converges at each input marked point z_i , resp. the output z_0 , to the flowline of $X_{\varepsilon h}$ which defines the generator $p_i \in \mathcal{X}(L_{i-1}, L_i)$, resp. $p_0 \in \mathcal{X}(L_0, L_k)$;
- (5) the components of u are not allowed to pass through the nodes of M except at the nodes of S , at input marked points $z_i \in S$, or at a constant component carrying the output marked point $z_0 \in S$;
- (6) when an input marked point $z_i \in S$ maps to a node of M , the restriction of u to the strip-like end near z_i does not surject onto a neighborhood of the node in the appropriate component of M ;
- (7) if the output marked point $z_0 \in S$ maps to a node of M then the restriction of u to the component of S carrying z_0 is a constant map.

The moduli space of such propagating discs u in a fixed homotopy class $[u]$, up to reparametrization, is denoted by $\mathcal{M}(p_0, \dots, p_k, [u])$.

(The gluing behavior and consistency needed to establish the A_∞ -relations are most easily proved if $\beta = \varepsilon dt$ at all strip-like ends, however this may not be possible on the non-compact components of M , where energy estimates require $d\beta \leq 0$; the easiest way around this is to use Abouzaid's rescaling trick [Ab]. Another approach, which we shall not pursue, would be to consider Floer complexes constructed using arbitrary small multiples of the positive Hamiltonian h and localize at quasi-isomorphisms induced by continuation.)

By a standard trick, when the 1-form β is closed we can recast perturbed holomorphic curves $u : S \rightarrow M$ (solutions of (3.3)) with boundary on L_0, \dots, L_k as genuine holomorphic curves $v : S \rightarrow M$ (solutions of $(dv)^{0,1} = 0$ for a suitable, possibly domain-dependent complex structure) with boundary on $L_0^{+k} = \phi_{\varepsilon h}^k(L_0), \dots, L_{k-1}^+ = \phi_{\varepsilon h}^1(L_{k-1}), L_k$, by setting $v(z) = \phi_h^{\tau(z)}(u(z))$, where $\tau : S \rightarrow \mathbb{R}$ satisfies $d\tau = -\beta$. The holomorphic curves $v : S \rightarrow M$ are easier to visualize and enumerate, as they are simply polygons drawn on M , so we always use this viewpoint for graphical representations, as in Figure 3.

The operations μ^k count *rigid* propagating holomorphic discs, i.e., those which occur in zero-dimensional moduli spaces. This happens precisely when each component taken separately is rigid, i.e. an immersed polygon with locally convex corners. (For a constant component carrying the output marked point z_0 and mapping to a node of M , rigidity amounts to the component having exactly two inputs). Rigidity implies that the degrees of the Floer generators satisfy $\deg(p_0) = \sum \deg(p_i) + 2 - k$. Each rigid propagating disc contributing to μ^k is counted with a weight, which is determined by multiplying several quantities associated to the homotopy class $[u]$: area and holonomy weights of the components of u , as is customary when defining Fukaya categories over Novikov fields, as well as *propagation coefficients* at the nodes of S , which are unique to our setting.

Consider a node $z_\bullet \in S$, at which the output vertex of a component D_{in} is attached to an input vertex of another component D_{out} (recall that we orient the tree T from the inputs of the operation, i.e. the leaves, to the output, i.e. the root). Under $u : S \rightarrow M$, z_\bullet maps to a node $p_v \in M$ corresponding to some vertex v of the graph G , where the components $M_{e_{in}}$ and $M_{e_{out}}$ which contain $u(D_{in})$ and $u(D_{out})$ are attached to each other; here e_{in} and e_{out} are two of the three edges of G which meet at the vertex v . Because the Lagrangian graphs in M which serve as boundary conditions for u on D_{in} and D_{out} approach the node p_v from fixed directions, the restrictions of u to the strip-like ends of D_{in} and D_{out} near z_\bullet have well-defined integer *degrees* k_{in} and k_{out} , namely the total multiplicities with which the images of the strip-like ends cover neighborhoods of p_v inside $M_{e_{in}}$ and $M_{e_{out}}$. For example, the two nodes of the configuration in Figure 3 both have $k_{in} = 1$ and $k_{out} = 0$. In general, because our Hamiltonian perturbation is a small positive multiple of h , with a local minimum at the node, for non-constant maps we always have $k_{in} \geq 1$ and $k_{out} \geq 0$.

Recall that the combinatorial data of Definition 1.2 includes the choice of coordinate functions $t_{e/v}$ vanishing at the points $x_{e/v} \in X_v^0 \simeq \mathbb{P}^1$ for each of the three edges e/v in the graph G . The function $t_{e_{in}/v}^{-k_{in}}$, with a pole of order k_{in} at $x_{e_{in}/v}$, can be expanded as a power series in $t_{e_{out}/v}$ in a neighborhood of $x_{e_{out}/v}$.

Definition 3.5. For given edges e_{in}/v , e_{out}/v and degrees $k_{in} \geq 1$, $k_{out} \geq 0$, we define the **propagation coefficient** $C_{k_{in}, k_{out}}^{v; e_{in}, e_{out}}$ to be the coefficient of $t_{e_{out}/v}^{k_{out}}$ in the expansion of $t_{e_{in}/v}^{-k_{in}}$ as a power series in $t_{e_{out}/v}$. Given a rigid propagating disc $u : S \rightarrow M$ whose output does not lie at a node of M , the **propagation multiplicity** $\Pi C([u])$ is defined to be the product of the propagation coefficients $C_{k_{in}, k_{out}}^{v; e_{in}, e_{out}}$ at all the nodes of S .

Example 3.6. Recall our preferred choices of coordinates on $X_v^0 = \mathbb{P}^1$ are those which take values $0, 1, \infty$ at the three marked points: for example one might take $t_0 = z$, $t_1 = (z-1)/z$, $t_\infty = (1-z)^{-1}$ as coordinates near the marked points $0, 1$ and ∞ . In this case, $t_0^{-1} = 1 - t_1 = -(t_\infty + t_\infty^2 + \dots)$, and similarly for the other pairs of coordinates, so the propagation coefficients are

$$C_{k_{in}, k_{out}}^{v; e_{in}, e_{out}} = \begin{cases} (-1)^{k_{out}} \binom{k_{in}}{k_{out}} & \text{for } (x_{e_{in}/v}, x_{e_{out}/v}) \in \{(0, 1), (1, \infty), (\infty, 0)\}, \\ (-1)^{k_{in}} \binom{k_{out}-1}{k_{in}-1} & \text{for } (x_{e_{in}/v}, x_{e_{out}/v}) \in \{(0, \infty), (1, 0), (\infty, 1)\}. \end{cases}$$

Output mapping to a node. The case where the output marked point $z_0 \in S$ maps to a node $p_v \in M$ has a different flavor. Recall that the whole component D_0 of S carrying z_0 is required to map to p_v , and rigidity implies that D_0 carries exactly two inputs. If an input of D_0 is a node of S , we denote by e_i the edge of G such that the component of S attached to D_0 at this node maps to M_{e_i} , and by $k_i \geq 1$ the degree of its output strip-like end (the incoming degree into the node), and we associate to it the function $t_{e_i/v}^{-k_i}$ on $X_v^0 \simeq \mathbb{P}^1$. If an input of D_0 is an input marked point of S , we instead consider the constant function 1 (this amounts to setting $k_i = 0$). The contribution of the nodes adjacent to the constant component D_0 to the propagation multiplicity is then defined to be the constant term in the expression of $t_{e_1/v}^{-k_1} t_{e_2/v}^{-k_2}$ as a linear combination of $\{1, t_{e_1/v}^{-j}, t_{e_2/v}^{-j} \mid j \geq 1\}$. We denote this coefficient by $K_{k_1, k_2}^{v; e_1, e_2}$. (Of note, this can only be nonzero when either $S = D_0$, for a constant curve contributing to μ^2 , or both inputs of D_0 are nodes of S and $e_1 \neq e_2$). The propagation multiplicity $\Pi C([u])$ is then defined to be the product of $K_{k_1, k_2}^{v; e_1, e_2}$ (for the two nodes adjacent to the constant component D_0) and the propagation coefficients $C_{k_{in}, k_{out}}^{v; e_{in}, e_{out}}$ at all the other nodes of S .

Definition 3.7. The **area weight** of a propagating holomorphic disc $u : S \rightarrow M$ with boundary on L_0, \dots, L_k , inputs $(p_i, p'_i) \in \mathcal{X}(L_{i-1}, L_i)$ and output $(p_0, p'_0) \in \mathcal{X}(L_0, L_k)$ is

$$W([u]) := T^{A([u])} \int_S u^* \mathbf{b} \in K, \quad \text{where } A([u]) = \int_S u^* \omega.$$

When the L_i are equipped with local systems \mathcal{E}_i , the **holonomy weight** of u is the map

$$\begin{aligned} \text{hol}([\partial u]) : \bigotimes_{i=1}^k \text{hom}(\mathcal{E}_{i-1|p_i}, \mathcal{E}_{i|p'_i}) &\rightarrow \text{hom}(\mathcal{E}_{0|p_0}, \mathcal{E}_{k|p'_0}) \\ (\rho_1, \dots, \rho_k) &\mapsto \gamma_k \cdot \rho_k \cdots \gamma_1 \cdot \rho_1 \cdot \gamma_0, \end{aligned}$$

where for $i = 0, \dots, k$ we denote by $\gamma_i \in \text{hom}(\mathcal{E}_{i|p'_i}, \mathcal{E}_{i|p_{i+1}})$ the isomorphism defined by parallel transport in the fibers of \mathcal{E}_i along the portion of $u(\partial S)$ that lies on L_i .

For simplicity, and since our main focus is not on the wrapped setting, our weights are defined in terms of symplectic area, rather than the more commonly used *topological energy*

$$E([u]) = \int_S u^* \omega - d((u^* h) \beta).$$

The two notions are equivalent up to rescaling each generator p by $T^{\varepsilon h(p)}$, or by simply taking the limit $\varepsilon \rightarrow 0$ in our choices of Hamiltonian perturbations, except for generators in wrapped noncompact ends of M . In this latter case, it is more advantageous to use action rescaling to eliminate the area contributions of wrapped components of propagating discs (involving only v.b.-type objects) altogether.

The final ingredient for the definition of μ^k is the orientation of the zero-dimensional moduli spaces of rigid propagating discs; this works just as in ordinary Floer theory on Riemann surfaces, following a recipe due to Seidel [Se1, §13]. First we fix orientations for our objects in a manner consistent with the choices made above for grading, namely objects of point type loop clockwise around the origin in each component of M , and v.b.-type objects to run from 0 to ∞ in each component of M . Given a propagating disc $u : S \rightarrow M$ with inputs $(p_i, p'_i) \in \mathcal{X}(L_{i-1}, L_i)$ and output $(p_0, p'_0) \in \mathcal{X}(L_0, L_k)$, for each $i = 0, \dots, k$, if $\deg p_i$ is even then we set $(-1)^{\sigma_i} = +1$, whereas if $\deg p_i$ is odd we assign $(-1)^{\sigma_i} = +1$ if the orientation of L_i (L_k in the case of $i = 0$) at p'_i agrees with that of the oriented curve $u(\partial S)$, and -1 otherwise. The overall sign is then $(-1)^{\sigma(u)} = \prod_{i=0}^k (-1)^{\sigma_i}$. Finally:

Definition 3.8. Given $(p_i, p'_i) \in \mathcal{X}(L_{i-1}, L_i)$ and $\rho_i \in \mathcal{E}_{i-1|p_i}^* \otimes \mathcal{E}_{i|p'_i}$ for $1 \leq i \leq k$, we set

$$\mu^k(\rho_k, \dots, \rho_1) = \sum_{\substack{(p_0, p'_0) \in \mathcal{X}(L_0, L_k) \\ [u] \text{ rigid} \\ u \in \mathcal{M}(p_0, \dots, p_k, [u])}} (-1)^{\sigma(u)} \text{PC}([u]) W([u]) \text{hol}([\partial u])(\rho_1, \dots, \rho_k).$$

3.3. The A_∞ -relations. We now state and prove

Theorem 3.9. *The operations μ^k defined above satisfy the A_∞ -relations*

$$(3.4) \quad \sum_{\ell=1}^k \sum_{j=0}^{k-\ell} (-1)^* \mu^{k+1-\ell}(\rho_k, \dots, \rho_{j+\ell+1}, \mu^\ell(\rho_{j+\ell}, \dots, \rho_{j+1}), \rho_j, \dots, \rho_1) = 0$$

where $*$ = $j + \deg(p_1) + \dots + \deg(p_j)$.

The proof relies on the same geometric idea as in the usual case, namely showing that 1-dimensional moduli spaces define cobordisms between the pairs of rigid configurations which appear in the left-hand side of (3.4), but the argument requires substantial modifications to account for propagation through the nodes of M . We start with the following lemma, which is a direct consequence of the analogous statement for ordinary discs:

Lemma 3.10. *The one-dimensional strata of moduli spaces of propagating holomorphic discs correspond to configurations where one of the disc components has a single boundary branch point (and is otherwise immersed), or is a constant map carrying four marked points, one of which is the output, while all the other components are rigid (i.e., immersed polygons with locally convex corners).*

Near a boundary branch point, the boundary of the disc doubles back onto itself along a “slit”, and the deformation proceeds by moving the branch point along the boundary, either extending the slit further into the disc or shrinking it. The ends of such a one-dimensional stratum arise when either:

- (i) *the slit shrinks all the way into a marked point, or*
- (ii) *the slit extends all the way across the disc to break it into a pair of discs attached to each other at a Floer generator or at a node.*

Proof. The statement follows from automatic regularity for holomorphic discs on Riemann surfaces (see e.g. [Se1, §13]) and from the fact that the dimension of each moduli space of (non-constant) discs is equal to the number of boundary branch points plus twice the number of interior branch points, with local coordinates given by the positions of the images of the branch points (see e.g. [ENS, Proposition 7.8]). \square

We will not discuss constant components with four marked points any further, as the only instance where one explicitly needs to deal with them is the case of a pair of constant discs at a node, which does not involve any propagation.

Looking at the entirety of a propagating holomorphic disc with a boundary branch point, the portion of the boundary that backtracks onto itself (the slit) may extend beyond the component that carries the branch point. Namely, assume a propagating holomorphic disc $u : S \rightarrow M$ has a boundary branch point along an edge mapping to L_i . Then the portion

of ∂S that runs from z_{i-1} to z_i maps to an arc that travels from p_{i-1} to p_i along L_i , possibly via the nodes of M , doubling back on itself exactly once at the branch point. The portion of the boundary that gets traced twice (the *slit*) can run through nodes of M , in which case we say that the slit *propagates* through these nodes. The slit ends either at one of p_{i-1} or p_i , in which case the image of the map has a *concave corner* (unless $p_{i-1} = p_i$, a *repeated corner*), or at a node of M where the arcs running from the branch point to p_{i-1} and to p_i bifurcate into different components. In the latter case, we say that the image of the map has a *bifurcated node*. These possibilities are mutually exclusive.

To prove Theorem 3.9, we consider the union of the various 1-dimensional moduli spaces of propagating holomorphic discs with boundary on given Lagrangians L_0, \dots, L_k and corners at fixed input and output generators p_1, \dots, p_k, p_0 , whose image $u(S)$ covers a fixed domain in M with fixed multiplicities; as noted above, this domain must have either one concave (or repeated) corner or one bifurcated node. The different 1-dimensional strata correspond to the different ways in which the slit can extend from the concave (or repeated) corner or from the bifurcated node, propagate through nodes along the way, and reach a branch point inside one of the components.

We glue these moduli spaces to each other at their end points whenever those correspond to propagating discs whose slits trace exactly the same path (ending at a node of M), to form a one-dimensional cell complex whose ends correspond to broken configurations; see e.g. Figure 4. The difficulty is that in general this cell complex has the structure of a *tree*, with edges branching off in multiple directions, rather than a 1-dimensional manifold. The root of the tree corresponds to the case where the slit has shrunk to a point; the different paths away from the root correspond to the different paths along which the slit can grow.

Thus, the key step in the proof of Theorem 3.9 is a property which we call *invariance of the total propagation multiplicity* under extending the slit past a node:

Lemma 3.11. *The propagation multiplicity of a configuration whose slit stops just before reaching a node of M is equal to the sum of the propagation multiplicities of the various configurations that can be obtained by extending the slit slightly past the node, plus those of any broken configurations exhibiting a slit that ends exactly at the node.*

We will show in §3.3.1 that this lemma implies the desired cancellation between ends of the union of moduli spaces for configurations whose image has a concave corner or a repeated corner. We then prove the lemma in §3.3.2. The case of bifurcated nodes requires an additional ingredient, which we discuss in §3.3.3.

