

## Math 112 Homework 2 Solutions

### Problem 1.

Given an integer  $N$ , let  $E_N$  be the set of equations of the form  $a_0z^n + a_1z^{n-1} + \cdots + a_n = 0$ , where  $n \geq 0$  is an integer,  $a_0, \dots, a_n$  are integers (not all zero), and  $n + |a_0| + \cdots + |a_n| = N$ . For a given value of  $n$ , there exist only finitely many tuples of integers  $(a_0, \dots, a_n)$  such that  $|a_0| + \cdots + |a_n| = N - n$  (because each  $a_i$  can take at most  $2(N - n) + 1$  values). Allowing  $n$  to vary from 1 to  $N$ , we get that  $E_N$  is the union of  $N$  finite sets, and therefore a finite set.

Next, let  $A_N \subset \mathbb{R}$  be the set of solutions to the equations in  $E_N$ . Since an equation of degree  $n$  has at most  $n$  solutions, to each element of  $E_N$  correspond at most  $N$  elements of  $A_N$ ; therefore, the finiteness of  $E_N$  implies that of  $A_N$ .

Observe that each set  $A_N$  is contained in the set of algebraic numbers. Conversely, let  $z$  be an algebraic number, satisfying an equation  $a_0z^n + a_1z^{n-1} + \cdots + a_n = 0$ , and let  $N = n + |a_0| + \cdots + |a_n|$ ; then  $z \in A_N$ . Therefore the set of algebraic numbers is exactly  $A = \bigcup_{N=1}^{\infty} A_N$ . Since  $A$  is the union of countably many finite sets  $A_N$ , it is at most countable. Moreover it is infinite (since  $\mathbb{Z} \subset A$ ), thus  $A$  is countable.

### Problem 2.

1)  $d_1$  is not a metric because it violates the triangle inequality: for example,  $d_1(0, 1) = |1 - 0|^2 = 1$ ,  $d_1(1, 2) = |2 - 1|^2 = 1$ ,  $d_1(0, 2) = |2 - 0|^2 = 4 > d_1(0, 1) + d_1(1, 2)$ .

2)  $d_2$  is not a metric because it does not satisfy the property that  $d(x, y) > 0$  whenever  $x \neq y$ : for example,  $d_2(-1, 1) = |1 - 1| = 0$ .

3)  $d_3$  is a metric because it satisfies the three axioms of Definition 2.15:

a) If  $x \neq y$ , then  $|x - y| > 0$ , so  $d_3(x, y) = \frac{|x - y|}{1 + |x - y|} > 0$ . Moreover  $d_3(x, x) = 0$ .

b) Clearly  $d_3(x, y) = d_3(y, x)$ .

c) Let  $x, y, z \in \mathbb{R}$ , and let  $\alpha = |x - y|$ ,  $\beta = |y - z|$ ,  $\gamma = |x - z|$ . By the triangle inequality for the usual distance we have  $\alpha + \beta \geq \gamma$ . We get:

$$d_3(x, y) + d_3(y, z) = \frac{\alpha}{1 + \alpha} + \frac{\beta}{1 + \beta} \geq \frac{\alpha}{1 + \alpha + \beta} + \frac{\beta}{1 + \alpha + \beta} = \frac{\alpha + \beta}{1 + \alpha + \beta} \geq \frac{\gamma}{1 + \gamma} = d_3(x, z),$$

where in the last inequality we have used the fact that the function  $t \mapsto \frac{t}{1 + t}$  is increasing.

### Problem 3.

(a) We show that  $d_\infty$  satisfies the three axioms of Definition 2.15:

a) If  $p \neq q$  then there exists  $i \in \{1, \dots, n\}$  such that  $p_i \neq q_i$ , so  $d_\infty(p, q) \geq |p_i - q_i| > 0$ . Moreover  $d_\infty(p, p) = 0$  clearly.

b)  $d_\infty(q, p) = d_\infty(p, q)$  because  $|q_i - p_i| = |p_i - q_i| \forall i$ .

c) Let  $p, q, r \in \mathbb{R}^n$ : then for every  $i \in \{1, \dots, n\}$  we have  $|p_i - q_i| \leq |p_i - r_i| + |r_i - q_i| \leq d_\infty(p, r) + d_\infty(r, q)$ . So  $d_\infty(p, r) + d_\infty(r, q)$  is an upper bound for  $\{|p_i - q_i|, i = 1, \dots, n\}$ . Therefore  $d_\infty(p, r) + d_\infty(r, q) \geq \sup\{|p_i - q_i|\} = d_\infty(p, q)$ .

(b) Assume  $E$  is open in  $(\mathbb{R}^n, d)$ , and consider any point  $p \in E$ . By definition  $E$  contains a neighborhood of  $p$  for the metric  $d$ , i.e. we have  $\{q \in \mathbb{R}^n, d(p, q) < r\} \subset E$  for some  $r > 0$ .

Consider a point  $q \in \mathbb{R}^n$  such that  $d_\infty(p, q) < n^{-1/2}r$ : then we have  $|p_i - q_i| < n^{-1/2}r$  for all  $i$ , so that  $d(p, q) = (\sum |p_i - q_i|^2)^{-1/2} < r$ , and therefore  $q \in E$ . It follows that  $E$  contains the neighborhood  $\{q \in \mathbb{R}^n, d_\infty(p, q) < n^{-1/2}r\}$  of  $p$  for the metric  $d_\infty$ . Since  $E$  contains a neighborhood of each of its points, it is an open subset of  $(\mathbb{R}^n, d_\infty)$ .

