

X smooth compact CY 3-fold

Counting problems:

1. GW invariants

$$N_{g,d}^{GW} \in \mathbb{Q} \quad g \geq 0 \text{ genus} \\ d \in H_2(X, \mathbb{Z})$$

$$|\lambda| \ll 1 \\ \rho \in \text{Hom}(H_2, \mathbb{C}^*)$$

---> $F = \exp\left(\sum_{g,d} \lambda^{2g-2} \rho^d N_{g,d}^{GW}\right)$ generating fn
 is a holom. function on a certain formal alg. scheme.

2. DT invariants

Basic idea: \mathcal{E} holom. v.b. / X

Deforms of $\mathcal{E} := \text{RHom}(\mathcal{E}, \mathcal{E})$ as dg Lie algebra

$$\begin{array}{cccc} 0 & 1 & 2 & 3 \\ \text{Ext}^0 & \text{Ext}^1 & \text{Ext}^2 & \text{Ext}^3 \\ \downarrow & \underbrace{\hspace{2cm}} & & \cong (\text{Ext}^0)^* \\ \text{usually } \cong \mathbb{C} \text{id}_{\mathcal{E}} & \text{ideal} & & \text{in CY case} \\ & \text{situation} & & \\ & \text{for deform. theory} & & \end{array}$$

→ shorten deform. theory by truncating to $T_{\leq 2} / \mathbb{C} \cdot \text{id}_{\mathcal{E}}$.

Then lives in degrees 0, 1, 2.

Only one case when moduli stack is proper & $\text{End}(\mathcal{E}) \cong \mathbb{C} \text{id}_{\mathcal{E}}$:

\mathcal{E} coherent sheaf = ideal sheaf of subscheme $Y \subset X$
 $\dim Y \leq 1$

$$\mathcal{E}|_{X \setminus Y} \cong \mathcal{O}_X(X \setminus Y).$$

★ Hope: extend DT invariants N_{γ}^{DT} $\gamma \in K_0^{\text{top}}(X) \cong H^{\text{even}}(X, \mathbb{Z})$
 up to torsion

• Conj (Maulik- Nekrasov- Okounkov- Pandharipande)

There are better invariants = Gopakumar-Vafa invariants $N_{g,d}^{GV} \in \mathbb{Z}$ $d \neq 0$

(tentative defⁿ: pick a generic a.c.s. J on X tamed by ω
 & count J -holom. curves $C \subset X$ with coeffs ± 1)

... and expect both N^{FW} and N^{DT} can be related by explicit formulas to N^{GV} .

Special Lagrangian submanifolds:

$L \subset X$ Lag., $\text{Arg } \Omega_{|TL}^{3,0} = \varphi \in \mathbb{R}/2\pi\mathbb{Z}$ constant
 deformation theory is unobstructed & governed by $H^k(L, \mathbb{R})$
 ie. degs. 0 and 1 only.

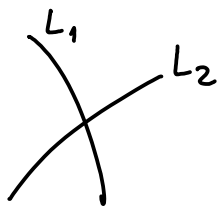
Would like ints counting such L ?

e.g. # of SLAG spheres in a given class of $H_3(X)$.

This should be mirror to N_Y^{DT} \mathbb{C} -curve counting problems?

\triangle These are not top. invariants — depend on complex structure!

Indeed:



$L_1, L_2 \approx S^3$ SLAGs with phases $\varphi_1 \neq \varphi_2$:
 $\int_{L_i} \Omega^{3,0} = e^{i\varphi_i} \text{vol}(L_i)$

As we vary the \mathbb{C} structure, φ_1, φ_2 change and we have a codim. 1 wall where $\varphi_1 = \varphi_2$; typically:

$$L_1, L_2 \quad | \quad L_1, L_2, \text{ and } L_1 \# L_2.$$

↑
 wall: L_1, L_2 , and
 formally $L_1 \cup L_2$ special Lag.

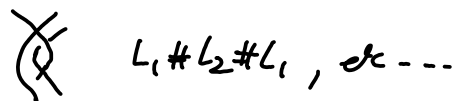
\Rightarrow Naive wall-crossing formula:

$$N_{\delta_1 + \delta_2}^{DT} \text{ changes by } N_{\delta_1}^{DT} N_{\delta_2}^{DT} \langle \delta_1, \delta_2 \rangle$$

↑
 intersection pairing on H_3 .

(#choices of attaching points to do $L_1 \# L_2$?)

However: if $|L_1 \cap L_2| = 2$, could also take
 \Rightarrow there are also higher order terms!!!



Conjecture: || for 3-dim^l cy X , \exists numbers $N_\gamma^{DT} \in \mathbb{Q}$, $\gamma \in H_3(X, \mathbb{Z})$,
 which 1) do not depend on Kähler class
 2) change under variation of \mathbb{C} str. according to
 our wall-crossing formula.

$\Lambda = H_3(X, \mathbb{Z}) / \text{Torsion}$, $\langle \cdot, \cdot \rangle : \Lambda \times \Lambda \rightarrow \mathbb{Z}$ intersection pairing

Pick $\|\cdot\|$ any norm on $\Lambda \otimes \mathbb{R}$; and let $Z = \int \Omega^{3,0} : \Lambda \rightarrow \mathbb{C} = \mathbb{R}^2$.

• key Property: || $\exists c > 0$ st. if $N_\gamma^{DT} \neq 0$ then $\|\gamma\| \leq c |Z(\gamma)|$.