3.3.1. Propagating discs with one concave corner. We first consider the case of a one-parameter family of propagating perturbed holomorphic discs with $k + 1$ marked points

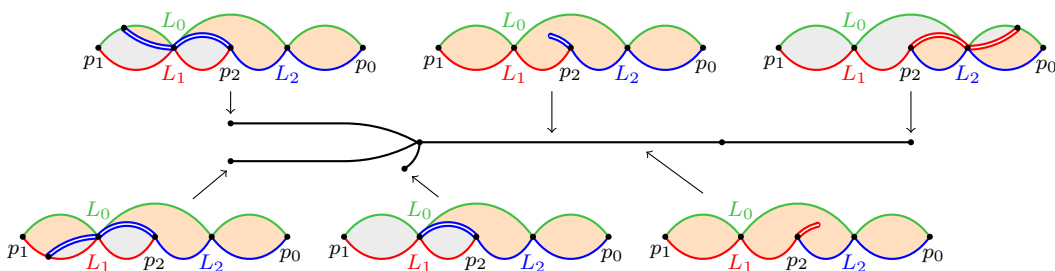


FIGURE 4. A one-dimensional family of propagating discs with a concave corner

mapping to Floer generators p_0, p_1, \dots, p_k , and whose image has a concave corner at one of the marked points, say $p_i \in \mathcal{X}(L_{i-1}, L_i)$. The simplest stratum in this family consists of configurations where the slit does not propagate; in this case, one of the components of $u(S)$ maps to an immersed polygon with a concave corner at p_i , and all the other components are rigid. Such configurations deform by moving the boundary branch point along either L_{i-1} or L_i to create a slit in the polygon, which extends from the concave corner along either Lagrangian as depicted in the central part of Figure 4. In usual Lagrangian Floer theory on Riemann surfaces, each component of a 1-dimensional moduli space is an interval, whose ends are reached when the slit extends all the way across and eventually hits the boundary of the concave polygon, breaking it into a pair of smaller convex polygons. These broken configurations contribute to the coefficient of p_0 in the left-hand side of (3.4), and the A_∞ -relation expresses the fact that they arise in cancelling pairs. (The area and holonomy weights of broken configurations match those of the unbroken configuration of which they are extremal deformations, hence they are equal at both ends of the interval).

In our setting, as the slit extends across the concave polygon, it may hit a node through which the disc $u : S \rightarrow M$ propagates, rather than the boundary of the disc. When this happens, the deformation naturally extends further (into different moduli spaces), as one can allow the slit to propagate into the next component of $u(S)$, and so on until it eventually hits the boundary of the propagating disc. However, if the map u locally covers more than once the component of M into which the slit is being extended, there may be more than one way in which it can be slit along the appropriate Lagrangian. This is illustrated on the left side of Figure 4, where the left-most component of S (a strip with boundary on L_0 and L_1) is assumed to enter the left-side node with input degree $k_{in} = 2$, so that there are two different ways in which this holomorphic disc can be slit along L_2 . Thus, the union of moduli spaces we consider is not an interval, but rather a *tree* which may fork into several branches each time the slit travels through a node. An additional contribution to the boundary of the union of moduli spaces can also arise when, rather than continuing through the node,

the slit stops at the node and breaking occurs through a constant component at the node; see the bottom center diagram in Figure 4. (For clarity this configuration is depicted in the figure as lying at the end of a short edge of the tree, but in fact there is no 1-dimensional stratum associated to this configuration.)

We claim that there is still a cancellation between the ends of the moduli space where u breaks into a pair of propagating discs by extending a slit along either L_{i-1} or L_i . Area and holonomy weights behave just as in the usual case, so the key ingredient is Lemma 3.11, which asserts an equality between the total propagation multiplicities of the various configurations that can arise before and after the slit extends through a node (up to any broken configurations). This in turn implies that the sum of the propagation multiplicities of all the broken configurations which arise as the slit extends towards one direction (for instance the three ends at the left of Figure 4) is equal to the propagation multiplicity of the initial disc u – and hence, arguing similarly when the slit extends in the other direction, to the sum of the propagation multiplicities of all the configurations at the other end of the moduli space (for instance the single end at the right of Figure 4).

The argument is the same in the case of a repeated corner. The main difference is that, if p_i and p_{i+1} coincide, then a slit can only develop along L_i ; when this slit shrinks all the way to a point, a constant disc contributing to $\mu^2(p_{i+1}, p_i)$ breaks off from the rest of the configuration. Lemma 3.11 now implies that the propagation multiplicity of this broken configuration with no slit is equal to the sum of the propagation multiplicities of all the broken configurations where the slit extends all the way across the propagating disc.

Thus, the argument for propagating discs whose image has a concave or repeated corner has been reduced to Lemma 3.11, which we now prove.

3.3.2. Proof of Lemma 3.11. The proof of Lemma 3.11 is essentially combinatorial in nature, as we need to compare the propagation multiplicities of configurations where the slit stops just before a node vs. those where the slit has extended across the node. There are three different cases, which need to be handled separately: (1) the slit propagates “backwards” through a node, i.e. we extend it towards a component of S that lies further away from the output marked point z_0 , as in Figure 4 left; (2) it propagates “forward” through a node, i.e. we extend it towards a component that lies closer to the output marked point, as in Figure 4 right; and (3) we extend the slit through a node that connects to a constant disc component at the output. In each case, the desired equality of propagation multiplicities reduces to a purely combinatorial identity (Lemmas 3.12–3.14).

Case 1: the slit propagates backwards through a node. Consider a node of S , mapping to a node $p_v \in M$, where the output of a component D_{in} of S mapping to $M_{e_{in}}$, with

boundaries on L_{j_1} and L_{j_2} ($j_1 < j_2$), is attached to an input end of a component D_{out} mapping to $M_{e_{out}}$. Denote by $k_{in} \geq 1$ and $k_{out} \geq 0$ the degrees of u in the two strip-like ends near the node. Assume that a slit is being extended along L_i from the component D_{out} backwards through the node and into D_{in} . Since the slit comes in from D_{out} , necessarily either $i < j_1$ or $i > j_2$. When $i > j_2$ as pictured on Figure 4 left (resp. $i < j_1$), once extended into D_{in} the slit breaks the local picture near p_v into two propagating discs:

- one with boundary on L_{j_2} and L_i (resp. L_i and L_{j_1}), propagating *backwards* through the node from $M_{e_{out}}$ to $M_{e_{in}}$, with input degree $1 \leq a \leq k_{out}$ in $M_{e_{out}}$ determined by the position of the incoming slit within D_{out} , and arbitrary output degree $0 \leq b \leq k_{in} - 1$ in $M_{e_{in}}$ (there are $k_{in} - 1$ choices for how to extend the slit into D_{in});
- the other with boundary on L_{j_1} and L_i (resp. L_i and L_{j_2}), propagating *forward* from $M_{e_{in}}$ to $M_{e_{out}}$, with input degree $k_{in} - b$ in $M_{e_{in}}$ and output degree $k_{out} - a$ in $M_{e_{out}}$.

When $a = k_{out}$, another possibility (corresponding to the bottom center diagram of Figure 4) is that the slit ends at the node p_v and breaks the configuration into:

- an incoming propagating disc (involving all the components of u that lie on the D_{in} side of the node, plus one of the two pieces delimited by the slit on the D_{out} side; in gray on Figure 4 bottom center) that comes into the node from both D_{in} and D_{out} and ends with a constant component at p_v , and
- an outgoing propagating disc (the remaining portions of the curve on the D_{out} side) which has an input at p_v with boundary on L_{j_1} and L_i (resp. L_i and L_{j_2}), with local degree $k_{out} - a = 0$ as required by Definition 3.4 for inputs at nodes.

Recall that the propagation coefficient at the node v for the initial configuration (with local degrees k_{in} and k_{out}), $C_{k_{in}, k_{out}}^{v; e_{in}, e_{out}}$, is defined to be the coefficient of $t_{e_{out}/v}^{k_{out}}$ in the expansion of $t_{e_{in}/v}^{-k_{in}}$ as a power series in $t_{e_{out}/v}$; whereas the product of the propagation coefficients for the two nodes after inserting the slit as described above is $C_{a,b}^{v; e_{out}, e_{in}} C_{k_{in}-b, k_{out}-a}^{v; e_{in}, e_{out}}$. Meanwhile, in the case of a broken configuration involving a constant component at p_v (for $a = k_{out}$), the contribution of the nodes of the constant component to the propagation multiplicity is $K_{k_{in}, k_{out}}^{v; e_{in}, e_{out}}$, the coefficient of the constant term in the expression of $t_{e_{in}/v}^{-k_{in}} t_{e_{out}/v}^{-k_{out}}$ as a linear combination of negative powers of $t_{e_{in}/v}$ and $t_{e_{out}/v}$. Thus, the invariance of the total propagation multiplicities under passing the slit through the node follows from:

Lemma 3.12. *Given v, e_1, e_2 , and integers $k_1 \geq 1$ and $k_2 \geq a \geq 1$,*

$$(3.5) \quad \sum_{b=0}^{k_1-1} C_{a,b}^{v; e_2, e_1} C_{k_1-b, k_2-a}^{v; e_1, e_2} + \delta_{a, k_2} K_{k_1, k_2}^{v; e_1, e_2} = C_{k_1, k_2}^{v; e_1, e_2}.$$

Proof. Denote $t_1 = t_{e_1/v}$ and $t_2 = t_{e_2/v}$. The rational function $t_1^{-k_1}t_2^{-a}$ has a partial fraction decomposition into a finite linear combination of $1, t_1^{-1}, \dots, t_1^{-k_1}, t_2^{-1}, \dots, t_2^{-a}$, so that we can write $t_1^{-k_1}t_2^{-a} = K_{k_1,a}^{v;e_1,e_2} + P_1(t_1^{-1}) + P_2(t_2^{-1})$, where $P_1(t_1^{-1})$ is a polynomial in t_1^{-1} without constant term (the polar part at x_1), and $P_2(t_2^{-1})$ is a polynomial in t_2^{-1} without constant term (the polar part at x_2). On the other hand, near x_1 we have the power series expansion $t_2^{-a} = \sum_{b \geq 0} C_{a,b}^{v;e_2,e_1} t_1^b$, which yields the Laurent series expression

$$t_1^{-k_1}t_2^{-a} = \sum_{b \geq 0} C_{a,b}^{v;e_2,e_1} t_1^{b-k_1}.$$

Comparing the polar parts at x_1 (i.e., using the fact that P_2 expands near x_1 as a power series in t_1 without negative powers), we conclude that

$$P_1(t_1^{-1}) = \sum_{b=0}^{k_1-1} C_{a,b}^{v;e_2,e_1} t_1^{b-k_1}.$$

This, in turn, yields a Laurent series expression for $t_1^{-k_1}t_2^{-a}$ near x_2 , using the fact that each monomial in P_1 has a power series expansion $t_1^{b-k_1} = \sum_{c \geq 0} C_{k_1-b,c}^{v;e_1,e_2} t_2^c$:

$$t_1^{-k_1}t_2^{-a} = P_2(t_2^{-1}) + K_{k_1,a}^{v;e_1,e_2} + \sum_{b=0}^{k_1-1} \sum_{c \geq 0} C_{a,b}^{v;e_2,e_1} C_{k_1-b,c}^{v;e_1,e_2} t_2^c.$$

On the other hand, starting from $t_1^{-k_1} = \sum_{d \geq 0} C_{k_1,d}^{v;e_1,e_2} t_2^d$ we also have

$$t_1^{-k_1}t_2^{-a} = \sum_{d \geq 0} C_{k_1,d}^{v;e_1,e_2} t_2^{d-a}.$$

Comparing the coefficients of $t_2^{k_2-a}$ in these two expressions immediately gives (3.5). \square

Case 2: the slit propagates forward through a node. Consider again a node of S , mapping to a node $p_v \in M$, where the output of a component D_{in} of S mapping to $M_{e_{in}}$, with boundaries on L_{j_1} and L_{j_2} ($j_1 < j_2$), is attached to an input end of a component D_{out} mapping to $M_{e_{out}}$. Assume furthermore that the restriction of u to D_{out} is not a constant map, and denote by $k_{in} \geq 1$ and $k_{out} \geq 0$ the degrees of u in the two strip-like ends near the node. Assume that a slit is being extended along L_i from the component D_{in} forward through the node and into D_{out} . Since the slit comes in from D_{in} , necessarily $j_1 < i < j_2$ (see Figure 4 right), and once extended into D_{out} the slit breaks the local picture near p_v into two propagating discs, both going forward through the node from $M_{e_{in}}$ to $M_{e_{out}}$, one of them with input degree $1 \leq a \leq k_{in} - 1$ (determined by the position of the slit in D_{in}) and output degree $0 \leq b \leq k_{out}$ (which can be chosen freely, there are $k_{out} + 1$ choices

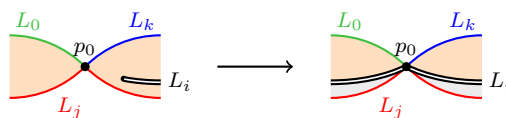


FIGURE 5. Extending a slit through a constant output component

for how to extend the slit into D_{out}), while the other has input degree $k_{in} - a$ and output degree $k_{out} - b$. The invariance of total propagation multiplicities then reduces to:

Lemma 3.13. *Given v, e_1, e_2 and integers $1 \leq a \leq k_1 - 1$ and $k_2 \geq 0$,*

$$(3.6) \quad \sum_{b=0}^{k_2} C_{a,b}^{v;e_1,e_2} C_{k_1-a,k_2-b}^{v;e_1,e_2} = C_{k_1,k_2}^{v;e_1,e_2}.$$

Proof. This is immediate from expressing $t_1^{-k_1}$ as the product of $t_1^{-a} = \sum_{b \geq 0} C_{a,b}^{v;e_1,e_2} t_2^b$ and $t_1^{a-k_1} = \sum_{d \geq 0} C_{k_1-a,d}^{v;e_1,e_2} t_2^d$, and taking the coefficient of $t_2^{k_2}$ in the resulting power series. \square

Case 3: the slit propagates through a constant output component. Next we consider the case where a slit is extended along L_i into a constant output component D_{out} (a constant disc with two inputs, carrying the output marked point $z_0 \in S$ and mapping to a node $p_0 = p_v \in M$). Denote by D_1 and D_2 the two components of S adjacent to D_{out} , M_{e_1} and M_{e_2} the components of M into which they map, and $k_1, k_2 \geq 1$ the degrees of the restrictions of u to their output strip-like ends. A slit which extends along L_i within the component D_2 and reaches the constant output component can be extended further back into D_1 , as shown in Figure 5. This decomposes the local picture near p_v into two propagating discs:

- one with boundary on L_i and L_j , which propagates through the node from D_2 towards D_1 , with input degree $1 \leq a \leq k_2 - 1$ in M_{e_2} as determined by the position of the slit in D_2 , and output degree $0 \leq b \leq k_1 - 1$ in M_{e_1} (there are k_1 possible choices);
- the other with incoming ends with boundaries on L_0 and L_i on one hand and L_i and L_k on the other hand, of degrees $k_1 - b$ and $k_2 - a$ in M_{e_1} and M_{e_2} respectively, ending at a constant component at $p_0 = p_v$.

The invariance of the sum of all propagation multiplicities now follows from:

Lemma 3.14. *Given v, e_1, e_2 and integers $k_1 \geq 1$ and $1 \leq a \leq k_2 - 1$,*

$$(3.7) \quad \sum_{b=0}^{k_1-1} C_{a,b}^{v;e_2,e_1} K_{k_1-b,k_2-a}^{v;e_1,e_2} = K_{k_1,k_2}^{v;e_1,e_2}.$$

Proof. As in the proof of Lemma 3.12, setting $t_1 = t_{e_1/v}$ and $t_2 = t_{e_2/v}$, we start with the partial fraction decomposition $t_1^{-k_1} t_2^{-a} = K_{k_1, a}^{v; e_1, e_2} + P_1(t_1^{-1}) + P_2(t_2^{-1})$, and recall that

$$P_1(t_1^{-1}) = \sum_{b=0}^{k_1-1} C_{a,b}^{v; e_2, e_1} t_1^{b-k_1}.$$

Multiplying by $t_2^{a-k_2}$, we obtain

$$(3.8) \quad t_1^{-k_1} t_2^{-k_2} = \left(K_{k_1, a}^{v; e_1, e_2} + P_2(t_2^{-1}) \right) t_2^{a-k_2} + \sum_{b=0}^{k_1-1} C_{a,b}^{v; e_2, e_1} t_1^{b-k_1} t_2^{a-k_2}.$$

This expression can in turn be decomposed into partial fractions and expressed as a linear combination of $1, t_1^{-1}, \dots, t_1^{-k_1}, t_2^{-1}, \dots, t_2^{-k_2}$; we are interested in the constant term of this decomposition. The first part of the right-hand side of (3.8) only involves negative powers of t_2 , so it does not contribute to the constant term. Meanwhile, the constant term in the partial fraction decomposition of $t_1^{b-k_1} t_2^{a-k_2}$ is $K_{k_1-b, k_2-a}^{v; e_1, e_2}$; therefore, the constant term in the partial fraction decomposition of the right-hand side of (3.8) is

$$\sum_{b=0}^{k_1-1} C_{a,b}^{v; e_2, e_1} K_{b-k_1, a-k_2}^{v; e_1, e_2},$$

which is exactly the left-hand side of (3.7). On the other hand, the constant term in the partial fraction decomposition of $t_1^{-k_1} t_2^{-k_2}$ (the left-hand side of (3.8)) is, by definition, equal to $K_{k_1, k_2}^{v; e_1, e_2}$. The lemma then follows by comparing these two quantities. \square

3.3.3. Bifurcated propagating discs. Besides propagating discs with a concave corner, there is another type of configuration which gives rise to 1-dimensional moduli spaces of propagating discs: “bifurcated” discs in which, near one of the nodes p_v of M , S has *two* incoming components D_1, D_2 and one outgoing component D_{out} , each of which maps to a different component of M ($M_{e_1}, M_{e_2}, M_{e_{out}}$, where e_1, e_2, e_{out} are the three edges meeting at v).