Conversely, assume  $E$  is open in  $(\mathbb{R}^n, d_\infty)$ , and consider  $p \in E$ . By definition  $E$  contains a neighborhood of the form  $\{q \in \mathbb{R}^n, d_\infty(p, q) < r\}$  for some  $r > 0$ . Consider a point  $q \in \mathbb{R}^n$  such that  $d(p, q) < r$ : then  $|p_i - q_i| < r$  for all  $i$ , so that  $d_\infty(p, q) < r$  and therefore  $q \in E$ . It follows that  $E$  contains the neighborhood  $\{q \in \mathbb{R}^n, d(p, q) < r\}$  of  $p$  for the metric  $d$ . Since  $E$  contains a neighborhood of each of its points, it is an open subset of  $(\mathbb{R}^n, d)$ .

#### Problem 4.

We verify the various axioms to show that  $d$  is a metric:

- by definition  $d(p, q) = 1 > 0$  if  $p \neq q$ , and  $d(p, p) = 0$ ; moreover  $d(p, q) = d(q, p)$ .
- let  $p, q, r \in X$ : if  $p = q$  then  $d(p, q) = 0 \leq d(p, r) + d(r, q)$ . If  $p \neq q$  then either  $r = p$ , in which case  $d(p, q) = d(r, q) \leq d(p, r) + d(r, q)$ , or  $r \neq p$ , in which case  $d(p, q) = 1 = d(p, r) \leq d(p, r) + d(r, q)$ .

Therefore  $d$  is a metric. We now show that *every* subset  $E \subset X$  is open and closed.

- let  $E \subset X$ , and let  $p \in E$ : by definition, we have  $\{q \in E, d(p, q) < \frac{1}{2}\} = \{p\} \subset E$ , so  $E$  contains a neighborhood of  $p$ . Therefore  $E$  is open.
- let  $E \subset X$ , and let  $p \in X$ : by definition, the neighborhood  $\{q \in E, d(p, q) < \frac{1}{2}\} = \{p\}$  does not contain any point  $q \neq p$ ; therefore  $p$  is not a limit point of  $E$ . So  $E$  has no limit points, and is therefore closed (every limit point belongs to  $E$ ).

(another way to prove that  $E$  is closed is to observe that  $E^c$  is open – or vice versa).

#### Problem 5.

Let  $x$  be a limit point of  $E'$ : we want to show that  $x \in E'$ , i.e. that  $x$  is a limit point of  $E$ . Fix  $r > 0$ : since  $x$  is a limit point of  $E'$ , there exists  $y \in E'$  such that  $y \neq x$  and  $d(x, y) < \frac{r}{2}$ . However, by definition  $y$  is a limit point of  $E$ , so there exists  $z \in E$  such that  $z \neq y$  and  $d(y, z) < d(x, y) < \frac{r}{2}$ . By the triangle inequality we have  $d(x, z) \leq d(x, y) + d(y, z) < \frac{r}{2} + \frac{r}{2} = r$ . Moreover  $x \neq z$  (because  $d(z, y) < d(x, y)$ ). Therefore every neighborhood of  $x$  contains a point of  $E$  distinct from  $x$ , i.e.  $x$  is a limit point of  $E$ , or equivalently  $x \in E'$ . Since every limit point of  $E'$  belongs to  $E'$ , we conclude that  $E'$  is closed.

#### Problem 6.

a) For every  $i$ , we have  $A_i \subset \bigcup_{i=1}^n A_i = B_n \subset \bar{B}_n$ . Therefore  $\bar{B}_n$  is a closed set containing  $A_i$ , and so by Theorem 2.27(c) in Rudin we get that  $\bar{A}_i \subset \bar{B}_n$ . Since this holds for every  $i$ , we get that  $\bigcup_{i=1}^n \bar{A}_i \subset \bar{B}_n$ .

Conversely, since the sets  $\bar{A}_i$  are closed, by Theorem 2.24(d) the set  $\bigcup_{i=1}^n \bar{A}_i$  is closed; moreover, since  $\bar{A}_i \supset A_i$  we get that  $\bigcup_{i=1}^n \bar{A}_i \supset B_n$ . So applying again Theorem 2.27(c) we conclude that  $\bigcup_{i=1}^n \bar{A}_i \supset \bar{B}_n$ , and therefore  $\bigcup_{i=1}^n \bar{A}_i = \bar{B}_n$ .

b) Same argument as in a): since  $A_i \subset B \subset \bar{B}$ ,  $\bar{B}$  is a closed set containing  $A_i$  and therefore  $\bar{A}_i \subset \bar{B}$ . Since this holds for every  $i$ , we get that  $\bigcup_{i=1}^\infty \bar{A}_i \subset \bar{B}$ .

However the converse inclusion no longer holds in general: for example if  $A_i = [\frac{1}{i}, 1] \subset \mathbb{R}$ , then  $B = \bigcup_{i=1}^\infty A_i = (0, 1]$ , so  $\bar{B} = [0, 1]$  while  $\bigcup_{i=1}^\infty \bar{A}_i = \bigcup_{i=1}^\infty A_i = (0, 1]$ .