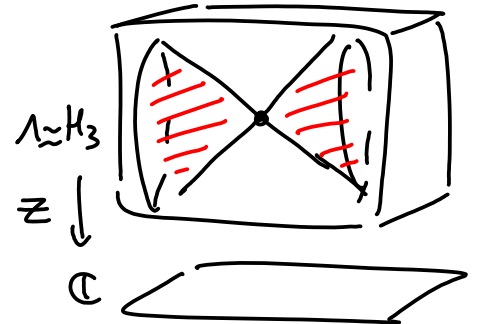
Indeed: if $N_\gamma^{DT} \neq 0$ then $\exists L$ s.t. $[L] = \gamma$.

Then $|Z(\gamma)| = \left| \int_L \Omega^{3,0} \right| = \text{vol}(L)$

But given a closed 3-form on X , $\int_L \text{form} \leq \text{const} \cdot \text{vol}(L)$.

\rightarrow so $\|\gamma\| \leq \text{const} \cdot \text{vol}(L)$
 \uparrow
 L^∞ -norm of form
 \uparrow
 depending only on chosen norm $\|\cdot\|$.

Hence: support of DT inst is contained in a cone



• For given $Z : \Lambda \rightarrow \mathbb{C}$ additive map,
 given a quadratic form Q on $\Lambda \otimes \mathbb{R}$ st. $Q|_{\ker Z \otimes \mathbb{R}} < 0$,
 say $N_\gamma^{DT} : \Lambda \rightarrow \mathbb{Q}$ is controlled by Q if

$$N_\gamma^{DT} \neq 0 \Rightarrow Q(\gamma) > 0$$

(by above property, such a Q exists).

• Change Z continuously: $(Z_t)_{t \in [0,1]}$; assume $Q|_{\ker Z_t \otimes \mathbb{R}} < 0 \forall t$.

\rightarrow now can ask for $N_\gamma^{DT,t}$ to be controlled by Q .

• Let $\mathfrak{g}_\Lambda = \bigoplus_{\gamma \in \Lambda} \mathbb{Q} \cdot e_\gamma$ with $[e_{\gamma_1}, e_{\gamma_2}] = (-1)^{\langle \gamma_1, \gamma_2 \rangle} \langle \gamma_1, \gamma_2 \rangle e_{\gamma_1 + \gamma_2}$

• Given \mathbb{Z} , N_γ^{DT} , pick an angular sector $V \subset \mathbb{R}^2 = \mathbb{C}$, of angle $< 180^\circ$



\Rightarrow let $\mathfrak{g}_V = \prod_{\gamma \in \Lambda \cap (\text{Convex hull of } \mathbb{Z}^{-1}(V) \cap \mathbb{Q}^{-1}(\mathbb{R}_+))} \mathbb{Q} e_\gamma$ Lie subalg. $\subset \mathfrak{g}_\Lambda$

and $G_V = \exp(\mathfrak{g}_V)$ Lie group

Then to V we associate an element $A_V \in G_V$:

$$A_V = \prod_{\substack{\text{rays } \ell \subset V \\ \text{in clockwise order}}} \exp\left(\sum_{\substack{\gamma \\ \mathbb{Z}(\gamma) \in \ell}} N_\gamma^{\text{DT}} \left(\sum_{k \geq 1} \frac{e_{k\gamma}}{k^2}\right)\right)$$

In particular if $V = V_1 \cup_{\partial} V_2$ (left right) then $A_V = A_{V_1} \cdot A_{V_2}$ ($G_{V_1}, G_{V_2} \subset G_V$).



Axiom: $\| A_V$ stays constant as long as $\forall \gamma: \mathbb{Q}(\gamma) > 0, \mathbb{Z}(\gamma) \notin \partial V$.

• Consider only a 2D lattice $\Lambda_2 \simeq \mathbb{Z}^2 \subset \Lambda$:

wall is where $\Lambda_2 \rightarrow \mathbb{R}e^{i\varphi}$ instead of rank 2.

If $\langle \dots \rangle|_{\Lambda_2} = 0$ then numbers won't change.

Otherwise, change as the 2 generators γ_1, γ_2 of Λ_2 get "reversed"

\Rightarrow formulation of wall-crossing restricted to Λ_2 : 

Consider $\mathbb{Q}[[x, y]]$ with $\{x, y\} = kxy, k \in \mathbb{Z}, \mathbb{Z} \neq 0$

where $\underline{k = \langle \gamma_1, \gamma_2 \rangle}$

$\forall a, b \geq 0$, let $T_{a,b}^{(k)} \in \text{Aut } \mathbb{Q}[[x, y]]$, defined by

$$T_{a,b}^{(k)} : \begin{aligned} x &\mapsto (1 \pm x^a y^b)^{kb} x && (\text{sign: } -(-1)^{kab}) \\ y &\mapsto (1 \pm x^a y^b)^{ka} y \end{aligned}$$

Then $\prod_{a/b \uparrow} T_{a,b}^{N_{a\delta_1 + b\delta_2}^{\text{DT, left}}} = \prod_{a/b \downarrow} T_{a,b}^{N_{a\delta_1 + b\delta_2}^{\text{DT, right}}}$

Eg: $k=1$: $T_{1,0} T_{0,1} = T_{0,1} T_{1,1} T_{1,0}$

(as above: $L_1, L_2 \mid L_1, L_2, L_1 \# L_2$)
wall

$k=2$: $T_{1,0} T_{0,1} = T_{0,1} T_{1,2} T_{2,3} \dots \underbrace{T_{1,1} T_{2,2} \dots}_{\text{middle terms}} \dots T_{3,2} T_{2,1} T_{1,0}$

etc...

these formulas encode wall-crossing for a given pair L_1, L_2

for various values of $\langle r_1, r_2 \rangle$. ($N_{r_1} = 1, N_{r_2} = 1$).

(in particular, if know N^{DT} on one side of wall then get N^{DT} on the other side!)