If the outgoing component near the bifurcated node does not surject locally onto a neighborhood of the node in $M_{e_{out}}$ (i.e., the output degree is $k_{out} = 0$), then such a bifurcated disc can be realized immediately as a broken configuration of two rigid propagating discs, one including D_1 and D_2 and ending at a constant component at p_v , and the other starting with an input at p_v and including D_{out} (see Figure 6(c)). In general (regardless of the value of k_{out}), this configuration can also deform by growing a slit into any one of the three components D_1, D_2 , or D_{out} , which has the effect of locally breaking the bifurcated configuration into a pair of honest propagating discs. Thus, the moduli space of propagating discs extends into three types of directions, corresponding to the three ways in which a slit can be created and extended into S ; see Figure 6(a)(b)(d). (For each of these there may be multiple possibilities if the degree of that component of u is greater than 1). As the slit

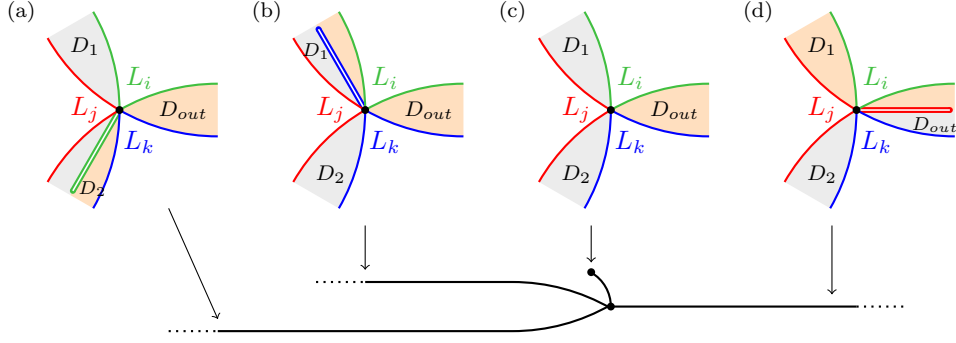


FIGURE 6. Decomposing a bifurcated disc into a pair of propagating discs

expands into the appropriate component of S , it will eventually either hit the boundary of the domain or pass through other nodes and extend into other components, as in the case of discs with concave corners. This part of the story works exactly as in the previous section and is handled by Lemma 3.11; the new ingredient, rather, is the cancellation that needs to occur between the combinatorial propagation multiplicities associated to the various ways of creating a slit and locally decomposing a bifurcated node into a pair of rigid propagating discs.

Denote by $k_1 \geq 1$, $k_2 \geq 1$ and $k_{out} \geq 0$ the degrees of D_1 , D_2 and D_{out} near the bifurcated node. As noted above, if $k_{out} = 0$ then there is a broken configuration in which one of the two rigid propagating discs contains D_1 and D_2 and ends with a constant component at p_v (Figure 6(c)); the nodes adjacent to the constant component contribute $K_{k_1, k_2}^{v; e_1, e_2}$ to the propagation multiplicity of this broken configuration. Meanwhile, for each $0 \leq b \leq k_1 - 1$ there are deformations in which a slit grows into the D_1 component, decomposing the local picture into a propagating disc consisting of D_2 (incoming into p_v) attached to part of D_1 (outgoing with degree b) on one hand, and a propagating disc consisting of the remaining portion of D_1 (incoming into p_v with degree $k_1 - b$) attached to D_{out} (Figure 6(b)). Similarly, there are configurations with a slit in the D_2 component, where one propagating disc consists of D_1 (incoming into p_v) attached to part of D_2 (outgoing with degree $0 \leq a \leq k_2 - 1$), and the other consists of the rest of D_2 (incoming into p_v with degree $k_2 - a$) attached to D_{out} (Figure 6(a)). The last case is when the slit lies in D_{out} ; one propagating disc consists of D_1 attached to part of D_{out} (outgoing with degree $0 \leq c \leq k_{out}$) and the other consists of D_2 attached to the rest of D_{out} (outgoing with degree $k_{out} - c$) (Figure 6(d)). Comparing the sum of the propagation multiplicities of the configurations with a slit in one of the input discs to those with a slit in the output component D_{out} then amounts to checking the following identity:

Lemma 3.15. *Given a vertex v of G with adjacent edges e_1, e_2, e_3 , and integers $k_1, k_2 \geq 1$ and $k_3 \geq 0$,*

$$(3.9) \quad \sum_{a=0}^{k_2-1} C_{k_1,a}^{v;e_1,e_2} C_{k_2-a,k_3}^{v;e_2,e_3} + \sum_{b=0}^{k_1-1} C_{k_2,b}^{v;e_2,e_1} C_{k_1-b,k_3}^{v;e_1,e_3} + \delta_{k_3,0} K_{k_1,k_2}^{v;e_1,e_2} = \sum_{c=0}^{k_3} C_{k_1,c}^{v;e_1,e_3} C_{k_2,k_3-c}^{v;e_2,e_3}.$$

Proof. The equality follows from comparing two ways of expressing $t_1^{-k_1} t_2^{-k_2}$ as a power series in t_3 . On one hand, we can start from $t_1^{-k_1} = \sum_{c \geq 0} C_{k_1,c}^{v;e_1,e_3} t_3^c$ and $t_2^{-k_2} = \sum_{d \geq 0} C_{k_2,d}^{v;e_2,e_3} t_3^d$.

Multiplying these two expressions, we arrive at a power series in t_3 in which the coefficient of $t_3^{k_3}$ is exactly the right-hand side of (3.9). On the other hand, we can proceed as in the proof of Lemma 3.12 to obtain the partial fraction decomposition

(3.10)

$$t_1^{-k_1} t_2^{-k_2} = K_{k_1,k_2}^{v;e_1,e_2} + P_1(t_1^{-1}) + P_2(t_2^{-1}) = K_{k_1,k_2}^{v;e_1,e_2} + \sum_{b=0}^{k_1-1} C_{k_2,b}^{v;e_2,e_1} t_1^{b-k_1} + \sum_{a=0}^{k_2-1} C_{k_1,a}^{v;e_1,e_2} t_2^{a-k_2}.$$

Substituting $t_1^{b-k_1} = \sum_{d \geq 0} C_{k_1-b,d}^{v;e_1,e_3} t_3^d$ and $t_2^{a-k_2} = \sum_{d \geq 0} C_{k_2-a,d}^{v;e_2,e_3} t_3^d$, we arrive at a power series in t_3 in which the coefficient of $t_3^{k_3}$ is the left-hand side of (3.9). \square

This completes the case by case analysis and the proof of Theorem 3.9.

3.4. Infinite Hamiltonian perturbations. We now describe a version of the Fukaya category of M which can be expressed in terms of local pieces. This construction involves large (in a certain sense, “infinite”) Hamiltonian perturbations and is very similar to H. Lee’s thesis [Lee]. While Lee decomposes a Riemann surface into pairs of pants, we will instead use neighborhoods of the vertices (i.e., *mirrors* of pairs of pants) as building blocks.

For each half-edge $e/v \in E$, we choose an identification of M_e with $[0, 4] \times S^1 / \sim$, where $\{0\} \times S^1$ (resp. $\{4\} \times S^1$) is identified with v (resp. v'), in such a way that the symplectic form is $\frac{A_e}{4} d\tau \wedge d\psi$, where τ and ψ are the coordinates on $[0, 4]$ and $S^1 = \mathbb{R}/\mathbb{Z}$.

We assume that near $\tau = 1$ and near $\tau = 3$ (and in fact, over the whole support of the further perturbations we introduce below) the Hamiltonian h used in the definition of the category $\mathcal{F}(M)$ can be expressed as a function of the τ coordinate only. Choose a sequence of C^∞ functions $f_n : [0, 4] \rightarrow \mathbb{R}$, constant away from $\tau = 1$ and $\tau = 3$, and converging to a continuous function $f : [0, 4] \rightarrow \mathbb{R}$, such that:

- (i) $f = f_n = 0$ near $\tau = 0$ and $\tau = 4$, and f and f_n are constant near $\tau = 2$; $f_n = f$ on $[0, 1 - \frac{1}{n}] \cup [3 + \frac{1}{n}, 4]$, and $f_n - f$ is constant on $[1 + \frac{1}{n}, 3 - \frac{1}{n}]$.
- (ii) $f_n'' \leq 0$ on $[0, 1) \cup (3, 4]$ and $f_n'' \geq 0$ on $(1, 3)$ (hence the same holds for f'');
- (iii) $f_n'(1) = -n$, $f_n'(3) = n$, $\lim_{\tau \rightarrow 1} f_n'(\tau) = -\infty$, and $\lim_{\tau \rightarrow 3} f_n'(\tau) = +\infty$.

We consider Hamiltonian perturbations $H_n = \varepsilon h + \frac{A_e}{4} f_n(\tau)$. The assumption that h only depends on τ over the support of f_n' ensures that the Hamiltonian flows generated by h

and f_n commute, and that the time 1 flow of H_n differs from that of εh by a rotation $\psi \mapsto \psi + f'_n(\tau)$. The category $\mathcal{F}(M; H)$ is defined using H_n instead of εh as Hamiltonian perturbation for Floer complexes, and taking $n \rightarrow \infty$ in a manner we discuss below.

We impose some additional conditions on the objects of $\mathcal{F}(M; H)$. For v.b. type Lagrangians, we will assume that the coordinate τ is strictly monotonic on every component (so that the Lagrangian only passes once through the “necks” at $\tau = 1$ and $\tau = 3$); we note that every v.b. type object of $\mathcal{F}(M)$ is isomorphic to an object which satisfies this condition. We also assume that the generators of $CF^*(L, L'; \varepsilon h)$ all lie away from $\tau = 1$ and $\tau = 3$. Point type Lagrangians aren’t necessary for our argument, but can be allowed as long as they are disjoint from the circles at $\tau = 1$ and $\tau = 3$; this excludes objects which are supported at the boundary of the pieces of our decomposition.

Given a pair of objects of v.b. type $(L, \mathcal{E}), (L', \mathcal{E}')$, we consider the Floer complexes $CF^*((L, \mathcal{E}), (L', \mathcal{E}'); H_n)$ whose generators are indexed by the set $\mathcal{X}(L, L'; H_n)$ of time 1 trajectories of the Hamiltonian vector field of H_n starting at L and ending at L' , or equivalently, intersections of $\phi_{H_n}^1(L)$ with L' . For any value of n , we can use H_n instead of εh in the construction of Section 3, and arrive at an A_∞ -category $\mathcal{F}(M; H_n)$ which is quasi-equivalent to $\mathcal{F}(M)$. However, due to the lack of *a priori* bound on the degrees of propagating discs with given inputs, H. Lee’s argument [Lee] does not allow us to conclude that the A_∞ -operations $\mu_{H_n}^k$ can be understood from local considerations for any finite value of n , even if we restrict ourselves to a finite set of objects.

To address this, we define $CF^*((L, \mathcal{E}), (L', \mathcal{E}'); H)$ to be a completion of the countably infinite dimensional K -vector space whose generators correspond to (morphisms between fibers of the local systems at the end points of) time 1 trajectories of the Hamiltonian vector field of $H = \varepsilon h + \frac{A_\varepsilon}{4} f(\tau)$ in the complement of the circles $\tau = 1$ and $\tau = 3$ where the flow is not defined. Namely, $CF^*(L, L'; H)$ consists of formal sums of elements $\rho_p \in \text{Hom}(\mathcal{E}_p, \mathcal{E}'_{p'})$ such that $\|\rho_p\| \rightarrow 0$ (i.e., $\text{val}(\rho_p) \rightarrow +\infty$).

The Floer complexes $CF^*((L, \mathcal{E}), (L', \mathcal{E}'); H_n)$ stabilize as $n \rightarrow \infty$, in the following sense. For $\delta > 0$, let

$$\mathcal{N}_\delta = \bigcup_{e \in E(G)} \mathcal{N}_{e, \delta}, \text{ where } \mathcal{N}_{e, \delta} = ([1 - \delta, 1 + \delta] \cup [3 - \delta, 3 + \delta]) \times S^1 \subset M_e.$$

Then the generators of $CF^*((L, \mathcal{E}), (L', \mathcal{E}'); H_n)$ which lie outside of $\mathcal{N}_{1/N}$ remain exactly the same for all $n \geq N$, and so we can think of $CF^*((L, \mathcal{E}), (L', \mathcal{E}'); H)$ as the completion of the naive limit of these Floer complexes. (Because our counts of discs are weighted by symplectic area rather than by topological energy, we can directly identify Floer generators with each other for large values of n , without the action rescaling discussed in [AuSm]).

Considering the effect of the rotations $\psi \mapsto \psi + f'_n(\tau)$ induced by the perturbations, we see that, under mild assumptions on the geometry of L and L' near $\tau = 1$ and $\tau = 3$, the set $\mathcal{X}(L, L'; H_n)$ (resp. $\mathcal{X}(L, L'; H)$) differs from $\mathcal{X}(L, L'; \varepsilon h)$ by adding:

- n (resp. infinitely many) degree 1 generators in $(0, 1) \times S^1$;
- $2n$ (resp. “twice” infinitely many) degree 0 generators in $(1, 3) \times S^1$;
- n (resp. infinitely many) degree 1 generators in $(3, 4) \times S^1$.

Fix Lagrangians L_0, \dots, L_k of v.b. type and input generators $p_i \in \mathcal{X}(L_{i-1}, L_i; H_n)$. Consider a component $u_e : D_e \rightarrow M_e$ of a propagating perturbed holomorphic disc for the Hamiltonian H_n which maps to M_e , and assume that $([0, 1) \times S^1 / \sim) \subset M_e$ contains part of the image of u_e , but not its output. Then the lift of u_e to the universal cover of $M_e - \{p_v, p_{v'}\}$ has a maximum “width” along the ψ direction which is determined by the inputs of u_e and, for those inputs which map to the node p_v at $\tau = 0$, the local degree of u_e in the strip-like end near the node. However, the perturbation H_n prevents any portion of u_e of width less than n from crossing $\tau = 1$ in the increasing τ direction from input to output. Therefore, if n is sufficiently large compared to the sum of the local degrees of u_e at the inputs which map to p_v , we arrive at a contradiction, and the output of u_e must also lie at $\tau < 1$; see [Lee, Lemma 3.5] (see also [AuSm, Proposition 5.5]).

We arrive at the following conclusion. For each vertex v of G , we denote by P_v the union of subsets $([0, 3] \times S^1 / \sim) \subset M_e$ for each half-edge e/v . For each edge e , denote by $N_e \subset M_e \subset M$ the subset $[1, 3] \times S^1$. (Thus, when e is the only edge connecting v to v' , $N_e = P_v \cap P_{v'}$). Then:

Proposition 3.16. *Given any propagating perturbed holomorphic disc $u : S \rightarrow M$ for the Hamiltonian H_n , with boundary on L_0, \dots, L_k and inputs $p_i \in \mathcal{X}(L_{i-1}, L_i; H_n)$, one of the following holds:*

- *the image of u is entirely contained inside P_v for some $v \in V(G)$, and the output marked point lies outside of N_e for all e/v ;*
- *the image of u is entirely contained inside N_e for some $e \in E(G)$;*
- *at least one of the input generators p_i lies within $\mathcal{N}_{k/n}$;*
- *the disc u propagates through a node of M with output degree $k_{out} \geq n/k$.*

In the last case, propagation with output degree $\geq n/k$ implies that the symplectic area of the disc is bounded below by a constant multiple of n . Therefore, we have:

Proposition 3.17. *For a given collection of input generators $p_i \in \mathcal{X}(L_{i-1}, L_i; H)$ and a constant $A > 0$, there exists $N = N(A)$ such that, for $n \geq N$, any propagating perturbed holomorphic disc with inputs p_1, \dots, p_k and with area $\leq A$ lies entirely within a single piece*

P_v (or N_e), and its output lies outside of $\mathcal{N}_{1/N}$. Moreover, the moduli spaces of such discs are in bijection with each other for all $n \geq N$.

Proof. The first part of the statement is immediate from Proposition 3.16, since for n sufficiently large the area bound precludes propagation with output degree $\geq n/k$. Moreover, the bound on propagation degrees implies a bound on the “width” of each component of the propagating disc along the ψ coordinate, and hence for the output as well, whereas the generators near $\tau = 1$ and $\tau = 3$ correspond to trajectories of the Hamiltonian flow which wrap more and more around the S^1 direction. Finally, the existence of a bijection between the moduli spaces of propagating discs for different values of $n \geq N$ is immediate for discs which do not cross $\tau = 1$; for those which cross $\tau = 1$ (necessarily in the decreasing τ direction from input to output), recasting solutions to the perturbed Cauchy-Riemann equation as polygons with boundary on the images of L_i under the Hamiltonian flow makes it clear that increasing the value of n simply deforms these polygons by widening the strip-like portions that cross the neck at $\tau = 1$. (See also [Lee, Section 3] and [AuSm, Section 5] for related arguments.) \square

This allows us to define A_∞ -operations in $\mathcal{F}(M; H)$ as the naive limits of the operations using Hamiltonians H_n : given $p_i \in \mathcal{X}(L_{i-1}, L_i; H)$ and unitary $\rho_i \in \text{hom}(\mathcal{E}_{i-1|p_i}, \mathcal{E}_{i|p'_i})$, we define

$$(3.11) \quad \mu_H^k(\rho_k, \dots, \rho_1) = \lim_{n \rightarrow \infty} \mu_{H_n}^k(\rho_k, \dots, \rho_1),$$

i.e. the element of $CF^*((L_0, \mathcal{E}_0), (L_k, \mathcal{E}_k); H)$ which agrees mod T^A with $\mu_{H_n}^k(\rho_k, \dots, \rho_1)$ for all $n > N(A)$. We then extend this definition to finite sums of generators by linearity and then to arbitrary inputs in the completed morphism spaces by continuity.

Concretely, $\mu_H^k(\rho_k, \dots, \rho_1)$ can be understood as a weighted count of propagating discs in which the Hamiltonian perturbations are chosen to be large enough relative to the given inputs and to the local degrees k_{out} at the nodes of S ; by Proposition 3.16 these discs remain within a single P_v , and so the disc can only propagate through one node of M .

One small technical comment is in order: in the above construction we have defined A_∞ -operations using the same Hamiltonian H_n for the inputs and output of $\mu_{H_n}^k$, which means for $k \geq 2$ the perturbed Cauchy-Riemann equation involves a 1-form β that is not closed (for compact M this is not a problem, since H_n is bounded; in the wrapped setting one should instead appeal to Abouzaid’s rescaling trick on the noncompact components of M). However one could also have used as in [Lee] and [AuSm] a closed 1-form in the Cauchy-Riemann equation and have μ^k take values in a Floer complex with the Hamiltonian perturbation kH_n , whose geometric behavior is essentially the same as that of H_{kn} . The details of the construction of the limit for $n \rightarrow \infty$ are then different (and potentially more

involved if one introduces a “telescope” model for the chain-level limit of complexes for different Hamiltonians), but even then it is possible under mild geometric assumptions on the Lagrangians L_i to rephrase the construction in terms of a (completed) naive limit.

We note the following consequence of Proposition 3.16, which we will use in Section 5:

Proposition 3.18. *For each $v \in V(G)$, the (completed) span of the generators of the Floer complexes which lie outside of P_v form an A_∞ -ideal in $\mathcal{F}(M; H)$. We denote by $\mathcal{F}(P_v; H)$ the quotient of $\mathcal{F}(M; H)$ by this A_∞ -ideal. Similarly, for each edge e the span of the generators which lie outside of N_e form an A_∞ -ideal in $\mathcal{F}(M; H)$. We denote by $\mathcal{F}(N_e; H)$ the quotient of $\mathcal{F}(M; H)$ by this A_∞ -ideal.*

3.5. Continuation A_∞ -homomorphisms. We end this section with the construction of A_∞ -homomorphisms from $\mathcal{F}(M)$ to $\mathcal{F}(M; H)$ via continuation maps in Lagrangian Floer theory (see e.g. [Sel]); because our comparison argument relies on a different approach (see Section 5.4), we skip some of the details involved in the construction of the higher terms.

We construct an A_∞ -homomorphism $\mathfrak{K}_n : \mathcal{F}(M) \rightarrow \mathcal{F}(M; H_n)$, whose k -th order term

$$\mathfrak{K}_n^k : \bigotimes_{i=1}^k CF^*((L_{i-1}, \mathcal{E}_{i-1}), (L_i, \mathcal{E}_i); \varepsilon h) \rightarrow CF^{*+1-k}((L_0, \mathcal{E}_0), (L_k, \mathcal{E}_k); H_n)$$

counts perturbed propagating holomorphic discs with k inputs, for a Hamiltonian perturbation which interpolates between εh at the inputs and H_n at the output.

The first order map \mathfrak{K}_n^1 is the easiest one to describe. Fix a smooth family of Hamiltonians H_σ , $\sigma \in \mathbb{R}_{\geq 0}$ such that $H_\sigma = \varepsilon h$ for $\sigma = 0$ and $H_\sigma = H_n$ for $\sigma = n$; also fix a smooth nonincreasing function $\sigma : \mathbb{R} \rightarrow \mathbb{R}$ such that $\sigma = n$ on $(-\infty, -1)$ and $\sigma = 0$ on $(1, \infty)$. The domain of a propagating disc with a single input is a linear chain of discs with two marked points each (i.e., strips $\mathbb{R} \times [0, 1]$), $S = D_1 \cup \dots \cup D_\ell$ (with D_1 carrying the input marked point z_1 and D_ℓ carrying the output z_0). We then consider maps $u : S \rightarrow M$ in which one of the components D_j solves the usual Floer continuation equation

$$(du - X_{H_\sigma(s)} dt)^{0,1} = 0$$

with Hamiltonian εh at the input ($s \rightarrow +\infty$) and H_n at the output ($s \rightarrow -\infty$), while the components D_1, \dots, D_{j-1} (resp. D_{j+1}, \dots, D_ℓ) which precede (resp. follow) it along the way from the input to the output are perturbed holomorphic strips for the Hamiltonian εh (resp. H_n). Counting such perturbed propagating discs (for all possible choices of the component of S where continuation takes place) which are rigid (i.e., belong to moduli spaces of solutions with expected dimension 0, or equivalently, the input and output generators have the same degree), with signs and weights as in the definition of the A_∞ -operations, yields the

map $\mathfrak{K}_n^1 : CF^*((L_0, \mathcal{E}_0), (L_1, \mathcal{E}_1); \varepsilon h) \rightarrow CF^*((L_0, \mathcal{E}_0), (L_1, \mathcal{E}_1); H_n)$, which is easily checked to be a chain map by considering one-dimensional moduli spaces.

Remark 3.19. *Although the definition allows the change of Hamiltonian to happen in any component of the propagating disc, the components of a regular rigid continuation trajectory are themselves rigid; this implies that the continuation must actually take place in the input component D_1 , resp. the output component D_ℓ , if the input and output are degree 1, resp. degree 0 generators of the respective Floer complexes.*

In fact, in our setting, continuation trajectories starting at a degree 1 generator in the interior of M_e are necessarily constant. Therefore, \mathfrak{K}_n^1 is the naive inclusion on CF^1 , while for degree 0 generators it differs from the naive inclusion (constant trajectories) by counts of propagating perturbed holomorphic strips in which the output component is a continuation trajectory from εh to H_n in the usual sense and all other components are perturbed holomorphic strips for the Hamiltonian εh .

Moreover, the same arguments as in the previous section show that continuation trajectories of bounded symplectic area (or energy — the two are equivalent because f_n and f are uniformly bounded), hence bounded propagation degrees through the nodes of M , must stabilize as $n \rightarrow \infty$, i.e. the moduli spaces are the same for all sufficiently large values of n . This allows us to define $\mathfrak{K}^1 : CF^*((L_0, \mathcal{E}_0), (L_1, \mathcal{E}_1); \varepsilon h) \rightarrow CF^*((L_0, \mathcal{E}_0), (L_1, \mathcal{E}_1); H)$ by $\mathfrak{K}^1(\rho) = \lim_{n \rightarrow \infty} \mathfrak{K}_n^1(\rho)$. Taking the limit $n \rightarrow \infty$ in the identity $\mu_{H_n}^1 \circ \mathfrak{K}_n^1 = \mathfrak{K}_n^1 \circ \mu^1$ shows that \mathfrak{K}^1 is also a chain map.

It follows from Remark 3.19 that, for degree 0 Floer generators, \mathfrak{K}^1 counts propagating discs in which the output component of S is a continuation trajectory from εh to H (i.e. H_n for sufficiently large n), while the other components are solutions to Floer's equation for the Hamiltonian εh ; whereas for degree 1 generators continuation happens at the input.

The higher order terms of the A_∞ -homomorphisms \mathfrak{K}_n involve the choice, for each stable nodal domain $S = \bigsqcup D_j / \sim$ (and continuously and consistently over the moduli space of these), of a one-parameter family of Hamiltonian perturbation data, such that at one end of the family the Hamiltonian is εh everywhere except in the strip-like end near the output marked point z_0 where the continuation to H_n takes place, and at the other end of the family the Hamiltonian is H_n everywhere except in the strip-like ends near the input marked points z_1, \dots, z_k . One way to achieve this is to choose for each S a smooth function $s : S - \{z_0, \dots, z_k\} \rightarrow \mathbb{R}$ such that $\lim_{z \rightarrow z_0} s(z) = -\infty$ at the output marked point, $\lim_{z \rightarrow z_i} s(z) = +\infty$ at the input marked points, and on each component of S , s decreases monotonically from the inputs to the output. This choice should be made continuously over the moduli space of stable nodal discs and consistently with respect to degenerations

of the domain. We then consider solutions of the Floer continuation equation involving the Hamiltonians $H_{\sigma(s(z)-s_0)}$, where the parameter $s_0 \in \mathbb{R}$ is allowed to vary and determines the level set of s near which the Hamiltonian perturbation switches from εh to H_n .

Since $H_n = \varepsilon h$ near the nodes of M (and we can ensure that the same holds for all H_σ), the details of the behavior of the continuation perturbation as s_0 varies through the value of s at a node of S are not particularly important. What does require more care is the case where some components of S are unstable (strips), and the most obvious constructions fail to account for domain automorphisms if continuation proceeds simultaneously across several unstable components of S . Conceptually the simplest approach is to stabilize the domain by adding a boundary marked point to each unstable component of S , where we require the τ -coordinate of the appropriate component M_e to take a prescribed value. (Alternatively, by considering the structure of rigid continuation configurations as in Remark 3.19 one can exclude a number of potential cases and devise an ad hoc definition for the remaining ones).

As in the case of the linear term, observing that contributions to \mathfrak{K}_n^k from propagating discs whose area is below a fixed threshold stabilize for sufficiently large n , we can take the limit as $n \rightarrow \infty$ and set $\mathfrak{K}^k(\rho_k, \dots, \rho_1) = \lim_{n \rightarrow \infty} \mathfrak{K}_n^k(\rho_k, \dots, \rho_1)$.

We claim that the A_∞ -functor $\mathfrak{K} : \mathcal{F}(M) \rightarrow \mathcal{F}(M; H)$ is a quasi-equivalence. The usual method to establish such a result is to construct another A_∞ -functor in the opposite direction by considering Floer-theoretic continuation maps with the roles of H and εh reversed, and show that it is a quasi-inverse to \mathfrak{K} by a homotopy argument. We expect that this can be done in our setting, but it is easier to proceed differently. Namely, it suffices to show that the linear terms of the A_∞ -functor \mathfrak{K} are quasi-isomorphisms of chain complexes; this will follow from the argument in Section 5.4 where we show that \mathfrak{K}^1 coincides with a purely algebraic construction based on the homological perturbation lemma.

4. THE B-MODEL: GENERALIZED TATE CURVES FROM COMBINATORIAL DATA

4.1. Generalized Tate curve in terms of formal schemes. Given combinatorial data as in Definition 1.2, the following is a particular case of Mumford's construction (actually, its version over the universal power series ring). We first take the \mathbb{Z} -scheme X^0 , which is obtained as a union of X_v^0 , where we identify $x_{e/v}$ and $x_{e/v'}$ for $v \neq v'$. The resulting nodal points are denoted by $x_e \in X^0$.

Let us choose the following affine open subsets $U_e^0, W_v^0 \subset X^0$. For $v \in V$, the subset W_v^0 is $(X_v^0$ minus nodal points). For $e \in E$ we take v, v' to be the endpoints of e , and define U_e^0 to be $X_v^0 \cup X_{v'}^0$ minus nodal points other than x_e . We have isomorphisms

$$W_v^0 \cong \mathrm{Spec} \mathbb{Z}[t^{\pm 1}, (1-t)^{-1}],$$

$$U_e^0 \cong \mathrm{Spec} \mathbb{Z}[t_{e/v}, (1-t_{e/v})^{-1}, t_{e/v'}, (1-t_{e/v'})^{-1}] / (t_{e/v} t_{e/v'}).$$

The first of these isomorphisms of course depends on a choice of coordinate t on X_v^0 taking values $0, 1, \infty$ at the marked points.

We now define the formal scheme \mathfrak{X} over $\mathbb{Z}[[q_e, e \in E]]$. Its reduction modulo all q_e will be exactly X^0 . We first take the affine formal schemes $\mathcal{U}_e, \mathcal{W}_v$, given by

$$\mathcal{W}_v := \mathrm{Spf} \mathcal{O}(W_v^0)[[q_f, f \in E]];$$

$$\mathcal{U}_e := \mathrm{Spf} \mathbb{Z}[T_{e/v}, (1 - T_{e/v})^{-1}, T_{e/v'}, (1 - T_{e/v'})^{-1}][[q_f, f \in E]] / (T_{e/v} T_{e/v'} - q_e).$$

It is easy to see that for $e/v, e/v'$, we have a natural isomorphism

$$\mathcal{O}(\widehat{\mathcal{U}_e}[T_{e/v}^{-1}]) \xrightarrow{\sim} \mathcal{O}(\mathcal{W}_v), \quad T_{e/v} \mapsto t_{e/v}, \quad T_{e/v'} \mapsto \frac{q_e}{t_{e/v}}.$$

This allows us to glue together all \mathcal{U}_e in the obvious way, and this way we obtain our formal scheme \mathfrak{X} . It is easy to see from Grothendieck algebraization theorem that there is a unique (up to canonical isomorphism) algebraic curve X over $\mathbb{Z}[[\{q_e\}]]$ such that the reduction of $X \bmod q_e$ is identified with X^0 , and the formal neighborhood of X^0 at X is identified with \mathfrak{X} .

However, the algebraization is essentially impossible to write down explicitly, and we don't need that since the categories of coherent sheaves and of perfect complexes are naturally obtained from the formal scheme. That is, we have $\mathrm{Coh}(X) \simeq \mathrm{Coh}(\mathfrak{X})$, $\mathrm{Perf}(X) \simeq \mathrm{Perf}(\mathfrak{X})$.

Remark 4.1. *Although in general punctured formal schemes (objects like $\mathfrak{X} - X^0$) are not easy to deal with, here they are not too much different from usual schemes. Namely, if we want to invert some collection q_{e_1}, \dots, q_{e_l} (for example, all q_e 's), then we simply take a ringed space \mathfrak{X}' with the same underlying topological space, and define the sections on affine subsets by*

$$\mathcal{O}_{\mathfrak{X}'}(\mathcal{U}) = \mathcal{O}_{\mathfrak{X}}(\mathcal{U})[(\widehat{q_{e_1} \dots q_{e_l}})^{-1}].$$

Then we will have $\mathrm{Coh}(\mathfrak{X}') = \mathrm{Coh}(\mathfrak{X}) / (q_{e_1} \dots q_{e_l}\text{-torsion})$, and similarly for $\mathrm{Perf}(\mathfrak{X}')$.

From now on, we denote by K the Novikov field $\widehat{k[T^{\mathbb{R}}]}$, where k is some field of coefficients. As above, we denote by $A_e \in \mathbb{R}_{>0}$ the symplectic areas of 2-spheres on the A side. Taking continuous homomorphism

$$\mathbb{Z}[[\{q_e, e \in E\}]] \rightarrow K, \quad q_e \mapsto T^{A_e}$$

(or some other element of valuation A_e if we allow a bulk deformation of the A-model), we get the extension of scalars X_K of X . The B side will be the curve X_K .

4.2. The Schottky groupoid. We now give the description of the curve X_K in terms of rigid analytic geometry. To avoid confusion, we put $Y_v := X_v^0 \times_{\mathbb{Z}} K \cong \mathbb{P}_K^1$, and keep the notation $t_{e/v}$ for the chosen projective coordinates.

We denote by $\pi_1(G)$ the fundamental groupoid of the graph G . We define the functor $g : \pi_1(G) \rightarrow \text{Sch}/K$ by sending each $v \in V$ to Y_v , and for each edge e connecting v and v' we send the morphism $e : v \rightarrow v'$ to the map $g_{e/v} : X_v^0 \rightarrow X_{v'}^0$, given by $t_{e/v'}(g_{e/v}(x)) = \frac{q_e}{t_{e/v}(x)}$.

Fixing a vertex $v_0 \in V$, we get the *Schottky group* $\Gamma_{G,v_0} := \pi_1(G, v_0)$, which acts faithfully on Y_{v_0} . The group Γ_{G,v_0} is free on $g = g(X_K)$ generators, and its non-identity elements are acting by hyperbolic transformations of Y_v .

If we now consider each Y_v as a rigid analytic space, then we define $F_v \subset Y_v$ to be the set of limit points of the $\pi_1(G, v)$ -action (F_v is naturally a Cantor set; see e.g. [GvdP, Chapter I]). Then the curve X_K is identified, as a rigid analytic space, with the quotient of the collection $\{Y_v - F_v\}_{v \in V}$ by $\pi_1(G)$ (the same as the quotient $(Y_v - F_v)/\Gamma_{G,v}$ for any $v \in V$).

For each vertex $v \in V$, and any real numbers $1 > s_{e/v} > |q_e|$, for each half-edge e/v , we define the open analytic subset $U_{v,\{s_{e/v}\}} \subset X_K$ as the image of

$$\{1 \geq |t_{e/v}| \geq s_{e/v} \text{ for } e/v\} \subset Y_v - F_v.$$

Also, for any half-edge e/v , and any $1 > s_1 \geq s_2 > |q_e|$ we define $U_{e/v,s_1,s_2}$ as the image of

$$\{s_1 \geq |t_{e/v}| \geq s_2\} \subset Y_v - F_v.$$

Clearly, if the edge e connects v and v' then $U_{e/v,s_1,s_2} = U_{e/v',\frac{|q_e|}{s_2},\frac{|q_e|}{s_1}}$. For two distinct $v, v' \in V$, and collections $\{s_{e/v}\}, \{s_{e/v'}\}$, we have

$$U_{v,\{s_{e/v}\}} \cap U_{v',\{s_{e/v'}\}} = \bigsqcup_e U_{e/v,\frac{|q_e|}{s_{e/v'}},s_{e/v}},$$

where the union is over the edges connecting v and v' , and we put $U_{e/v,s_1,s_2} = \emptyset$ if $s_1 < s_2$.

We will mostly use the following open affinoid subsets:

$$U_v := U_{v,\{|q_e|^{\frac{3}{4}}\}_{e/v}}, \quad U_e := U_{e/v,|q_e|^{\frac{1}{4}},|q_e|^{\frac{3}{4}}}.$$

5. CONSTRUCTION OF THE EQUIVALENCE

5.1. The assignment of vector bundles to objects of $\mathcal{F}(M)$. Recall that a v.b. type object of $\mathcal{F}(M)$ is a pair (L, \mathcal{E}) , where L is a graph with vertices in $V(G)$ and edges going in each of M_e , and \mathcal{E} is a local system of free finitely generated \mathcal{O}_K -modules on L . We fix such a Lagrangian graph L_0 , so that the pair (L_0, \mathcal{O}_K) will correspond to the structure sheaf \mathcal{O}_X .

Now, for any object $(L, \mathcal{E}) \in \mathcal{F}(M)$ and each edge $e \in E(G)$ connecting $v, v' \in V(G)$ we have the following invariants:

- $r_e(L) = r_e(L_0, L) \in \mathbb{Z}$, the rotation number of L with respect to L_0 in M_e in the negative direction. The sum $\sum_{e \in E(G)} r_e(L)$ will be the slope of the corresponding vector bundle.
- $S_{e/v}(L) = S_{e/v}(L_0, L)$, the signed area bounded by L_0 and L on the universal cover of $M_e \setminus \{p_v, p_{v'}\}$, where we take the lifts which are close to each other when we approach $p_{v'}$. We have $S_{e/v'}(L) + S_{e/v}(L) = r_e(L)A_e$.
- the monodromy $R_{\mathcal{E}, e/v} : \mathcal{E}_v \rightarrow \mathcal{E}_{v'}$.

We define the vector bundle $\Phi(L, \mathcal{E})$ on X as follows. First, its pullbacks to $Y_v - F_v$ are given by $\mathcal{E}_v \otimes_{\mathcal{O}_K} \mathcal{O}_{Y_v - F_v}$. Then, we need to describe the action of the groupoid $\pi_1(G)$. For each edge e considered as a morphism from v to v' in $\pi_1(G)$, the corresponding isomorphism

$$u_{e/v} : \mathcal{E}_v \otimes \mathcal{O}_{Y_v - F_v} \rightarrow g_{e/v}^*(\mathcal{E}_{v'} \otimes \mathcal{O}_{Y_{v'} - F_{v'}})$$

is given by

$$(5.1) \quad u_{e/v} = R_{\mathcal{E}, e/v} \otimes T^{-S_{e/v}(L)} t_{e/v}^{r_e(L)}.$$

If the A-model is bulk deformed, this formula should be corrected by the exponential of the integral of \mathfrak{b} over the area bounded by L_0 and L on the universal cover of $M_e \setminus \{p_v, p_{v'}\}$.

By [Fa], the vector bundle $\Phi(L, \mathcal{E})$ is semistable of slope $\sum_{e \in E(G)} r_e(L)$.

5.2. Abstract Homological Perturbation Lemma (HPL) for complexes. We recall the following abstract setup for homological perturbation, for which we refer to [CL]. For simplicity the base field will be the Novikov field K .

Let $(\mathcal{K}, d_{\mathcal{K}})$ and $(\mathcal{L}, d_{\mathcal{L}})$ be complexes. Suppose that we are given maps i, p, h , where $i : \mathcal{L} \rightarrow \mathcal{K}$ and $p : \mathcal{K} \rightarrow \mathcal{L}$ are morphisms of complexes, and $h : \mathcal{K} \rightarrow \mathcal{K}$ is a map of (cohomological) degree -1 such that $pi = 1_{\mathcal{L}}$, $1_{\mathcal{K}} - ip = dh + hd$, $h^2 = 0$, $ph = 0$, $hi = 0$.

Now let us take a perturbation δ of the differential $d_{\mathcal{K}}$, satisfying the Maurer-Cartan equation $[d_{\mathcal{K}}, \delta] + \delta^2 = 0$. Hence, $\tilde{d}_{\mathcal{K}} = d_{\mathcal{K}} + \delta$ is a differential on \mathcal{K} . Assume that the endomorphism $(\text{id}_{\mathcal{K}} + h\delta)$ of \mathcal{K} is invertible (hence, so is $(\text{id}_{\mathcal{K}} + \delta h)$). Then there are natural perturbations for $d_{\mathcal{L}}$, i , p and h , so that all of the relations continue to hold:

$$\tilde{d}_{\mathcal{L}} = d_{\mathcal{L}} + p\delta(\text{id} + h\delta)^{-1}i, \quad \tilde{i} = (\text{id} + h\delta)^{-1}i, \quad \tilde{p} = p(\text{id} + \delta h)^{-1}, \quad \tilde{h} = (\text{id} + h\delta)^{-1}h.$$

In particular, \tilde{i} and \tilde{p} are quasi-isomorphisms of complexes with perturbed differentials.

Remark 5.1. *Suppose that (the graded components of) \mathcal{K} and \mathcal{L} are Banach vector spaces over K , and the maps i, p, h, δ are continuous. Then the assumption that $(\text{id} + h\delta)$ is invertible would follow from the assumption that $h\delta : \mathcal{K} \rightarrow \mathcal{K}$ is locally topologically nilpotent, i.e. for any homogeneous $x \in \mathcal{K}$ we have $\lim_{n \rightarrow \infty} (h\delta)^n(x) = 0$. Indeed, in this case we have*

$$(\text{id} + h\delta)^{-1}(x) = \sum_{n=0}^{\infty} (-1)^n (h\delta)^n(x), \quad (\text{id} + \delta h)^{-1}(x) = \sum_{n=0}^{\infty} (-1)^n (\delta h)^n(x).$$

Hence, the formulas for $\tilde{d}_{\mathcal{L}}, \tilde{i}, \tilde{p}, \tilde{h}$ can also be expressed as infinite sums.

Note that for $(\mathcal{K}, \mathcal{L}, i, p, h)$ and $(\mathcal{K}', \mathcal{L}', i', p', h')$ as above one can define their tensor product to be $(\mathcal{K} \otimes \mathcal{K}', \mathcal{L} \otimes \mathcal{L}', i \otimes i', p \otimes p', h'')$, where $h'' = h \otimes \text{id} + ip \otimes h'$.

Now suppose that $(\mathcal{K}, \mathcal{L}, i, p, h)$ is as above and $\mu_{\mathcal{K}} = (\mu_{\mathcal{K}}^1, \mu_{\mathcal{K}}^2, \dots)$ is an A_{∞} -structure on \mathcal{K} . Then we get the data $(T(\mathcal{K}[1]), T(\mathcal{L}[1]), i', p', h')$ as above, using the formulas for the tensor product. We get a coderivation $\delta : T(\mathcal{K}[1]) \rightarrow T(\mathcal{K}[1])$ of degree 1, with components $\delta^1 = \mu_{\mathcal{K}}^1 - d_{\mathcal{K}}, \delta^2 = \mu_{\mathcal{K}}^2, \dots$. Assuming that $(\text{id}_{\mathcal{K}} + h\delta^1)$ is invertible, we easily see that the same holds for $(\text{id}_{T(\mathcal{K}[1])} + h'\delta)$. Applying the above formulas, we get the deformed differential $\tilde{d}_{T(\mathcal{L}[1])}$, which is in fact a coderivation, hence it gives an A_{∞} -structure $\mu_{\mathcal{L}}$ on \mathcal{L} . The deformed morphisms $\tilde{i}' : T(\mathcal{L}[1]) \rightarrow T(\mathcal{K}[1]), \tilde{p}' : T(\mathcal{K}[1]) \rightarrow T(\mathcal{L}[1])$ are in fact morphisms of DG coalgebras, hence they give morphisms of A_{∞} -algebras $\tilde{i} : (\mathcal{L}, \mu_{\mathcal{L}}) \rightarrow (\mathcal{K}, \mu_{\mathcal{K}}), \tilde{p} : (\mathcal{K}, \mu_{\mathcal{K}}) \rightarrow (\mathcal{L}, \mu_{\mathcal{L}})$, which are quasi-isomorphisms. For details, see [CL, Section 3.3].

Note that if we are in the setup of Remark 5.1, then the expressions of $\mu_{\mathcal{L}}, \tilde{i}, \tilde{p}$ as infinite sums are actually the standard summations over trees. The summations for the components $\mu_{\mathcal{L}}^n, \tilde{i}_n, \tilde{p}_n$ would be finite if $\delta^1 = 0$.

The same construction applies also to A_{∞} -categories. We will use it in Section 5.4 to argue that the variant $\mathcal{F}(M; H)$ of our A-model construction involving “infinite” Hamiltonian perturbations is quasi-isomorphic to $\mathcal{F}(M)$. We will also use it to obtain expressions for the theta functions corresponding to the generators of the Floer complexes (provided that they are concentrated in degree zero).

5.3. Infinite Hamiltonian perturbations and Čech complexes. Recall from Proposition 3.18 that, denoting by P_v the union of the subsets $([0, 3] \times S^1 / \sim) \subset M_e$ for each half-edge e/v , and by N_e the subset $[1, 3] \times S^1 \subset M_e$, the generators of the Floer complexes which lie outside of P_v (resp. N_e) span (after completion) an A_{∞} -ideal in $\mathcal{F}(M; H)$, and we denote by $\mathcal{F}(P_v; H)$ (resp. $\mathcal{F}(N_e; H)$) the quotient of $\mathcal{F}(M; H)$ by this A_{∞} -ideal.

These quotients come with A_{∞} -functors $\mathcal{F}(M; H) \rightarrow \mathcal{F}(P_v; H)$ and $\mathcal{F}(P_v; H) \rightarrow \mathcal{F}(N_e; H)$, which are surjective on morphisms and have vanishing higher order terms. Hence,

the naive chain level limit embeds fully faithfully into the homotopy limit

$$\lim(\prod_{v \in V(G)} \mathcal{F}(P_v; H) \rightrightarrows \prod_{e \in E(G)} \mathcal{F}(N_e; H)) \hookrightarrow \text{holim}(\prod_{v \in V(G)} \mathcal{F}(P_v; H) \rightrightarrows \prod_{e \in E(G)} \mathcal{F}(N_e; H)),$$

and the Fukaya category $\mathcal{F}(M; H)$ embeds fully faithfully into the naive limit:

$$\mathcal{F}(M; H) \hookrightarrow \lim(\prod_{v \in V(G)} \mathcal{F}(P_v; H) \rightrightarrows \prod_{e \in E(G)} \mathcal{F}(N_e; H)).$$

We will show in Section 5.5 that there are natural equivalences

$$\text{Perf}(\mathcal{F}(P_v; H)) \simeq \text{Perf}(U_v), \quad \text{Perf}(\mathcal{F}(N_e; H)) \simeq \text{Perf}(U_e),$$

under which the functors $\mathcal{F}(P_v; H) \rightarrow \mathcal{F}(N_e; H)$ correspond to the restriction functors $\text{Perf}(U_v) \rightarrow \text{Perf}(U_e)$. Thus, we get a fully faithful embedding

$$\mathcal{F}(M; H) \rightarrow \text{holim}(\prod_{v \in V(G)} \text{Perf}(U_v) \rightrightarrows \prod_{e \in E(G)} \text{Perf}(U_e)) \simeq \text{Perf}(X_K),$$

which induces a fully faithful functor $\Psi : \text{Perf}(\mathcal{F}(M; H)) \rightarrow \text{Perf}(X_K)$. But on the level of isomorphism classes of objects this functor sends (L, \mathcal{E}) exactly to $\Phi(L, \mathcal{E})$. Since the vector bundles of the form $\Phi(L, \mathcal{E})$ generate the category $\text{Perf}(X_K)$, we conclude that Ψ is an equivalence.

5.4. HPL for Hamiltonian perturbations. We now show that HPL provides a quasi-equivalence between the A_∞ -categories $\mathcal{F}(M)$ and $\mathcal{F}(M; H)$; we also explain how this can be viewed as an algebraic counterpart to the continuation functor $\mathfrak{K} : \mathcal{F}(M) \rightarrow \mathcal{F}(M; H)$ described in Section 3.5.

As in Section 3.4, for each edge e connecting vertices v and v' we identify M_e with $[0, 4] \times (\mathbb{R}/\mathbb{Z})/\sim$ with coordinates (τ, ψ) (with $\tau = 0$ corresponding to the node p_v and $\tau = 4$ to $p_{v'}$). Consider two v.b.-type Lagrangians L, L' . Without loss of generality we assume that the generators of $CF^*(L, L'; \varepsilon h)$ lie away from the support of the perturbations f_n and f , and that $\mathcal{X}(L, L'; H_n)$ (resp. $\mathcal{X}(L, L'; H)$) differs from $\mathcal{X}(L, L'; \varepsilon h)$ by adding, in each component M_e :

- n (resp. infinitely many) degree 1 generators $q_{e/v,1}, q_{e/v,2}, \dots$ (in increasing order of τ coordinates) in $(0, 1) \times S^1$;
- $2n$ (resp. “twice” infinitely many) degree 0 generators $\dots, p_{e/v,2}, p_{e/v,1}$ (near $\tau = 1$) and $p_{e/v',1}, p_{e/v',2}, \dots$ (near $\tau = 3$) in $(1, 3) \times S^1$;
- n (resp. infinitely many) degree 1 generators $\dots, q_{e/v',2}, q_{e/v',1}$ in $(3, 4) \times S^1$.

Denote by μ_{nv}^1 the “naive” (or “low area”) part of the differential μ_H^1 on $CF^*(L, L'; H)$, only involving holomorphic discs supported near $\tau = 1$ or $\tau = 3$ (without propagation) in a single component M_e of M . Thus, μ_{nv}^1 maps $p_{e/v,k}$ to a multiple of $q_{e/v,k}$ for every

half-edge e/v and for all $k \geq 1$, and all other generators to zero. The areas of the discs connecting $p_{e/v,k}$ to $q_{e/v,k}$ can be made arbitrarily small by shrinking the support of the perturbations f_n and f ; this allows us to assume that all other contributions to the Floer differential μ_H^1 have larger area than those which are recorded by μ_{nv}^1 .

Setting $\delta^1 = \mu_H^1 - \mu_{nv}^1$ (and $\delta^k = \mu_H^k$ for $k \geq 2$), we are now in the setup of abstract HPL. Namely, the natural inclusion $i : (CF^*(L, L'), 0) \rightarrow (CF^*(L, L'; H), \mu_{nv}^1)$ is a map of complexes, and so is the projection $p : (CF^*(L, L'; H), \mu_{nv}^1) \rightarrow (CF^*(L, L'), 0)$. Further, we choose the homotopy h to be the map sending each new generator of degree 1, $q_{e/v,k}$, to the corresponding degree zero generator $p_{e/v,k}$, multiplied by the inverse of the coefficient that arises in μ_{nv}^1 . Then the map $h\delta^1$ is locally topologically nilpotent, because the symplectic areas of the perturbed holomorphic discs which contribute to δ^1 are larger than those of the discs which contribute to μ_{nv}^1 . It follows that $\text{id} + h\delta$ is invertible (see Remark 5.1), and we can apply HPL.

Applying this construction to the A_∞ -categories $\mathcal{F}(M)$ and $\mathcal{F}(M; H)$ (or rather, to full subcategories whose objects satisfy the assumptions we have made above about the absence of Floer generators near $\tau = 1$ and $\tau = 3$ and the behavior of the Floer complexes under Hamiltonian perturbations), we arrive at the existence of operations μ_{HPL}^k ($k \geq 1$) on the Floer complexes $CF^*(L, L')$, given by the formulas in Section 5.2, and A_∞ -functors \tilde{i} and \tilde{p} giving a quasi-equivalence between this A_∞ -category and $\mathcal{F}(M; H)$.

We now show that the operations μ_{HPL}^k obtained from μ_H^k via Homological Perturbation theory are equal to the structure maps μ^k of the Fukaya category $\mathcal{F}(M)$, so that \tilde{i} and \tilde{p} in fact yield a quasi-equivalence between $\mathcal{F}(M)$ and $\mathcal{F}(M; H)$. We start with the differential, and recall that the HPL gives

$$(5.2) \quad \mu_{HPL}^1 = p\delta^1(\text{id} + h\delta^1)^{-1}i = \sum_{\ell=0}^{\infty} (-1)^\ell p\delta^1(h\delta^1)^\ell i.$$

Consider two v.b.-type Lagrangians L, L' as above, and a propagating holomorphic strip $u : S \rightarrow M$ contributing to the Floer differential on $CF^*(L, L')$, connecting an input generator p_1 to an output generator p_0 via a sequence of holomorphic strips contained successively in components $M_{e_1}, \dots, M_{e_\ell}$ (with $p_1 \in M_{e_1}$ and $p_0 \in M_{e_\ell}$), attached to each other via nodes $p_{v_1}, \dots, p_{v_{\ell-1}}$. Since u is rigid, its boundary travels along L and L' without backtracking, and the τ coordinate varies monotonically along each component. We orient each edge e_j so that the strip travels in the increasing τ direction along M_{e_j} from input to output, i.e. p_{v_j} lies at the $\tau = 4$ end of M_{e_j} and at the $\tau = 0$ end of $M_{e_{j+1}}$. Assume for example that the τ -coordinate of the input $p_1 \in M_{e_1}$ is less than 1, and that the τ -coordinate of the output $p_0 \in M_{e_\ell}$ is greater than 1, so that each component of u passes through the circle $\{1\} \times S^1 \subset M_{e_i}$ (the other cases are similar).

Denote by $w_j \in \mathbb{R}_+$ the width of the j -th component of u at $\tau = 1$, i.e. the difference in the values of the ψ coordinate at $\tau = 1$ on the two boundaries of the lift of the strip to the universal cover of $M_{e_j} - \{p_{v_{j-1}}, p_{v_j}\}$, and let $k_j = \lceil w_j \rceil$. Then the Hamiltonian perturbation H (or H_n for $n > \max(w_j)$) breaks each component of u into a strip which ends at the new degree 1 generator $q_{e_j/v_{j-1}, k_j}$ before τ reaches 1, and one which starts from the new degree 0 generator $p_{e_j/v_{j-1}, k_j}$ just past $\tau = 1$. Thus we can associate to u a sequence of $\ell + 1$ perturbed propagating holomorphic strips contributing to differential μ_H^1 on $CF^*(L, L'; H)$ (and hence to $\delta^1 = \mu_H^1 - \mu_{nv}^1$), interspersed with ℓ low area connecting trajectories between the pairs of generators $p_{e_j/v_{j-1}, k_j}$ and $q_{e_j/v_{j-1}, k_j}$. These are exactly the kinds of configurations counted by the right-hand side of (5.2). Moreover, the propagation multiplicity of u is equal to the product of the propagation multiplicities of the $\ell + 1$ perturbed strips that it breaks into; its area is the sum of the areas of these strips minus the sum of the areas of the connecting trajectories between $p_{e_j/v_{j-1}, k_j}$ and $q_{e_j/v_{j-1}, k_j}$, and similarly for holonomies. Finally, the sign $(-1)^\ell$ is due to the overall sign contributions of the additional pairs of outputs at the new degree 1 generators $q_{e_j/v_{j-1}, k_j}$ in the broken configuration; each time the two trajectories ending at $q_{e_j/v_{j-1}, k_j}$ have opposite boundary orientations along L' , so their signs differ by -1 . It follows that $\mu_{HPL}^1 = \mu^1$.

The argument for μ^k , $k \geq 2$ is similar. Consider v.b.-type Lagrangians L_0, \dots, L_k which pairwise satisfy the simplifying assumptions we have made about the behavior of the Floer complexes under perturbation, and a rigid propagating holomorphic disc $u : S \rightarrow M$ with boundary on L_0, \dots, L_k which contributes to μ^k . The intersection of u with a neighborhood \mathcal{N}_δ of the circles $\{1\} \times S^1$ and $\{3\} \times S^1$ in every component of M is a union of strip-like portions of the propagating disc. Among these, the strips which cross $\tau = 1$ (resp. $\tau = 3$) in the decreasing (resp. increasing) τ direction are essentially unaffected by the Hamiltonian perturbations H_n , while those which cross $\tau = 1$ (resp. $\tau = 3$) in the increasing (resp. decreasing) τ direction get broken up as described above as soon as n exceeds their width along the ψ coordinate. Thus, $u : S \rightarrow M$ gets broken into a union of perturbed propagating discs contributing to the structure maps of $\mathcal{F}(M; H)$, each of them with inputs that are either inputs of u (hence “old” generators from $\mathcal{X}(L_{i-1}, L_i; \varepsilon h)$) or new degree 0 generators $p_{e/v, k}$, and outputs that are either new degree 1 generators $q_{e/v, k}$ or the output of u . Because h vanishes on all except new degree 1 generators, which it maps to the corresponding new degree 0 generators, this type of configuration agrees exactly with the tree sum that appears in the HPL formula, and we conclude that $\mu_{HPL}^k = \mu^k$.

This completes the proof that $\mathcal{F}(M; H)$ is quasi-equivalent to $\mathcal{F}(M)$ (via the A_∞ -functors \tilde{i} and \tilde{p} provided by HPL).

While not needed for our argument, it is also instructive to compare $\tilde{i} : \mathcal{F}(M) \rightarrow \mathcal{F}(M; H)$ with the continuation functor \mathfrak{K} described in §3.5. The HPL formula for the linear term is

$$\tilde{i}^1 = (\text{id} + h\delta^1)^{-1}i = \sum_{\ell=0}^{\infty} (-1)^\ell (h\delta^1)^\ell i.$$

Since $h\delta^1$ vanishes on degree 1 generators, for CF^1 this simplifies to the naive inclusion i . For degree 0 generators, \tilde{i}^1 differs from the inclusion by counts of broken configurations consisting of perturbed propagating holomorphic strips contributing to differential μ_H^1 (i.e., to $\delta^1 = \mu_H^1 - \mu_{nv}^1$), ending at degree 1 generators $q_{e_j/v_{j-1}, k}$, interspersed with (inverses of) low area connecting trajectories between pairs of generators $q_{e_j/v_{j-1}, k}$ and $p_{e_j/v_{j-1}, k}$. Arguing as above, such configurations correspond almost exactly to propagating holomorphic discs for the Floer differential μ^1 (with Hamiltonian perturbation εh), except for the component carrying the output, where the picture is different and can be checked by explicit calculation to match the behavior of a Floer continuation trajectory from the Hamiltonian perturbation εh to the perturbation H_n for n sufficiently large. Comparing with the description in Remark 3.19, we conclude that $\tilde{i}^1 = \mathfrak{K}^1$. This in turn implies that \mathfrak{K} is a quasi-equivalence. We expect (but have not checked) that the higher terms of the A_∞ -functors \tilde{i} and \mathfrak{K} can also be shown to agree.

5.5. The local functors. We now describe the functors $\mathcal{F}(P_v; H) \rightarrow \text{Perf}(U_v)$ and $\mathcal{F}(N_e; H) \rightarrow \text{Perf}(U_e)$, which after gluing give the functor $\mathcal{F}(M; H) \rightarrow \text{Perf}(X_K)$. We start with P_v . We send each v.b.-type object (L, \mathcal{E}) to the free sheaf $\mathcal{E}_v \otimes_{\mathcal{O}_K} \mathcal{O}_{U_v}$.

Let $(L, \mathcal{E}), (L', \mathcal{E}')$ be two v.b.-type objects. Since the local systems \mathcal{E} and \mathcal{E}' can be trivialized over P_v , we suppress them from the notation and assume that we are dealing with trivial rank 1 local systems. We also assume for now that the only element of $\mathcal{X}(L, L'; \varepsilon h)$ which lies inside P_v is the node p_v itself (this can always be achieved by a Hamiltonian isotopy), and the elements of $\mathcal{X}(L, L'; H)$ inside P_v consist of the generator p_v together with infinitely many generators $q_{e/v, 0}, q_{e/v, 1}, q_{e/v, 2}, \dots$ in degree 1 and $\dots, p_{e/v, 2}, p_{e/v, 1}, p_{e/v, 0}, p_{e/v, -1}, p_{e/v, -2}, \dots$ in degree 0 in $(0, 3) \times S^1 \subset M_e$, for each half-edge e/v . (We index the degree 0 generators so that $p_{e/v, k}$ lies near $\tau = 1$ for $k \geq 0$, and near $\tau = 3$ for $k < 0$).

The Floer differential maps p_v to a linear combination of the three degree 1 generators $q_{e/v, 0}$ immediately adjacent to it along each of the three edges, and each $p_{e/v, k}$ ($k \geq 0$) to a multiple of the corresponding generator $q_{e/v, k}$ (these do not involve propagation). It also maps $p_{e/v, -k}$ ($k \geq 1$) to

$$\sum_{e'/v, e' \neq e} \sum_{\ell \geq 0} C_{k, \ell}^{v; e, e'} T^{S_{e/v}(p_{e/v, -k}) - S_{e'/v}(q_{e'/v, \ell})} q_{e'/v, \ell},$$

where the terms in the sum correspond to strips which propagate from M_e to $M_{e'}$ through p_v with input degree k and output degree ℓ ; here $C_{k,\ell}^{v;e,e'}$ is as in Definition 3.5, and $S_{e/v}(p_{e/v,-k})$ and $-S_{e'/v}(q_{e'/v,\ell})$ are the areas of the two components. (As a matter of convention we denote by $S_{e/v}(x)$ the signed area of a disc connecting a Floer generator x inside M_e to p_v , so the signed area of a disc from p_v to x is $-S_{e/v}(x)$.)

It follows from this that the Floer differential is surjective (even after completion, as the construction of the Hamiltonians H_n and H ensures a uniform bound on the areas of the trajectories connecting $p_{e/v,k}$ to $q_{e'/v,\ell}$ independently of k), and the cohomology is concentrated in degree zero, with generators

$$(5.3) \quad \tilde{p}_v = p_v + \sum_{e/v} T^{-S_{e/v}(p_{e/v,0})} p_{e/v,0} \quad \text{and}$$

$$(5.4) \quad \tilde{p}_{e/v,-k} = p_{e/v,-k} + \sum_{e'/v, e' \neq e} \sum_{\ell \geq 0} C_{k,\ell}^{v;e,e'} T^{S_{e/v}(p_{e/v,-k}) - S_{e'/v}(p_{e'/v,\ell})} p_{e'/v,\ell},$$

where the exponents of T correspond to the areas of trajectories between p_v and the respective generators. The situation is similar for general v.b.-type objects, after a suitable relabelling of the generators.

There is in fact a simple geometric model, which we denote by $\mathcal{F}(P_v)$, where the Floer differential vanishes and morphism spaces are the cohomologies of the morphism spaces in $\mathcal{F}(P_v; H)$. Namely, we consider a Hamiltonian which behaves like εh in the interior of P_v and like H near the boundary of P_v (at $\tau = 3$ in each of the three components of M which meet at p_v). It is still the case that the generators outside of P_v form an A_∞ -ideal, by the same argument as in Section 3.4; and the generators inside P_v now consist of p_v and the $p_{e/v,-k}$ for all e/v and $k \geq 1$, all in degree zero. Via either HPL or continuation maps, it can be seen that $\mathcal{F}(P_v)$ is quasi-equivalent to $\mathcal{F}(P_v; H)$, with the linear term of the quasi-equivalence mapping p_v to \tilde{p}_v and $p_{e/v,-k}$ to $\tilde{p}_{e/v,-k}$.

It is now apparent how to define the functor from $\mathcal{F}(P_v)$ (resp. $\mathcal{F}(P_v; H)$) to $\text{Perf}(U_v)$ on morphism spaces (resp. closed degree 0 morphisms) between v.b.-type objects: we map p_v (resp. \tilde{p}_v) to the constant function 1 on U_v , and $p_{e/v,-k}$ (resp. $\tilde{p}_{e/v,-k}$) to

$$T^{S_{e/v}(p_{e/v,-k})} t_{e/v}^{-k}.$$

To prove that this is indeed a functor, we verify that Floer products in $\mathcal{F}(P_v)$ correspond to products of functions on U_v : denoting by $\hat{p}_{e/v,-k} = T^{-S_{e/v}(p_{e/v,-k})} p_{e/v,-k}$ the Floer generators rescaled by appropriate area weights, and considering the various types of propagating holomorphic discs in P_v with inputs at two given generators $p_{e_1/v,-k_1}$ and $p_{e_2/v,-k_2}$ lying

on different components ($e_1 \neq e_2$), we have

$$\mu^2(\hat{p}_{e_1/v, -k_1}, \hat{p}_{e_2/v, -k_2}) = K_{k_1, k_2}^{v; e_1, e_2} p_v + \sum_{b=0}^{k_1-1} C_{k_2, b}^{v; e_2, e_1} \hat{p}_{e_1/v, b-k_1} + \sum_{a=0}^{k_2-1} C_{k_1, a}^{v; e_1, e_2} \hat{p}_{e_2/v, a-k_2},$$

which matches exactly the product formula in equation (3.10). Meanwhile, for generators lying on the same component the result is immediate since $\mu^2(\hat{p}_{e/v, -k_1}, \hat{p}_{e/v, -k_2}) = \hat{p}_{e/v, -k_1-k_2}$.

(Defining the functor explicitly on the remaining part of the morphism spaces in $\mathcal{F}(P_v; H)$, if one wishes to do so, is best accomplished by using homological perturbation theory to lift the strict functor $\mathcal{F}(P_v) \rightarrow \text{Perf}(U_v)$ to an A_∞ -functor $\mathcal{F}(P_v; H) \rightarrow \text{Perf}(U_v)$; however we will not need an explicit formula.)

Finally, verifying that the functor is full and faithful involves a comparison of completions. Namely, morphisms in $\mathcal{F}(P_v)$ are infinite linear combinations of Floer generators such that the Novikov valuations of the coefficients go to $+\infty$, whereas functions on the open affinoid domain U_v are linear combinations of the basis functions 1 and $t_{e/v}^{-k}$ for all e/v and $k \geq 1$, such that convergence holds whenever $|t_{e/v}| \geq |q_e|^{3/4}$ (i.e., $\text{val}(t_{e/v}) \leq \frac{3}{4}A_e$). The fact that these two completions agree under our functor mapping $p_{e/v, -k}$ to $T^{S_{e/v}(p_{e/v, -k})} t_{e/v}^{-k}$ follows directly from the geometric fact that the area $S_{e/v}(p_{e/v, -k})$ of the degree k disc connecting the generator $p_{e/v, -k}$ near $\tau = 3$ in M_e to p_v differs from $\frac{3}{4}kA_e$ by a bounded amount.

The functor $\mathcal{F}(N_e; H) \rightarrow \text{Perf}(U_e)$ is constructed similarly, with all v.b.-type objects mapped to free sheaves over U_e and Floer generators mapped to suitable multiples of powers of the coordinate $t_{e/v}$ (or equivalently $t_{e/v'}$ for the other vertex). Viewing N_e as a subset of P_v , and considering a pair of v.b.-type Lagrangians which do not intersect in P_v outside of the node p_v as previously, their morphism space in $\mathcal{F}(N_e; H)$ is the completion of the span of the infinite sequence of generators $p_{e/v, k}$, $k \in \mathbb{Z}$, all in degree zero, and we map each $p_{e/v, k}$ to $T^{S_{e/v}(p_{e/v, k})} t_{e/v}^k$. The fact that the completions agree under this functor follows again from the observation that $S_{e/v}(p_{e/v, k})$ is close to $\frac{3}{4}|k|A_e$ for $k \ll 0$ (the generators which lie near $\tau = 3$), and to $-\frac{1}{4}kA_e$ for $k \gg 0$ (the generators near $\tau = 1$).

By definition the restriction functor $\mathcal{F}(P_v; H) \rightarrow \mathcal{F}(N_e; H)$ maps morphism spaces to each other simply by quotienting by all the generators which lie outside of N_e ; we denote this quotient map by Q . Composing with the quasi-equivalence from $\mathcal{F}(P_v)$ into $\mathcal{F}(P_v; H)$ provided by HPL (or continuation), we obtain a restriction functor $\mathcal{F}(P_v) \rightarrow \mathcal{F}(N_e; H)$. In light of (5.3)–(5.4), this maps p_v to $Q(\tilde{p}_v) = T^{-S_{e/v}(p_{e/v, 0})} p_{e/v, 0}$, $p_{e/v, -k}$ to $Q(\tilde{p}_{e/v, -k}) = p_{e/v, -k}$ itself, and for $e' \neq e$, $p_{e'/v, -k}$ to

$$Q(\tilde{p}_{e'/v, -k}) = \sum_{\ell \geq 0} C_{k, \ell}^{v; e', e} T^{S_{e'/v}(p_{e'/v, -k}) - S_{e/v}(p_{e/v, \ell})} p_{e/v, \ell}.$$

These formulas are easily checked to agree with the restriction from $\text{Perf}(U_v)$ to $\text{Perf}(U_e)$, using the fact that $t_{e'/v}^{-k} = \sum_{\ell=0}^{\infty} C_{k,\ell}^{v;e',e} t_{e/v}^{\ell}$.

5.6. Theta functions. Now we show how the ingredients of the construction assemble to give a concrete description of the mirror functor $\mathcal{F}(M) \rightarrow \text{Perf}(X_K)$, in the special case when the Floer complex $CF^*((L, \mathcal{E}), (L', \mathcal{E}'))$ is concentrated in degree zero, by providing an explicit map

$$(5.5) \quad \Phi_{L,L'} : CF^0((L, \mathcal{E}), (L', \mathcal{E}')) \rightarrow \text{Hom}(\Phi(L, \mathcal{E}), \Phi(L', \mathcal{E}')).$$

We consider two objects (L, \mathcal{E}) , (L', \mathcal{E}') , and an intersection point $x \in L \cap L'$ of degree zero, such that $x \in P_v$. We explain how to associate to it a map

$$(5.6) \quad \Phi_{L,L',x} : \text{Hom}_{\mathcal{O}_K}(\mathcal{E}_x, \mathcal{E}'_x) \rightarrow \text{Hom}_{\mathcal{O}_{U_v}}(\Phi(L, \mathcal{E})|_{U_v}, \Phi(L', \mathcal{E}')|_{U_v}).$$

Take the half-edge e/v in the graph G such that $x \in M_e$. We denote by $r_{e/v}(x) \in \mathbb{Z}$ the rotation number of $\phi_H^1(L)$ relative to L' in the negative direction along the path from p_v to x , and by $S_{e/v}(x)$ the signed area of a disc connecting x to p_v inside M_e , or equivalently, the region bounded by L and L' on the universal cover of $(0, \tau(x)) \times S^1 \subset M_e$ (taking the lifts which approach each other as $\tau \rightarrow \tau(x)$). In the case when $x = p_v$, we have $r_{e/v}(p_v) = 0$ and $S_{e/v}(p_v) = 0$. If e connects v and v' , then

$$(5.7) \quad r_{e/v}(x) + r_{e/v'}(x) = r_e(L, L'), \quad S_{e/v}(x) - S_{e/v'}(x) + r_{e/v'}(x)A_e = S_{e/v}(L, L').$$

To each such x we associate a monomial $T^{S_{e/v}(x)} t_{e/v}^{-r_{e/v}(x)}$, considered as a function on U_v . Now, we define the map (5.6) by the formula

$$(5.8) \quad \Phi_{L,L',x}(\varphi) = (R_{\mathcal{E}',x,v} \varphi R_{\mathcal{E},v,x}) \otimes T^{S_{e/v}(x)} t_{e/v}^{-r_{e/v}(x)} \in \text{Hom}_{\mathcal{O}_{U_v}}(\Phi(L, \mathcal{E})|_{U_v}, \Phi(L', \mathcal{E}')|_{U_v}),$$

where $\varphi \in \text{Hom}(\mathcal{E}_x, \mathcal{E}'_x)$, and $R_{\mathcal{E},v,x}$, $R_{\mathcal{E}',x,v}$ denote the monodromies. Moreover, the morphisms $(R_{\mathcal{E}',x,v} \varphi R_{\mathcal{E},v,x}) \otimes T^{S_{e/v}(x)} t_{e/v}^{-r_{e/v}(x)}$ and $(R_{\mathcal{E}',x,v'} \varphi R_{\mathcal{E},v',x}) \otimes T^{S_{e/v'}(x)} t_{e/v'}^{-r_{e/v'}(x)}$ agree on U_e , which follows from the gluing data (5.1) for $\Phi(L, \mathcal{E})$, $\Phi(L', \mathcal{E}')$ and the observation that, using (5.7),

$$\begin{aligned} \left(T^{-S_{e/v}(L,L')} t_{e/v}^{r_e(L,L')} \right) \left(T^{S_{e/v}(x)} t_{e/v}^{-r_{e/v}(x)} \right) &= T^{S_{e/v}(x) - S_{e/v}(L,L')} t_{e/v}^{r_{e/v'}(x)} \\ &= T^{S_{e/v}(x) - S_{e/v}(L,L') + r_{e/v'}(x)A_e} t_{e/v'}^{-r_{e/v'}(x)} = T^{S_{e/v'}(x)} t_{e/v'}^{-r_{e/v'}(x)}. \end{aligned}$$

Now we introduce some notation. Let us take any reduced path in G , written as $\gamma = (v_0, e_1, \dots, e_n, v_n)$. It gives a map $g_\gamma : Y_{v_0} \rightarrow Y_{v_n}$, given by

$$g_\gamma = g_{e_n/v_{n-1}} \circ \dots \circ g_{e_1/v_0}.$$

We denote by $u_{L,L',\gamma} : \text{Hom}(\mathcal{E}_{v_0}, \mathcal{E}'_{v_0} \otimes \mathcal{O}_{Y_{v_0} - F_{v_0}}) \rightarrow g_\gamma^*(\text{Hom}(\mathcal{E}_{v_n}, \mathcal{E}'_{v_n}) \otimes \mathcal{O}_{Y_{v_n} - F_{v_n}})$ the gluing morphism.

The morphism (5.5) is given by “averaging” the morphisms (5.8). Namely, for a half-edge e_0/v_0 , a point $x \in L \cap L' \cap (\text{int}(M_{e_0}) \sqcup \{v_0\})$, and a morphism $\varphi : \mathcal{E}_x \rightarrow \mathcal{E}'_x$, for any vertex $v \in V(G)$ we put

$$(5.9) \quad \Phi_{L,L'}(\varphi)|_{U_v} = \sum_{\gamma: v_0 \rightarrow v} g_{\gamma*}(u_{L,L',\gamma}((R_{\mathcal{E}',x,v_0} \varphi R_{\mathcal{E},v_0,x}) \otimes T^{S_{e_0/v_0}(x)} t_{e_0/v_0}^{-r_{e_0/v_0}(x)})).$$

This sum converges because of our assumption on the Floer complex $CF^*((L, \mathcal{E}), (L', \mathcal{E}'))$ to be concentrated in degree zero. The restrictions of $\Phi_{L,L'}(\varphi)$ to different U_v agree on the intersections, so we get a well-defined morphism of vector bundles $\Phi(L, \mathcal{E}) \rightarrow \Phi(L', \mathcal{E}')$.

Example 5.2. Consider a graph G with a single vertex v , a single internal edge e connecting v to itself, and an external edge e' , so that M is the union of $M_e = \mathbb{P}^1/(0 \sim \infty)$ and $M_{e'} = \mathbb{C}$, glued together at the node of M_e . Choose the combinatorial data for the vertex v so that the coordinates $t^{\pm 1}$ associated to the two ends of the edge e are inverses of each other and take the value 1 at the third puncture. The mirror is then the punctured elliptic curve $X_K = (K^* - q_e^{\mathbb{Z}})/q_e^{\mathbb{Z}}$, where $q_e = T^{A_e}$. Let L_0, L_1 be v.b.-type objects such that L_1 rotates once clockwise around L_0 as it travels from the node of M_e back to itself, i.e. $r_e(L_0, L_1) = 1$, and $S_{e/v}(L_0, L_1) = \frac{1}{2}A_e$; we equip both with the trivial local system. Following the construction in §5.1, our mirror functor associates to L_0 the structure sheaf \mathcal{O}_X , and to L_1 a certain line bundle obtained as a quotient of the trivial line bundle over $(K^* - q_e^{\mathbb{Z}})$. For a path γ_n from v to itself which goes n times around the edge e , the coordinate change g_{γ_n} is given by $t \mapsto q_e^{-n}t$, and the gluing map u_{L_1, γ_n} for the fibers of the line bundle $\Phi(L_1)$, or equivalently u_{L_0, L_1, γ_n} for $\text{Hom}(\Phi(L_0), \Phi(L_1))$, is given by multiplication by $q_e^{-n^2/2}t^n$. Let $x = p_v$ be the intersection of L_0 and L_1 which lies at the node, and let $\varphi \in CF^0(L_0, L_1)$ be the morphism defined by this intersection point and the identity map between the trivial local systems. Then (5.9) becomes

$$\Phi_{L_0, L_1}(\varphi) = \sum_{n \in \mathbb{Z}} g_{\gamma_n*}(u_{L_0, L_1, \gamma_n}(\text{id})) = \text{id} \otimes \sum_{n \in \mathbb{Z}} q_e^{-n^2/2} (q_e^n t)^n = \text{id} \otimes \sum_{n \in \mathbb{Z}} q_e^{n^2/2} t^n$$

(as a morphism from \mathcal{O}_X to the line bundle $\Phi(L_1)$, expressed in the trivialization of $\Phi(L_1)$ on the \mathbb{Z} -cover of X_K by $K^* - q_e^{\mathbb{Z}}$); this recovers the classical theta function for the elliptic curve $K^*/q_e^{\mathbb{Z}}$.

Now we explain how HPL provides the averaging in (5.9). We need to compute the map

$$\Phi_{L,L'} \circ \tilde{i}^1 : CF((L, \mathcal{E}), (L', \mathcal{E}')) \rightarrow \text{Hom}(\Phi(L, \mathcal{E}), \Phi(L', \mathcal{E}')),$$

where $\tilde{i}^1 = (\text{id} + h\delta^1)^{-1}i$, and i, h and δ are as in Section 5.4. Take some $x \in \mathcal{X}(L, L'; \varepsilon h)$, $\varphi \in \text{Hom}(\mathcal{E}_x, \mathcal{E}'_x)$. We have $\tilde{i}^1(\varphi) = \sum_{n=0}^{\infty} (-h\delta^1)^n i(\varphi)$.

Now, the map $h\delta^1 : CF^0((L, \mathcal{E}), (L', \mathcal{E}'); H) \rightarrow CF^0((L, \mathcal{E}), (L', \mathcal{E}'); H)$ is described explicitly as follows. The formula (5.8) provides an identification

$$CF^0((L, \mathcal{E}), (L', \mathcal{E}'); H) \cong \bigoplus_{v \in V(G)} \text{Hom}_{\mathcal{O}_K}(\mathcal{E}_v, \mathcal{E}'_v) \oplus \bigoplus_{e \in E(G)} \text{Hom}(\Phi(L, \mathcal{E})|_{U_e}, \Phi(L', \mathcal{E}')|_{U_e}).$$

Under this identification, we have

$$h\delta^1(\varphi) = \sum_{e/v} (\varphi \otimes \mathcal{O}_{U_v})|_{U_e} \quad \text{for } \varphi \in \text{Hom}_{\mathcal{O}_K}(\mathcal{E}_v, \mathcal{E}'_v),$$

Further, for each edge $e : v \rightarrow v'$, for $\varphi \in \text{Hom}_{\mathcal{O}_K}(\mathcal{E}_v, \mathcal{E}'_v)$ and $n \leq r_e(L, L')$, the propagation rule implies the following:

$$h\delta^1((\varphi \cdot t_{e/v}^{-n})|_{U_e}) = \sum_{e'/v, e' \neq e} (\varphi \cdot t_{e'/v}^{-n})|_{U_{e'}} \quad \text{for } n \geq r_e(L, L'),$$

and

$$h\delta^1((\varphi \cdot t_{e/v}^{-n})|_{U_e}) = \sum_{e'/v, e' \neq e} (\varphi \cdot t_{e'/v}^{-n})|_{U_{e'}} + \sum_{e''/v', e'' \neq e} (R_{\mathcal{E}', e/v} \varphi R_{\mathcal{E}, e/v}^{-1}) \cdot (T^{-n S_e(L, L')} t_{e'/v'}^{n - r_e(L, L')})|_{U_{e''}}$$

for $0 < n < r_e(L, L')$. It follows that the map $\Phi_{L, L'} \circ \tilde{i}^1$ gives exactly the averaging (5.9). It is important that $CF^\bullet(L, L')$ is concentrated in degree zero, hence all the rotation numbers $r_e(L, L')$ are strictly positive and we don't "lose" any monomials while propagating.

6. CANONICAL MAP

Recall that for a smooth projective curve C over a field k , of genus $g \geq 2$, we have the canonical map $\text{can} : C \rightarrow \mathbb{P}(H^0(C, \omega_C)^*) \cong \mathbb{P}(H^1(C, \mathcal{O}_C))$. On k -rational points it can be described as

$$p \mapsto \text{Im}(\text{Ext}^1(\mathcal{O}_p, \mathcal{O}_C) \otimes \text{Ext}^0(\mathcal{O}_C, \mathcal{O}_p) \rightarrow \text{Ext}^1(\mathcal{O}_C, \mathcal{O}_C) = H^1(C, \mathcal{O}_C)).$$

This map is a closed embedding unless C is hyperelliptic in which case it is $2 : 1$ onto its image.

Note that even when C is reduced singular of arithmetic genus $g \geq 2$, we still have a map $\text{can} : C^{sm} \rightarrow \mathbb{P}(H^1(C, \mathcal{O}_C))$. Moreover, for any Zariski open (resp. analytic open) subset $U \subset C^{sm}$ and a regular (resp. analytic) vector field $\theta \in H^0(U, T_U)$, we have a regular (resp. analytic) map $\text{can}_\theta : U \rightarrow H^1(C, \mathcal{O}_C)$.

We will compute this map in our situation for a general trivalent graph (say, without loops, although they can be allowed), both on the A-side and the B-side, and we will see that they match.

6.1. Canonical map: analytic setup. Here for simplicity we choose some non-Archimedean normed field K , and take the extension of scalars X_K from $\mathbb{Z}[[\{q_e\}]]$ to K , where q_e are sent to some elements of \mathfrak{m}_K . Also, take the Schottky group $\Gamma = \Gamma_{e_0/v_0}$.

Then in the framework of rigid analytic geometry X_K is identified with a quotient $(\mathbb{P}_K^1 - F)/\Gamma$, where F is the set of limit points of the group Γ (equivalently, F is the closure of the set of fixed points of non-identity elements of Γ). Now take a rational function ϕ on \mathbb{P}_K^1 , which is regular at each point of F . Then the collection of principal parts of ϕ at its poles defines a class $[\phi] \in H^1(X_K, \mathcal{O}_K)$.

Let us compute this class. Note that

$$H^1(X_K, \mathcal{O}_{X_K}) \cong H^1(\Gamma, K) = \text{Hom}(\Gamma/[\Gamma, \Gamma], K).$$

Now let us choose some point $t_0 \in \mathbb{P}_K^1 - F$, such that ϕ is regular at each point of Γt_0 . Then we have a well-defined analytic function

$$f_\phi(t) := \sum_{g \in \Gamma} (\phi(gt) - \phi(gt_0)),$$

which is Γ -invariant up to adding a constant. The associated class $[\phi] \in H^1(X_K, \mathcal{O}_{X_K}) = H^1(\Gamma, K)$ is given by the cocycle

$$(6.1) \quad c_\phi(\gamma) = f_\phi(t) - f_\phi(\gamma t) = \sum_{g \in \Gamma} (\phi(g\gamma(t_0)) - \phi(g(t_0))), \quad \gamma \in \Gamma.$$

This cocycle of course does not depend on the choice of t_0 . Moreover, if $\gamma \neq 1$, and $y_\gamma^0, y_\gamma^\infty \in \mathbb{P}_K^1$ are the fixed points of γ , with y_γ^0 being the attractor, then we have

$$(6.2) \quad c_\phi(\gamma) = \sum_{\bar{g} \in \Gamma/\gamma^{\mathbb{Z}}} (\phi(g(y_\gamma^0)) - \phi(g(y_\gamma^\infty))).$$

Now, if we have an analytic open subset $U \subset \mathbb{P}_K^1 - F$, such that $U \cap g(U) = \emptyset$ for all $g \in \Gamma \setminus \{1\}$, then we have $U \cong \text{pr}(U) \subset X_K$, and choosing the vector field $t \frac{\partial}{\partial t}$ on U , we get the lifted canonical map $\text{can}_{t \frac{\partial}{\partial t}} : U \rightarrow H^1(\Gamma, K)$. By the above discussion, this map is given by

$$(6.3) \quad \text{can}_{t \frac{\partial}{\partial t}}(s) = c_{\frac{s}{t-s}} \in H^1(\Gamma, K), \quad c_{\frac{s}{t-s}}(\gamma) = \sum_{g \in \Gamma} \left(\frac{s}{g\gamma(t_0) - s} - \frac{s}{g(t_0) - s} \right).$$

We will see how this 1-cocycle arises both in the formal scheme framework and in the Fukaya framework.

6.2. Canonical map: formal scheme. Here by \mathfrak{X} we denote either the formal scheme over $\mathbb{Z}[[\{q_e\}]]$ introduced above, or its extension of scalars to some (nicely behaved) topological ring R (where q_e are sent to some topologically nilpotent elements). We also fix some e_0/v_0 and the corresponding Schottky group $\Gamma = \Gamma_{e_0/v_0}$.

Recall the open subsets $\mathcal{U}_e, \mathcal{W}_v \subset \mathfrak{X}$. Note that each intersection $\mathcal{U}_e \cap \mathcal{U}_{e'}$ (for $e \neq e'$) is either empty, or of the form \mathcal{W}_v , or of the form $\mathcal{W}_v \sqcup \mathcal{W}_{v'}$. Thus, given a coherent sheaf \mathcal{F} on \mathfrak{X} we can (quasi-isomorphically) modify the Čech complex of \mathcal{F} for the covering $\{\mathcal{U}_e\}$, and take the following complex:

$$\mathcal{K}(\mathcal{F}) := \left\{ \bigoplus_{e \in E} \Gamma(\mathcal{U}_e, \mathcal{F}) \xrightarrow{d} \bigoplus_{v \in V} \Gamma(\mathcal{W}_v, \mathcal{F}) \otimes_{\mathbb{Z}} V_v \right\},$$

where

$$V_v = (\mathbb{Z} \cdot e_{e_1/v} \oplus \mathbb{Z} \cdot e_{e_2/v} \oplus \mathbb{Z} \cdot e_{e_3/v}) / \mathbb{Z} \cdot (e_{e_1/v} + e_{e_2/v} + e_{e_3/v}),$$

and

$$d(\{f_e\})_v = \sum_{e'/v} f_{e'} e_{e'/v}.$$

It is not hard to check directly that we have a quasi-isomorphic subcomplex $\mathcal{K}_{const}(\mathcal{O}) \subset \mathcal{K}(\mathcal{O})$, formed by constant local sections (on \mathcal{U}_e and \mathcal{W}_v). We can write down explicitly the identification $H^1(\mathcal{K}_{const}(\mathcal{O})) \cong H^1(\Gamma, R)$. Namely, for $e/v, e'/v$, denote by $\xi_v^{e, e'} : V_v \rightarrow \mathbb{Z}$ the functional $e_{e'/v}^* - e_{e/v}^*$. Then an element $\{a_v\} \in \mathcal{K}_{const}^1(\mathcal{O})$ defines a cocycle

$$(6.4) \quad c_a \in H^1(\Gamma, R), \quad c_a(\gamma_P) = \xi_{v_1}^{e_1, e_2}(a_{v_1}) + \cdots + \xi_{v_n}^{e_n, e_1}(a_{v_n}),$$

for $P = (v_0, e_1, v_1, \dots, e_n, v_n = v_0)$.

Now let us take a rational function ϕ on \mathbb{P}_R^1 which is regular at $0, 1, \infty$. By this we mean $\phi(t) = \frac{h_1(t)}{h_2(t)}$, where $h_2(t)$ is monic, $\deg(h_1) \leq \deg(h_2)$, and $h_2(0), h_2(1) \in R$ are invertible. Then we get a coherent sheaf $\mathcal{F}_{h_2} \supset \mathcal{O}$, such that $\text{Supp}(\mathcal{F}_{h_2}/\mathcal{O}) \subset \mathcal{W}_{v_0}$ and $\mathcal{F}(\mathcal{W}_{v_0}) = \frac{1}{h_2(t_{e_0/v_0})} \mathcal{O}(\mathcal{W}_{v_0})$. Then the ‘‘principal parts’’ of $\phi(t_{e_0/v_0})$ give a well-defined element of $H^1(\mathfrak{X}, \mathcal{O}_{\mathfrak{X}})$. Let us compute a representative of this class in $\mathcal{K}_{const}^1(\mathcal{O})$.

We first take the sections $f_e \in \Gamma(\mathcal{U}_e, \mathcal{F}_{h_2})$, given by

$$f_e = \sum_{\substack{P=(v_0, e_1, \dots, e_n, v_n); \\ e/v_n, e \neq e_n}} (\phi(\gamma_P^{e_0, e}(T_{e/v_n})) - \phi(\gamma_P^{e_0, e}(0)))$$

(it can be checked directly that f_e are well-defined), and then notice that $d(\{f_e\}) \in \mathcal{K}^1(\mathcal{F})$ is contained in $\mathcal{K}_{const}^1(\mathcal{O}) \subset \mathcal{K}^1(\mathcal{O}) \subset \mathcal{K}^1(\mathcal{F})$.

Thus, $d(f_e)$ is our desired constant representative, which then gives a class in $H^1(\Gamma, R)$ by the formula (6.4). By straightforward combinatorial considerations one checks that the result actually agrees with (6.3). Now taking $s \in R$ such that $s(1-s)$ is invertible, we see that the class $\text{can}_{t_{e_0/v_0} \partial/\partial t_{e_0/v_0}} \in H^1(\mathfrak{X}, \mathcal{O}_{\mathfrak{X}})$ is given again by the formula (6.3).

Remark 6.1. *To make sense of canonical map for $|s| < 1$ we need to invert q_{e_0} as described in Remark 4.1; the computation works in exactly the same way.*

6.3. Canonical map: Fukaya category. Here we take the singular symplectic manifold M as above; recall that the symplectic areas are denoted by A_e , $e \in E$. Again, we fix e_0/v_0 , and also take $v'_0 \neq v_0$, e_0/v'_0 .

We take L_0 to be a v.b.-type Lagrangian with trivial rank one local system, corresponding to $\mathcal{O}_{\mathfrak{X}}$ under mirror symmetry, and orient its M_{e_0} component from v'_0 to v_0 .

The Floer complex $\text{Hom}(L_0, L_0)$ is just the complex computing the cohomology of the graph G (with vertices being v and edges being e). We denote by p_v , resp. z_e its generators of degree 0, resp. 1, corresponding to the points of $\mathcal{X}(L_0, L_0) = L_0^+ \cap L_0$. (Recall that $L_0^+ = \phi_{\varepsilon h}^1(L_0)$ is a slight pushoff of L_0 in the counterclockwise direction near each vertex p_v , and intersects L_0 at the vertices and also once inside each component M_e).

Now, let L_1 be a point-type object, i.e. a circle on the M_e component, placed between the points z_{e_0} and p_{v_0} , oriented in such a way that $\text{Hom}(L_0, L_1)$ is in degree zero, hence $\text{Hom}(L_1, L_0)$ is in degree 1 (and we take the trivial local system on L_1 for simplicity). We put $y_1 := L_0^+ \cap L_1$, $y_2 := L_1 \cap L_0$. So, $y_1 \in \text{Hom}(L_0, L_1)$ and $y_2 \in \text{Hom}(L_1, L_0)$. We are interested in

$$\mu^2(y_2, y_1) = \sum_e a_e z_e \in \text{Hom}^1(L_0, L_0).$$

Let us denote by B the area of the half-sphere with the boundary L_1 , containing the node v_0 .

Now we determine the constants a_e . First, for $e = e_0$, L_0 , L_1 and L_0^+ bound a small thin triangle inside M_{e_0} with vertices y_1, y_2, z_{e_0} ; the corresponding perturbed disc has area zero since two of its edges lie on L_0 , so its area weight is 1. All the other holomorphic strips will propagate through the nodes, and to count them we introduce some notation.

Namely, for $e/v, e'/v$, we denote by $C_{k,l}^{v;e,e'} \in \mathbb{Z}$ (where $k, l \geq 0$) the constants such that

$$\frac{1}{g_v^{e,e'}(t)^k} = \sum_{l \geq 0} C_{k,l}^{v;e,e'} t^l.$$

For $k \geq 1$ these are exactly the propagation coefficients introduced in Section 3; the constants $C_{0,l}^{v;e,e'} = \delta_{0,l}$ do not participate in the propagation rules but it is convenient to include them. We will also adopt the following notation:

$$\delta_v^{e,e'} = \begin{cases} 1 & \text{for } e/v, e'/v, e \neq e'; \\ 0 & \text{otherwise} \end{cases}.$$

Now, the perturbed propagating holomorphic strips contributing to a_e (other than the already mentioned triangle) are divided into two types:

(I) the ones which first come to v_0 with some degree $k > 0$, then propagate along some path (in our graph), and finally arrive to the component e with degree 0;

(II) The same with v'_0 instead of v_0 .

The contribution of the strips of type (I) is the following sum:

$$(6.5) \quad a_{e,v_0} = \sum_{\substack{P=(v_0,e_1,v_1,\dots,e_n,v_n); \\ e/v_n,e \neq e_n,e_1 \neq e_0}} \left(\sum_{k_1,k_2,\dots,k_n > 0} C_{k,k_1}^{v_0;e_0,e_1} C_{k_1,k_2}^{v_1;e_1,e_2} \cdots C_{k_n,0}^{v_n;e_n,e} T^{kB + \sum_{i=1}^n k_i A_{e_i}} \right).$$

Now let us notice the following identity: for a reduced path P as in (6.5), and for $k > 0$ we have

$$(6.6) \quad \sum_{k_1,\dots,k_n \geq 0} C_{k,k_1}^{v_0;e_0,e_1} C_{k_1,k_2}^{v_1;e_1,e_2} \cdots C_{k_n,0}^{v_n;e_n,e} q_{e_1}^{k_1} \cdots q_{e_n}^{k_n} = \gamma_P^{e_0,e}(0)^{-k}$$

(note the non-strict inequalities for k_i). Now, if $n > 0$, then let us denote by P' the path $(v_0, e_1, \dots, e_{n-1}, v_{n-1})$ (removing the last edge from P). Then from (6.6) we get

$$(6.7) \quad \sum_{k_1,\dots,k_n > 0} C_{k,k_1}^{v_0;e_0,e_1} C_{k_1,k_2}^{v_1;e_1,e_2} \cdots C_{k_n,0}^{v_n;e_n,e} q_{e_1}^{k_1} \cdots q_{e_n}^{k_n} = \begin{cases} \gamma_P^{e_0,e}(0)^{-k} - \gamma_{P'}^{e_0,e_n}(0)^{-k} & \text{for } n > 0; \\ g_{v_0}^{e_0,e}(0)^{-k} & \text{for } n = 0. \end{cases}$$

Combining (6.7) with (6.5), and identifying q_e with T^{A_e} , we get

$$(6.8) \quad a_{e,v_0} = \sum_{\substack{P=(v_0,e_1,v_1,\dots,e_n,v_n); \\ n > 0, e/v_n, e \neq e_n, e_1 \neq e_0}} \pm \left(\frac{T^B}{\gamma_P^{e_0,e}(0) - T^B} - \frac{T^B}{\gamma_{P'}^{e_0,e_n}(0) - T^B} \right) \pm \delta_{v_0}^{e_0,e} \frac{T^B}{g_{v_0}^{e_0,e}(0) - T^B}.$$

Now, the strips of type II are completely analogous. Taking into account the identity

$$\frac{\binom{q}{s}}{t - \frac{q}{s}} = - \left(\frac{s}{\frac{q}{t} - s} + 1 \right),$$

we get that the contribution of strips of type II equals

$$(6.9) \quad a_{e,v'_0} = \sum_{\substack{P=(v_0,e_0,v'_0,e_1,v_1,\dots,e_n,v_{n+1}); \\ n \geq 0, e/v_{n+1}, e \neq e_n}} \pm \left(\frac{T^B}{\gamma_P^{e_0,e}(0) - T^B} - \frac{T^B}{\gamma_{P'}^{e_0,e_n}(0) - T^B} \right).$$

So, combining (6.8), (6.9), and taking into account the small triangle in M_{e_0} , we get

$$(6.10) \quad a_e = \sum_{\substack{P=(v_0,e_1,v_1,\dots,e_n,v_n); \\ n > 0, e/v_n, e \neq e_n}} \pm \left(\frac{T^B}{\gamma_P^{e_0,e}(0) - T^B} - \frac{T^B}{\gamma_{P'}^{e_0,e_n}(0) - T^B} \right) \pm \delta_{v_0}^{e_0,e} \frac{T^B}{g_{v_0}^{e_0,e}(0) - T^B} \pm \delta_{e_0,e}.$$

This completes the calculation of $\mu^2(y_2, y_1) \in \text{Hom}^1(L_0, L_0)$. To get the value of the corresponding class $c_{L_1} \in H^1(\Gamma, R)$ on an element $\gamma \in \Gamma$, we simply need to sum up $\pm a_e$ along a path. The same combinatorics as in the previous subsection shows that

$$c_{L_1} = \text{can}_{t, \frac{\partial}{\partial t}}(T^B),$$

where the RHS is given by (6.3). So, we see that the canonical map indeed allows one to identify the points in the annulus $\{1 > |t_{e_0/v_0}| > |T^{A_{e_0}}|\}$ and the circles with 1-dimensional local systems via $t_{e_0/v_0} = T^B \cdot (\text{monodromy})$.

7. EPILOGUE: HIGHER DIMENSIONS

We expect that the constructions and results described in this paper for curves and their mirrors admit higher dimensional generalizations; the details are still tentative as of this writing, so much so that we do not even formulate a precise conjecture.

On the B-side, we consider a rigid analytic space X_K admitting a *generalized pair-of-pants decomposition*, i.e. an open cover by analytic subsets U_v which are obtained from the n -dimensional pair of pants

$$\Pi_n = \{(z_0 : z_1 : \cdots : z_{n+1}) \in \mathbb{P}^{n+1} \mid \sum z_i = 0, z_j \neq 0 \forall j\}$$

(i.e., the complement of $n+2$ generic hyperplanes in \mathbb{P}^n) by imposing suitable inequalities on the valuations of the coordinates. Such decompositions arise most commonly from maximal degenerations of complex varieties to the tropical limit. The combinatorics of the decomposition is then encoded by the *tropicalization* of X_K , a polyhedral complex Σ in which the vertices index the pairs-of-pants U_v in the decomposition of X_K , and the higher-dimensional strata and their affine structures determine which subsets U_v overlap non-trivially and how the valuations of the coordinates transform under gluing maps.

Each pair-of-pants U_v has $\binom{n+2}{n}$ ends $U_{\sigma/v}$, namely the subsets of U_v where n of the homogeneous coordinates are smaller than the remaining two, and each of these ends corresponds to one of the $\binom{n+2}{n}$ top-dimensional strata $\sigma \subset \Sigma$ which meet at v . Also, each top-dimensional stratum σ of Σ determines a subset $U_\sigma \subset X_K$ along which the ends $U_{\sigma/v}$ of the pairs of pants U_v for v adjacent to σ overlap. After choosing suitable coordinates, U_σ can be identified (in a valuation-preserving manner) with the affinoid domain $\text{val}^{-1}(\sigma) \subset (K^*)^n$ determined by the affine structure on σ . This in turns yields $(K^*)^n$ -valued local coordinates $t_{\sigma/v}$ on each end $U_{\sigma/v}$ of each pair of pants in the decomposition of X_K ; by construction these coordinates have the same valuations as ratios of homogeneous coordinates on the model pair of pants Π_n into which U_v embeds, and they transform by monomial coordinate changes between the different vertices v adjacent to a given top-dimensional stratum σ . (Note that a complete description of X_K also involves gluings over strata of all dimensions in Σ , which we omit from our discussion for simplicity.)

On the A-side, we consider a stratified space M formed by a union of toric Kähler manifolds M_σ glued together along toric strata, with local models along codimension k strata given by the product of $(\mathbb{C}^*)^{n-k}$ with the union Π_k of all coordinate k -planes in \mathbb{C}^{k+2} (in particular there are $\binom{n+2}{n}$ top-dimensional strata meeting at each vertex). For

mirror symmetry purposes, the moment map images of the various components of M should match the strata of the polyhedral complex $\Sigma = \text{Trop}(X_K)$ and their affine structures.

One can then consider stratified Lagrangian submanifolds $L \subset M$ which, along each codimension k stratum of M , are modelled on the product of a smooth Lagrangian submanifold of $(\mathbb{C}^*)^{n-k}$ with the union of the real positive loci in Π_k . One may further require each component $L_\sigma \subset M_\sigma$ of L to be a section of the moment map fibration $M_\sigma \rightarrow \sigma$, and equip L with a unitary rank 1 local system \mathcal{E} (trivialized at the vertices). We expect that such objects correspond to line bundles on X_K , constructed from trivial line bundles over each pair of pants U_v by gluing them over U_σ via transition functions determined explicitly by the rotation numbers of L with respect to the real positive locus L_0 inside each stratum of M , the signed symplectic areas of triangular regions bounded by L and L_0 , and the holonomy of the local system \mathcal{E} , as in Section 5.1.

As in the case of curves, one expects the definition of morphism spaces in the Fukaya category of M to involve Hamiltonian perturbations whose flow rotates the asymptotic directions of the Lagrangians by a small positive amount around each lower-dimensional stratum (as well as wrapping near infinity when M is non-compact). The structure maps of the Fukaya category should then involve weighted counts of rigid configurations of (perturbed) holomorphic discs which are allowed to propagate among the strata of M through lower-dimensional strata. The simplest case, and the only one we shall discuss here, involves a Floer trajectory propagating from a top-dimensional stratum $M_{\sigma_{in}}$ to another top-dimensional stratum $M_{\sigma_{out}}$ through a common vertex v shared by the two strata. In this case, the local behavior of the holomorphic disc near v can be described by associating to the incoming component a degree $k_{in} \in \mathbb{Z}_{>0}^n$, and to the outgoing component a degree $k_{out} \in \mathbb{Z}_{>0}^n$. We then expect that the propagation coefficient $C_{k_{in}, k_{out}}^{v; \sigma_{in}, \sigma_{out}}$ (the local contribution to the multiplicity of the propagating Floer trajectory) should be defined as the coefficient of the monomial $t_{\sigma_{out}/v}^{k_{out}}$ in the expansion of (the analytic continuation of) $t_{\sigma_{in}/v}^{-k_{in}}$ as a power series in terms of the coordinates $t_{\sigma_{out}/v}$ over $U_{\sigma_{out}/v}$.

Obviously, a lot of further work is needed to flesh out key details of this story and confirm that the proposed construction is sound and leads to a homological mirror symmetry statement; this work is still in the early stages, but we hope to have convinced the reader that the story developed in this paper is likely to extend beyond the case of curves.

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